

Division Use Only:	
Check #:	Amt:
# of Checks:	w/New App (Y / N)
Buyer Permit #:	
Buyer Name:	
Type: □ Ownership □	Location Own/Loc

Application to Transfer a Liquor Permit(s)

INSTRUCTIONS – READ BEFORE YOU START YOUR APPLICATION

This application IS required when a permit holder is transferring its liquor permit to another:

- Owner (whether person or business) at the same location where the permit is currently issued (TRFO);
- Owner at a different address location (TFOL); or
- Location where the current owner will continue to operate the permit (TRFL).

For your application to be deemed complete, you MUST fully and legibly:

- Answer and sign all required sections and questions ("*" indicates a required field);
- Pay all the required fees listed below; and
- Submit any additional required listed forms.

This specific application is *NOT* required for the following changes/transactions:

- If the name of the permit holder remains the same and **all that is changing** is the underlying ownership (i.e. ABC, Inc remains the permit holder, but the shareholders are changing). Here, you **MUST** complete and mail or drop-off in person, as applicable, the Transfer of Membership Interest in an LLC Application or the Change in Corporate Stock Ownership Application.
- For Items **A** through **D** below, email the requested documents (write permit number on each) to the Division at liquordocs@com.ohio.gov:
 - a. Court appointed Receiver (e.g., bankruptcy, etc.), Executor to an Estate, or Guardian submit copy of certified Court Order;
 - b. Change of name due to marriage or divorce, including restoration of former name Submit copy of certified court order;
 - c. Converting the business type (e.g., Inc to an LLC) Submit applicable entity disclosure forms and Ohio Secretary of State documents showing the name change or conversion. Note that the Secretary of State Charter # should remain the same;
 - d. Changing **LOCATION ONLY** for an H permit or a S-1, S-2, B-2A permit that does **NOT** have an issued Ohio A Class permit issued with it. Please send a statement on company letter head with current permit number and new address.

SE	SECTION A – Transfer Transaction Summary and Required Fees Due at time of Submission					
1.	*Are you transferring the (select all that apply):					
	☐ Ownership of the permit (transferring business/permit(s) to a new owner, e.g., due to a sale of the business); or					
	□ Location of the permit premises (transferring permit premises to a new address)					
	NOTE: if both ownership AND location are selected, you MUST complete ALL sections of this application					
2.	*\$100 processing fee made payable to the Treasurer, State of Ohio					

SECTION B - Issued Permit Holder Inf	ormati	ion (i	.e., S	elle	∍r)														
*Issued Permit Holder's Business Na	me as	liste	d on	the	issu	ıed	pern	nit:	+	Iss	ued	Per	mit	Hol	der	#:			
*Permit Premises Address: *Is Permit Holder an Agency St If YES, what is the assigned ag							•				S	□ 1	NO -						
*Township (if premises is outside city limits):						l		*	Zip C	ode	э:		*Cc	ount	y:				
*Contact Name:	*Who will be the Primary Contact for this Application: □ Contact Listed □ Attorney Listed Below																		
Phone:				,	*Bus	ines	ness Phone:												
*Issued Permit Holder's Email Address:																			
Attorney Information (if applicable)	Nam	e:																	
Address:	City: S				Stat	tate: Zip Code: Phone #:													
Attorney Email Address:					L				1										

SE	СТ	ION C	– Ne	∍w B	usines	s Ov	vner	"s In	forr	nati	ion ([□ N/	Α –	- issu	ed p	ermit	holde	r is r	movir	ng I	ocation	only	/ – g	o to	Sect	ion [D)
1.					ss Entit				-					y sta	ate la	aw – I	orovio	de s	statut	te r	eferenc	e):
					Ohio S		-					_		<u> </u>							_						
		Limite	d Li	ability	y Comp	any	(LL	(C) —	Ohi	10 S	ecre	etary #	ot	Sta	te C	harte	r#_										
		NON-F Partna	rehi	r – () hio Sed Ohio Se	crot	ary o	of St	ne (רום. Ch₁	i lei artar	# <u></u> · #				NOT	E · M	II S	T he	119	– S Citizo	ne s	S. 0.V	or th	na 2	an (of 21)
		Sole F	Propi	rietor	r (Individ	lual)	.ary () — Li	ast 4	diai	its c	of SS	" — SN:			/ (N	OTE	: MU	IST	be a	a U	IS Citiz	en 8	k ov	er th	ie a	ae c	of 21)
*					er Secre																						
"	Jus	3111633	IVAII	ie pe	SI SECI	stai j	y Oi	State	, 01	30	ie ri	Орп	CU)	aiiie	(~	piica	1111	١.								
D	ВА	(Doing	g Bu	sines	s As):									*Wil	ll or	does	the A	ppli	icant	t ha	ave an a	ager	су с	contr	act	with	the
																					Sectio						
*(or	ntact Na	ame	:										*Wh					-		tact for						
*	hc	ne:														Conta Isines				Ai	ttorney	Liste	ed B	elow			
'	110	лъ.													В	1311163	55 F 11	one	·.								
*/	pp	licant I	mai	I Add	dress:							1			1									1			
*/	۱lte	ernate	Mail	ing /	Address	(m	ust k	e dif	ffere	ent 1	than	the	ре	rmit	prer	nises	add	res	s):				Į				
	4tt	torney	Info	rmat	tion (if a	appl	icab	le)	Na	me:																	
A	ddı	ress:							City	y:					Sta	te:		Zi	ір Со	ode) :	F	hon	e #:			
Ļ																											
Er	nai	I Addres	SS:				$\overline{}$	П	\neg											1							
SE	СТ	ION D	– Ne	ew P	ermit P	rem	ises	Info	rma	atio	n (□	N/A	tł	ne pe	ermit	premi	ses is	NO:	T ma	ovin	ng locatio	ns -	- skir	o to S	Secti	on F)
					es Addr						(3						′
*T	-OW	vnshin	(if nr	emis	es is ou	tside	- city	/ limit	۱۵).		*City	,.							*7in	ı Co	ode:	*	Соц	inty:			
'	•	viioiiip	(ı. p.	011110	00 10 00	.0.00	, only		.0).		٥.,	•							,		ouo.		000				
	*1	. 41		ماداد									_ - !- :		:11 =			L	- 41		!4 !-		41-				
1.		s the n			ess abov	e in	a D	IFFE	KEI	NI C	city,	town	sni	p or	villa	ge m	om w	nere	e tne	e pe	ermit is	curre	entiy	/ ISSI	Jea	:	
					w that so	ma c	of the	narm	nit tv	nale	·) cor	ntaine	ıd in	n this	ann	licatio	n ara	cub	ioct t	·)hio'e au	ota (Click	k to k	arn	mor	a) If
	th	ere are	"no-d	openi	ings" in th	ne ne	ew cit	ty, vill	lage,	, or t	ówn	ship f	or t	he p	ermit	t type(s) the	app	plicar	nt is	trying to	trar	nsfer				
			-		the trans													_									
2.					premise: Ohio's I																						
					d, how d																auress i	SD	Κĭ	101 1	ne	эеп	IIL
	•	,	•			•				•			•		•		`			,							
	 □ CANCEL the transfer application for the affected permit type(s). □ RETAIN the transfer application on file with us for the affected permit type(s). 																										
	If your applied-for location is "DRY" and you intend to put a question on the ballot to get your new address voted "Wet" in the next																										
	el	ection, t	he D	ivisio	n recomi	meno	ds yo	ou cho	ose	to le	eave	your	apı	plicat	tion (i.e., R	ETAI	N) o	n file	wit							
	op	otion ele	ction	s sho	ould be d	irect	ed to	your	Cou	ınty	Boar	d of I	Ele	ctions	s or y	our p	erson	al at	ttorne	ey.							
					check or				e opt	tions	and	your	ne	w loc	cation	n is DI	RY, th	e Di	ivisio	n w	ill CAN	EL	your	trans	sfer	appl	cation
2		•			cted perr	•		•								/ F :				•	4)						
3.					location make up																						ed
	clo	oser to v	vhen	the p	permit is e above r	read	ly to t	transf																			

SE	CTION E – Permit Selection
1.	 *Select the Issued Permit Type(s) being transferred to the new location or owner. Please note that: D-5B, D-5J, D-5L, and D-7 permits can ONLY transfer to a location within the boundaries of the district where the current permit is issued. Only <i>issued</i> permit classes can be transferred. See instructions next to the permit types with additional questions that MUST be submitted with this application.
	Manufacturing
	□ A-1 □ A-1C □ A-2 □ A-2F □ A-3 □ A-3A □ A-4 □ A-5 □ A-1A □ D-6
	☐ S-1 (See S-1/S-2 Addendum) ☐ S-2 (See S-1/S-2 Addendum) ☐ W
H	Distributor / Wholesale
L] B-1
H	Retail
	□ C-1 □ C-2 □ C-2X* □ D-1 □ D-2 □ D-2X* □ D-3 □ D-3X* □ D3A □ D-4 (See D-4 Addendum) □ D-5 □ D-6 * Can only be transferred with an issued C-2, D-2, or D-3.
	Retail – Non-Quota Those marked with a "^" CANNOT be transferred to a new location
F	D-5A^ (See D-5A Addendum) D-5B D-5C^ D-5D^ D-5E^ (See D-5E Addendum)
	,
	□ D-5F^ (See D-5F Addendum) □ D-5G^ □ D-5H^ (See D-5H Addendum) □ D-5I^ (See D-5I Addendum)
	□ D-5J (provide CED Name:) □ D-5K (See D-5K Addendum)
	D-5L (See D-5L Addendum & provide RD Name:) D-5M^ D-5M^ D-5N^
] D-7 □ D-8^
H	Other
L	□G □I
2.	*Do any of the above permit classes being transferred require TREX approval? (See Section D, Q.1 for more information about TREX.) □ YES □ NO (If YES, you MUST include the signed <u>TREX FORM</u> with this application.)
3.	*If transferring the ownership of an issued permit that will operate at the SAME address, are any duplicate bar permits being transferred to the new owner? To learn more about duplicate bars, go to com.ohio.gov/duplicatebar . □ YES □ NO □ N/A - location transfer only
	 List the Bar #'s (i.e., Bar 3, 4, etc.): Please complete this application for the Master Bar and submit a Duplicate Bar Addendum for each duplicate bar being transferred, see DLC 4120_Transfer of Duplicate Bar.
	NOTE: If moving locations and your new location will have duplicate bars, please file a new <u>Duplicate/Additional Bar Application (Form DLC 4258)</u> for each bar at that new location.
4.	*In addition to the permit classes selected above in this Section, are you applying for any new permit classes at your location? ☐ YES ☐ NO
	If YES, what classes are you adding:
	We strongly recommend that you file the new application(s) with this application. However, if you already submitted the new permit application to us, please provide the date:
	NOTE : If applying for a D-6 only at the new location, please file the D-6 ONLY application. If adding other permit

select the D-6 on that application.

classes with a D-6 at the new location (i.e., adding a D-3, 3A, and 6), then file the new application for the D-3, 3A, and

NOTE: For Sections G & H, the term "**significant stakeholder**" includes a(n):

- Partner(s) in the business entity
 Managing Member(s)
- 5% or more voting interest holder(s) in an LLC

• Office Holder(s)

- 5% or more member(s)
- Manager of the permit premises

- 5% or more shareholder(s)
- Spouse

SE	CTION G – General Questions for the New Owner (i.e., Buyer)
(□	N/A – issued permit holder is only moving its permit to a new address – skip to Section H)
1.	*Transaction Type – NOTE: depending on the type of transfer, we may need additional documentation, (e.g., purchase agreements, bills of sale, loan or financial documents, affidavit, agreements, court orders, etc.): Sale of business/assets (see the Summary of Purchase Agreement at com.ohio.gov/requiredforms) Gift between family members as defined in Ohio Admin Code 4301:1-1-14 Court Order/Appointment (i.e., court settlement, estate/distribution of assets, receivership, divorce) – Please provide an explanation:
	☐ Other – Please provide an explanation:
2.	*Does the applicant in Section C (whether an individual or business) or any significant stakeholder hold or have any interest in another Ohio liquor permit business currently or previously issued? □ YES □ NO
	If YES, provide the permit #(s) & business address(es):
3.	*Has the applicant in Section C (whether business or sole proprietor) or any significant stakeholder ever been convicted of a felony or misdemeanor crime, including any alcohol-related offenses, in Ohio or any other state? NOTE: You should disclose a conviction even if you think it was minor or that it happened long ago? □ YES □ NO
	If YES , provide the company or individual's name with an explanation that includes the when, where, what, and result of the offense (if needed, you can provide a written statement on an additional sheet of paper):
4.	*Has the applicant in Section C (whether an individual or business) or any significant stakeholder ever been refused a permit, denied a renewal, or had a permit revoked by this Division or the Ohio Liquor Control Commission? □ YES □ NO
	If YES, please give a detailed explanation:
5.	*Will the spouse of a significant stakeholder (except the on-premises manager's spouse) work on the permit premises? □ YES □ NO
	If YES, list the spouse(s) names Each working spouse MUST submit a <u>Personal History Background Form-DLC 4121</u> with this application and complete a <u>BCI background check</u> before the permit can be issued.
6.	*Will the applicant in Section C be the sole owner of the business? □ YES □ NO

7.	*Will the applicant in Section C be the sole owner of the equipment used at the business (e.g., taps, coolers, etc.)? □ YES □ NO
	If NO, please give a detailed explanation:
8.	*Will any person, partnership, LLC, or corporation, excluding banks or building and loan associations, have ANY financial interest (such as money, loans, installment contracts, property, or other interest) or share in the profits in the business or the property, real or personal? □ YES □ NO
	If YES, please give a detailed explanation:
9.	*If transferring A or B permits, does the applicant in Section C or anyone else , whether disclosed or not, including any significant stakeholders, own any stock or have any interest in an Ohio retail permit holder? □ YES □ NO □ N/A
	If YES, please give a detailed explanation:
10.	*If transferring C or D permits, does the applicant in Section C or anyone else , whether disclosed or not, including any significant stakeholders, own any stock or have any interest in the business of an Ohio alcohol manufacturer or wholesale distributor of alcoholic beverages? □ YES □ NO □ N/A
	If YES, please give a detailed explanation, including permit #'s:
SE	CTION H – General Questions related to the Location (*must be completed by all applicants*)
1.	*Will construction be required before the business can open? ☐ YES ☐ NO
	If YES, please provide a projected opening date:
2.	*Does the applicant in Section B (current permit holder moving to a new location) or C (new owner that will operate at the current or new location) own the real estate on which the proposed business will be located? □ YES □ NO
	If NO, a Summary of Tenancy Rights Form (DLC 4085) will be needed before the permit can be issued.
3.	*If transferring D Class permit(s) or an A-1A permit, will or does the new permit premises have 2 restrooms? □ YES □ NO □ N/A – transferring permit types other than D permits
	If NO, please give a detailed explanation:
4.	*If transferring D Class permit(s) or an A-1A permit, will or does the permit premises have a Food Service License ("FSO") or Food Establishment License ("FE") issued in the name of the Applicant? □ YES □ NO □ N/A – transferring permit types other than D permits or have an A class permit that meets an exception.
	If YES, submit a copy of the FSO or FE License when available.
	If NO, please give a detailed explanation:

	CTION I – Required Information for application to be deemed complete or the application WILL BE RETURNED
AL	L Applicants MUST:
1.	☐ Meet the statutory requirements for the applied permit(s) (see Ohio Revised Code 4303).
2.	 Submit the non-refundable \$100 application processing fee and ensure the: Payment is by check or money order (NO CASH) and made payable to "Treasurer, State of Ohio" Payment is signed, Numeric amount listed matches the written amount, and Applicant listed in Section B or C, as applicable, is in the memo line (if different than contact information printed on payment).
3.	$\hfill\square$ Submit this application (answer ALL questions, including any requested applicant business information, and signatures).
4.	□ Submit Personal History Background Form(s) (DLC 4121) (PHBF) (complete top portion only) for ALL required individuals. For a list of individuals required to complete a PHBF and an Ohio Bureau of Criminal Investigation fingerprint webcheck, see the Background Check Process "Persons Required to Get Both Background Checks". While an Ohio Bureau of Criminal Investigation fingerprint webcheck is not required at the time of application submission, it is strongly encouraged. □ N/A – permit holder has been designated as a master file with the Division.
5.	Submit Entity Disclosure Form based on entity type: Corporation – Officer/Shareholder Disclosure Form (DLC 4030) LLC – LLC Membership Disclosure Form (DLC 4032) Non-Profit Entity – Non-Profit Entity Form (DLC 4029) Partnership – Partnership Disclosure Form (DLC 4031) Sole Proprietor – N/A N/A – permit holder has been designated as a master file with the Division
6.	 ☐ IF REQUIRED, submit a complete Economic Development TREX Transfer Form (DLC4244) (see our TREX guide for when this applies). ☐ N/A – transfer is not a TREX transfer.
7.	 □ Executed Power of Attorney Agreement (must submit an executed power of attorney if you are signing this document on behalf of another person or company). □ N/A □ N/A – POA Already on File
Ne	w Owners (i.e., Buyers) regardless of where the permit will be located MUST:
8.	Submit confirmation of Ohio Secretary of State Filing (web print outs are acceptable) based on entity type: Corporation – Certificate of Good Standing or Certificate of Authority to Do Business in Ohio LLC – Certificate of Organization or Certificate of Authority to Do Business in Ohio Non-Profit Entity – Certificate of Continued Existence or Certificate of Authority to Do Business in Ohio Partnership – Certificate of Good Standing, Fictitious Name or Authority to Do Business in Ohio N/A – Applicant is a Sole Proprietor or an organization created by state law N/A – permit holder has been designated as a master file with the Division
9.	☐ If the Entity Type in Section C of this application is a Partnership, submit a signed copy of the Partnership Agreement. ☐ N/A because the applicant is NOT a partnership and/or the permit holder has been designated as a master file with the Division.

WARNING: Ohio law requires the Division to notify the Ohio Department of Taxation (TAX) whenever the ownership of a permit changes. By law, we **CANNOT** transfer the ownership of a permit until tax notifies us that the issued permit holder has no outstanding tax obligations. We will also not transfer an issued permit that has unresolved violations or, if applicable, past due renewal applications or fees. Deliberate misrepresentation of any of the information on the application can result in the Division's refusal to issue this permit.

*SECTION J - Certification of Form - Part 1 of 2

THE FOLLOWING MUST BE COMPLETED BY THE ISSUED PERMIT HOLDER (i.e., SELLER)

Note, the person signing must be listed on the seller's entity disclosure form on file with the Division or most recent renewal application.

By signing below, I certify and understand that:

- I have authority to execute this document.
- I filed this transfer application to the below buyer, if applicable.
- While this transfer application is pending with the Division, the seller has authorized the new owner (i.e., buyer) to submit renewal applications on the issued permit holder's behalf **UNLESS** the issued permit holder (i.e., seller) has checked the below box indicating that the new owner CANNOT file renewal applications on behalf of the seller.
 - ☐ Buyer does **NOT** have authorization to file renewal applications on seller's behalf while this transfer is pending.
- Despite the above, I am responsible for any citations, tax obligations listed under the applicable provisions of Title 57 of the Ohio Revised Code against my permit, and filing of renewal applications with fees until the transfer completes.
- The information provided, including any addendums, is true, correct, and complete to the best of my knowledge and belief.
- Failing to complete this application, consistent with the above listed instructions, will result in this application being returned, unprocessed, until a corrected, complete application is received by the Division.
- I, or the new owner, can cancel this transfer application any time before the transfer completes.
- (Signature of Individual, Partner, Officer, Managing Member, or 5% or more Shareholder or Member)

 (Please Print Name)

 (Street Address, City, State, Zip Code)

 (Email Address)

During the review of this application further documentation or actions may be needed and my failure to timely comply could delay the

*SECTION J – Certification of Form – Part 2 of 2

THE FOLLOWING MUST BE COMPLETED BY THE NEW OWNER (if transferring ownership)

Note, the person signing must be listed on the entity disclosure form, if required – see Section I, Item #5 above.

By signing below, I certify and understand that:

- I have authority to execute this document.
- As the new owner, I could be responsible for paying the issued permit holder's unpaid sales, use, and/or withholding tax liabilities through what is referred to as successor liability. I further understand that I can ask the issued permit holder (i.e., seller) obtain a sales and/or withholding tax release certificate from the Ohio Department of Taxation.
- I can contact the Ohio Department of Jobs and Family Services to discuss any possible liabilities that the issued permit holder may have regarding unemployment or workers compensation premiums.
- The information provided in this application and applicable addendums (see below) are true, correct, and complete to the best of my knowledge and belief.
- Failing to complete this application, consistent with the above listed instructions, will result in this application being returned to me, unprocessed, until a corrected, complete application is received by the Division.
- During the review of this application further documentation or actions may be needed and my failure to timely comply could delay the processing of my application.
- Depending on type of permits being transferred, the permits *may be* subject to Ohio's wet/dry laws and governmental entities and institutions as defined in <u>R.C. 4303.26</u> *may* need to be notified and can object to the issuance of the permit.

(Signature of Individual, Partner, Officer, Mana	aging Member, or 5% or more Share	cholder or Member)
(Please Print Name)	(Title)	(Date)

Submit the application, fees, and required forms to:
Ohio Department of Commerce – Division of Liquor Control c/o Licensing New & Transfer Section 6606 Tussing Road
Reynoldsburg, OH 43068-9005

Questions? Go to com.ohio.gov/ineedhelp Email fileinquiry@com.ohio.gov Call (614) 644-3155 Office Hours: 8:00 a.m. - 5:00 p.m. EST

Sign-up to stay informed at com.ohio.gov/stayinformed

S-1 / S-2 Addendum

To be completed and submitted by applicants transferring an S-1 or S-2 permit.

(select all that apply)? □ Beer □ Winery producing under 250,000 gallons □ Winery producing 250,000 gallons or more 2. *Does the applicant make the product(s) selected above? □ YES □ NO ***If NO to Q.2. above STOP as you do not qualify to hold an S-1 or S-2 permit. 3. *Is the product(s) the applicant makes and wants to direct ship registered for sale in Ohio? □ YES □ NO If YES, provide the name and Ohio permit or supplier # of the entity that registered the product? ***If the entity that registered the labels loses its supplier or permit holder status in Ohio, then the applicant must register as the supplier and register the labels under it.	Sta	tutory Questions for S-1 or S-2 Applicants
2. *Does the applicant make the product(s) selected above? ☐ YES ☐ NO ***If NO to Q.2. above STOP as you do not qualify to hold an S-1 or S-2 permit. 3. *Is the product(s) the applicant makes and wants to direct ship registered for sale in Ohio? ☐ YES ☐ NO If YES, provide the name and Ohio permit or supplier # of the entity that registered the product? — ***If the entity that registered the labels loses its supplier or permit holder status in Ohio, then the applicant must register as the supplier and register the labels under it. If NO, then the applicant needs to register the product, and either be the Ohio licensed manufacturer (A-1, A-1c, A-2f permit holder) or registered supplier (See supplier application).	1.	*What product(s), regardless of where the product is made, does the applicant intend to direct ship to Ohio consumers (select all that apply)?
 YES □ NO ***If NO to Q.2. above STOP as you do not qualify to hold an S-1 or S-2 permit. 3. *Is the product(s) the applicant makes and wants to direct ship registered for sale in Ohio? □ YES □ NO If YES, provide the name and Ohio permit or supplier # of the entity that registered the product? ***If the entity that registered the labels loses its supplier or permit holder status in Ohio, then the applicant must register as the supplier and register the labels under it. If NO, then the applicant needs to register the product, and either be the Ohio licensed manufacturer (A-1, A-1c, A-2f permit holder) or registered supplier (See supplier application). 		☐ Beer ☐ Winery producing under 250,000 gallons ☐ Winery producing 250,000 gallons or more
**Is the product(s) the applicant makes and wants to direct ship registered for sale in Ohio? YES	2.	
 ☐ YES ☐ NO If YES, provide the name and Ohio permit or supplier # of the entity that registered the product? ***If the entity that registered the labels loses its supplier or permit holder status in Ohio, then the applicant must register as the supplier and register the labels under it. If NO, then the applicant needs to register the product, and either be the Ohio licensed manufacturer (A-1, A-1c, A-2f permit holder) or registered supplier (See supplier application). 		***If NO to Q.2. above <u>STOP</u> as you do not qualify to hold an S-1 or S-2 permit.
***If the entity that registered the labels loses its supplier or permit holder status in Ohio, then the applicant must register as the supplier and register the labels under it. If NO, then the applicant needs to register the product, and either be the Ohio licensed manufacturer (A-1, A-1c, A-2f permit holder) or registered supplier (See supplier application).	3.	, , , ,
register as the supplier and register the labels under it. If NO, then the applicant needs to register the product, and either be the Ohio licensed manufacturer (A-1, A-1c, A-2f permit holder) or registered supplier (See supplier application).		If YES, provide the name and Ohio permit or supplier # of the entity that registered the product?
A-2f permit holder) or registered supplier (See supplier application).		***If the entity that registered the labels loses its supplier or permit holder status in Ohio, then the applicant must register as the supplier and register the labels under it.
*List the product(s) that you intend to ship into Ohio:		If NO , then the applicant needs to register the product, and either be the Ohio licensed manufacturer (A-1, A-1c, A-2, or A-2f permit holder) or registered supplier (See supplier application).
		*List the product(s) that you intend to ship into Ohio:
		<u> </u>

D-4 Addendum

To be completed and submitted by applicants transferring a D-4 permit.

Sta	tutory Questions for D-4 Appl	icants							
1.	*Has the Applicant club/organiz	ation been in exister	nce and active for at le	east 3 years prior to the filing	of this application?				
	□ NO Go to Question 2.				_				
	☐ YES Provide the date the C	Club was organized:	_	and skip to Question :	3.				
2.	Is the Applicant club part of an active for at least 3 years prior t			national fraternal organization	that has been				
	 □ NO STOP, you do not qualify for the D-4 permit. □ YES Provide the name and address of affiliated national organization: 								
3.	3. Is the club operated in the interest of and/or for the benefit of its members? □ NO STOP, you do not qualify for the D-4 permit.								
	☐ YES Identify the purpose of What is the purpose of the		n below (select all tha	t apply) and go to Question 4	•				
	□ Fraternal	□ Social	☐ Recreational	☐ Athletic					
	☐ Benevolent			□ Patriotic					
4.	Does the club require dues paid □ NO STOP, you do not qua □ YES Complete ALL the mer Club membership is subject to t □ Regular	alify to hold the D-4 mbership meetings a	permit. nd dues information b	pelow and continue on to Sec	tion D.				
	*Initiation Fee: \$								
	*Membership dues of \$								
	☐ Weekly ☐ Mor	nthly \Box Q	uarterly 🗆 S	Semi-Annually ☐ Annu	ially				
SF	CTION F – Elected Officer(s) a	nd Food and Bever	age Manager Inform	nation					
	*Complete the below information		-		organization.				
	Name	Office Held /	Title	Mailing Address (different f	rom permit)				
2.	*List the person who will be res elected officers listed above or check and submit a personal hi- has changed and, if so, that nev	another member of y story background for	our club. The person with this applicatio	listed below must compete a n. Each renewal you MUST to	BCI background				
	Name	Ad	dress	Social Security Number	Date of Birth				
				-					
<u> </u>				1					

D-5A Addendum

To be completed and submitted by applicants transferring a D-5A permit.

	ESTIONNAIRE – This questionnaire should be completed to determine if the applicant in Section C should be the tel/motel ("hotel") or an affiliated restaurant. For purposes of this application, hotel includes motel.
1.	To qualify, the applicant must be either a (check one of the following): □ restaurant owner within or on the contiguous outparcel of a hotel with 50 rooms for registered transient guests that has a written agreement to serve the food and alcohol on the hotel's behalf? If checked, you are the applicant in Section C above and should answer the below statutory questions for D-5A applicants. □ hotel that contains 50 rooms for registered transient guests? If checked, go to question 2 of this questionnaire. □ hotel owned by a state institution of higher education or a private college or university? If checked, go to question 2 of this questionnaire.
2.	*Will the hotel obtain, or does it have, a Food Service Operators License of Food Establishment License and does it plan to hold the liquor permit? □ YES □ NO
	If YES, you should be the applicant in Section C above.
	IF NO, the hotel does not qualify for a permit, revisit question 1 above or apply for another permit type.
	atutory Questions for D-5A Permit Applicants
1.	*Is or will the principal business of the hotel be the accommodation of transient guests? ☐ YES ☐ NO
	If NO, please give a detailed explanation:
2.	*Is the applicant listed in Section B (if just moving locations) or C (if changing ownership with operations staying at the same or a new location) owned by a state institution of higher education as defined by R.C. Section 3345.011 or a private college or university? □ YES □ NO
	If NO, please give a detailed explanation:

D-5E Addendum

To be completed and submitted by applicants transferring a D-5E permit.

atutory Questions for D-5E Permit Applicants
*Will or is the riverboat permanently docked at one location? ☐ YES ☐ NO
If NO, please give a detailed explanation:
*Will or is the riverboat designated as a historical riverboat by the Ohio History Connection? □ YES □ NO
If NO, please give a detailed explanation:
*Will or does the riverboat contain 500 square feet or more of floor area? □ YES □ NO
If NO, please give a detailed explanation:
*Will or does the riverboat have a seating capacity of 50 or more people? □ YES □ NO
If NO, please give a detailed explanation:

D-5F Addendum

To be completed and submitted by applicants transferring a D-5F permit.

Sta	tutory Questions for D-5F Permit Applicants
1.	*Will or does the restaurant contain 2,500 or more square feet of floor area? ☐ YES ☐ NO
	If NO, please give a detailed explanation:
2.	*Will or is the restaurant on, in or immediately adjacent to the shoreline of a navigable river? \square YES \square NO
	If NO, please give a detailed explanation:
3.	*Will or does the restaurant provide enough docking space for at least 25 boats? □ YES □ NO
	If NO, please give a detailed explanation:
4.	*Is or will at least 50% of the restaurant's business at the permit premises be preparing and serving meals to consumers? \Box YES \Box NO
	If NO, please give a detailed explanation:
5.	*Will or does the restaurant have certification from the local legislative authority that the issuance of permit is consistent with the political subdivision's comprehensive development plan or other economic development goal as officially established by the local legislative authority? □ YES □ NO
	If YES, submit the certification from the local legislative authority.
	If NO, please give a detailed explanation:

D-5H Addendum

To be completed and submitted by applicants transferring a D-5H permit.

Sta	Statutory Questions for D-5H Permit Applicants		
1.	*Is the applicant a 501(c)(3) non-profit organization that owns or operates a:		
	☐ Fine arts museum that has 1,500 or more bona fide members possessing full membership privileges?		
	□ Community arts center that provides arts programming to the community in more than one arts discipline, including, but not limited to, exhibits of works of art and performances by both professional and amateur artists?		
	 □ Community theater that: a. is a member of the Ohio arts council and the American community theatre association, b. has been in existence for ten years or more, AND c. operates at a facility that contains at least 150 seats whose primary function is to present live theatrical performances and provide recreational opportunities to the community? 		
	□ None of the above – STOP , you do not qualify for this permit.		

D-5I Addendum

To be completed and submitted by applicants transferring a D-5I permit.

St	atutory Questions for D-5I Permit Applicants (documents may be needed to verify answers during our review)
1.	*Is the permit premises located in a municipal corporation or a township with a population of 100,000 or less? ☐ YES ☐ NO
	If NO, STOP. Applicant does not qualify according to R.C. 4303.181.
2.	*Will or does the inside seating capacity at the permit premises accommodate at least 140 people? ☐ YES ☐ NO
	If NO, STOP. Applicant does not qualify according to R.C. 4303.181.
3.	*Will or does the floor area at the permit premises cover at least 4,000 square feet? ☐ YES ☐ NO
	If NO, STOP. Applicant does not qualify according to R.C. 4303.181.
4.	*Will or does the permit premises offer full-course meals, appetizers, and sandwiches? ☐ YES ☐ NO
	If NO, STOP. Applicant does not qualify according to R.C. 4303.181.
5.	*Will the permit premises' receipts from beer and liquor sales, excluding wine sales, be less than 25% of its total gross receipts? □ YES □ NO
	If NO, STOP. Applicant does not qualify according to R.C. 4303.181.
6.	*Which one of the following applies:
	☐ Will or does the value of the real and personal property exceed \$725,000?
	☐ Is or will the permit premises be located on property owned/leased by the state or a state agency, and its owner/operator has authorization from the state or the state agency that owns or leases the property to obtain a D-5I permit?
	If neither applies, STOP. Applicant does not qualify according to R.C. 4303.181.

D-5K Addendum

To be completed and submitted by applicants transferring a D-5K permit.

Sta	atutory Questions for D-5K Permit Applicants
1.	*Will or is the applicant listed in Section B or C, as applicable, be the owner/operator of a botanical garden? ☐ YES ☐ NO
	If NO, please give a detailed explanation:
2.	*Will or is the botanical garden recognized by the American Association of Botanical Gardens and Arboreta or its successor, the American Public Gardens Association? ☐ YES ☐ NO
	If NO, please give a detailed explanation:
3.	*Will or does the applicant listed in Section B or C have 2,500 or more bona fide members? ☐ YES ☐ NO
	If NO, please give a detailed explanation:

D-5L Addendum

To be completed and submitted by applicants transferring a D-5L permit.

Statutory Questions for D-5L Permit Applicants (documents may be needed to verify your answers during the review)			
1.	*Will or does the sale of food and meals at the permit premises constitute at least 75% of its total gross annual receipts? ☐ YES ☐ NO		
	If NO, please give a detailed explanation:		
2.	*Is the applicant in Section B or C, as applicable, an adult entertainment establishment as defined in R.C. 2907.39? ☐ YES ☐ NO		
	If YES , stop, you do not qualify to have a D-5L permit.		