

FY24 Preservation and Reinvestment Initiative for Community Enhancement (PRICE) Program Application

The Ohio Department of Development is requesting \$75 million for the Preservation and Reinvestment Initiative for Community Enhancement (PRICE) Main program through the U.S. Department of Housing and Urban Development (HUD) for the preservation and revitalization of manufactured housing. If funded, Development would create a grant program for local governments, developers, and other eligible entities to apply for funding. The following narrative demonstrates the need within the state of Ohio, details the proposed program, and discusses the capacity for Development to administer the program.

The need

Since 2010, the state of Ohio has experienced a population increase of approximately 1.9% and had a population of around 11.7 million people in 2022.¹ With a recent decrease in population by 0.28% from 2020-2021 after this extended period of growth, Ohio still is showing a net increase of population in this period.² It is essential for the state to support an increased stock of affordable housing opportunities for this increased population count. Outlined below are key metrics relevant to meeting this housing need throughout the state of Ohio such as the poverty rate, income levels, and unemployment rate.

Utilizing 5-year estimates from the United States Census Bureau, in 2022, Ohio's total poverty rate was 13.3% of the total population.³ This is higher than the national average in 2022 of 11.5%.⁴ In the past five years, the poverty rates in Ohio have been trending downward, demonstrating a marginal improvement, but still necessitating housing for the most vulnerable population.⁴

Taking HUD's definitions of low-income at 80% of Area Median Income (AMI) and very low-income at 50% as a baseline, the income levels of the entire population of Ohio can be extrapolated using census data. Using income ranges from the United States Census Bureau, about 38% of Ohio's population is considered low-income.⁵ This was determined by taking 80% of the median income in 2022 and matching it with the income estimates of \$49,999 or less. Additionally, about 26% of the population would be considered very low income. This

¹ <https://usafacts.org/data/topics/people-society/population-and-demographics/our-changing-population/state/ohio/>

² <https://ohiohome.org/research/demographics-23.aspx#popchange>

³ <https://data.census.gov/table/ACSST5Y2022.S1701?q=poverty&g=040XX00US39>

⁴ <https://www.census.gov/library/publications/2023/demo/p60-280.html#:~:text=The%20official%20poverty%20rate%20in,decreased%20between%202021%20and%202022.>

⁵ <https://data.census.gov/table/ACSST5Y2022.S1901?q=Income%20and%20Poverty&g=040XX00US39>

was determined by taking 50% of the median income in 2022 and matching it with income estimates of \$34,999 or less.

As of April 2024, Ohio's unemployment rate sits at 4%, just slightly higher than the national average at 3.9%.⁶ In 2022, the unemployment rate in Ohio was 5%⁷ and dropped to a low of 3.3% in May 2023, but has since been climbing.

Ohio has also been the subject of several weather-related natural disasters over the last five years. On March 14, 2024, several tornadoes devastated 11 counties. This included an EF3 tornado that hit Logan and Auglaize Counties in Ohio, killing three people and damaging a significant number of structures, including the destruction of a manufactured housing community.⁸ Many of those affected were relocated to a shelter nearby. President Joe Biden declared a Federal State of Emergency on May 2, 2024, allowing FEMA to assess damage and administer assistance. As of May 31, 2024, nearly \$2.5 million of damage has been reported and assistance requested.⁹

Additionally, on Memorial Day of 2019, a disastrous tornado occurred, with a total of 19 tornadoes that damaged nearly 800 households in the greater Montgomery County area. As of May 31, 2024, only a fraction of these units has been recovered/redeveloped. Providing Ohio communities with more resilient housing infrastructure is key to recovering from these natural disasters and would allow Ohioans to feel safer in their homes in the event of future weather-related incidences.

Many barriers exist regarding manufactured housing in the state of Ohio which include high cost, an increasing number of out of state companies buying homes, limitations in zoning codes, and access to proper utilities such as water, sewage, and roads. In addition to state barriers, the sheer definition of manufactured housing causes a barrier to entry for this specific area of need, since many housing related programs both on a state and federal level specifically prohibit funds from being used on manufactured housing units.

Program Details

Eligible Applicants

Coordinated and committed teams will be required for each project proposal. It is encouraged, to produce the highest quality application, that a combination of entities essential for the development of manufactured housing be gathered, which may include a local unit of government, lead developer, building contractor for repairs and improvements to site, housing counseling agency, manufactured home retailer, legal counsel, and private

⁶ <https://www.bls.gov/news.release/pdf/empst.pdf>

⁷ <https://data.census.gov/table/ACSDP5Y2022.DP03?q=employment&g=040XX00US39>

⁸ <https://www.wosu.org/news/2024-03-15/at-least-three-people-dead-as-tornados-hit-logan-county>

⁹ <https://www.fema.gov/disaster/4777>

lenders. ***Project teams or groups must select one Lead Applicant who will submit the application to Development for a project.*** A Memorandum of Understanding (MOU) will be required for each partnering entity that the Lead Applicant plans to include in their application. Preference points will be provided for any Lead Applicant which requests funding to develop or transition a manufactured home community (MHC) to a resident owned community (ROC).

Eligible Activities

Each Lead Applicant can request up to \$3 million per application for one of or a combination of the activities listed below.

- Contract for a physical condition assessment and surveys of residents of an existing MHC determined to be a critical need to be preserved by the application team.
- Repair costs for post-1976 manufactured homes including adding accessibility/livability improvements and universal design features to the houses needed by the residents.
- Repair costs for infrastructure (utilities and water/sewer) and common areas.
- Community broadband internet installation costs/fees.
- Driveway, road repair, and landscaping.
- Legal fees for establishment of the ROC organization and membership costs for the residents.
- Costs to mitigate adverse environmental impacts to the residents as identified in the MHC's environmental review.
- Land acquisition costs.
- Demolition, removal, and replacement costs of any pre--1976 vacant and unrepairable homes.
- Developer and building contractor fees.
- Environmental review contractor fees.
- Housing Counseling costs for existing residents who want to buy into the new ROC, or buyers of new homes.
- Operating maintenance reserve to be controlled by the ROC ownership entity.
- Developer fee up to 15% of the total project cost.
- Costs to make all homes Energy Star certified, if not already at that level.
- Costs to anchor homes to the ground to reduce damage from high winds for those homes not already anchored to the ground.
- Construction of a FEMA-approved storm shelter available and accessible to all residents of the MHC.

Preference points will be provided for any plan that proposes to cover legal fees for the conversion into or creation of ROCs. An effort will be made by Development to include HUD's applicable budget document in the final application material.

Additional Eligibility Information

- If an eligible applicant received PRICE funding directly from HUD, they are **not** eligible to receive PRICE funding through Development. PRICE funding from multiple sources cannot be used on the same project.
- To be eligible for rehabilitation activities, properties must be built in 1976 or after (“post-1976 homes”) and have a HUD construction certification.
- All residents in the MHC must have incomes at or below 50% of the AMI to benefit from the program. Applicants must also certify the incomes of the residents to ensure their incomes meet the program requirements.
- A 15-year Restrictive Covenant and mortgage recorded on the property to ensure long-term affordability and require repayment of the grant funds if the property is ever sold in the future, or other alternative requirement as allowed by HUD.

Allocation Process

The \$75 million will be divided into two allocation pools: rural and urban. Only one award per rural county and two awards per urban county will be allowed, awarded competitively, to serve as many areas of the state as possible. Lead Applicants will apply to Development, and applications will be reviewed by a team of Development and Ohio Housing Finance Agency (OHFA) staff. Development teams must identify one eligible MHC to be preserved or constructed within the jurisdiction of the local government partner. All pre-1976 units must be identified upon application and acknowledged by applicant that they are only eligible for replacement, not rehab. Home repair funds may be used on post-1976 units up to \$15,000 per manufactured home owned/rented by low-to moderate-income (LMI) households. If a unit is rented, within the funding agreement, a clause will be inserted to indicate income qualification covenant for all renters within affordability period.

Applications will be ranked using the following criteria:

- Need for affordable homeownership opportunities in the county.
- Developer capacity.
- Proposal aligns with a local housing or community revitalization plan.
- Community has suffered a loss in the past two years of an MHC serving LMI residents through a sale to another private landlord who changed the use of the land or increased lot rents to an unaffordable level, or an MHC destroyed by a natural disaster.
- If the landowner and MHC agree to form a ROC.
- If the MHC has experienced a natural disaster within the last 5 years.

If awarded, Development will create guidelines to contain the competitive criteria, application procedures and forms to administer the program.

Timeline

The tentative timeline is as follows if an award is received from HUD:

- Fall 2024- Notification of award from HUD.
- 2/1/25 –Application window opens.
- 5/1/25 – Application window closes.
- 8/1/25 – Award announcements.
- 9/1/25 – Grant agreements sent out: Grantees can draw up to 25% of the award to conduct physical assessments and resident surveys, environmental reviews, land appraisal, ROC legal and consulting costs, housing counseling fees for current renters who want to purchase their homes and join an ROC.
- 3/1/26 – First status report due.
- 8/31/27 – Work completed.
- 2025-2027 – Development and OHFA provide access to other relevant programs relating to housing and homeownership for manufactured housing communities

Capacity

Development is uniquely positioned to manage a successful PRICE award. The Community Services Division within Development annually receives more than \$80 million in HUD funding and the Housing Trust Funds section, the key section staffing and managing PRICE, oversees more than \$30 million of that total.

Managing Projects

Development has extensive knowledge of managing the implementation of community development projects across the state and decades of experience, as well as a vast network of community partners. Annually, Development accepts, reviews, and awards grant proposals for community development projects in all 88 counties through several HUD grant programs.

As the HUD grantee, Development's role in these projects is primarily support, technical assistance, and oversight of the project from award to completion, including monitoring and federal reporting of outcomes. Development is primarily a pass-through entity for federal funds and oversees several hundred grantees to monitor their use of grant funds.

Development has a robust technical assistance staff individually assigned to regions across the state to assist with project implementation and management. Subgrantees work closely with these staff to ensure that projects are completed in a timely manner and to address any issues that arise throughout the life of the project.

Managing Grant Funds

Development serves as the grantee/participating jurisdiction (PJ) for HUD's Emergency Solutions Grant (ESG), Home Investment Partnerships Program (HOME), Housing Opportunities for Persons with Aids (HOPWA), Community Development Block Grant (CDBG),

Continuum of Care (CoC) Planning, and Housing Trust Funds (HTF) programs currently. Through these programs, Development oversees several hundred subgrantees and monitors progress on grant expenditures, project progress, and compliance with applicable federal regulations. Many of Development's partners include Community Action Agencies (CAA) across Ohio, units of general local government (UGLGs), homeless service providers, faith-based service providers, and many more. Development also manages the Ohio Housing Trust Fund (OHTF) directly to grantees which serves as match for several federal programs.

Beneficiaries of grants run by Development include individuals experiencing homelessness, low-moderate income individuals, reentry populations, youth, LGBTQIA+ communities, BIPOC individuals, and more. In Development's Homeless Crisis Response Program alone, 2023 estimates indicate over 37,000 served. In the current CDBG program, along with several other federally funded programs, grantees are required to submit racial demographic data and promote racial equity by completing needs assessments for their applications with a specific note towards how projects will serve areas of high need.

Development conducts environmental reviews for other programs through contracts with other parties. Development also plans to work with OHFA on this program, which has experience subcontracting for environmental reviews. Development also has a compliance team which regularly evaluates environmental review documentation to ensure compliance with federal regulations.

Development administers several programs which are subject to cross-cutting requirements and CDBG requirements. Development compliance staff work with grantees daily to address compliance with Section 3, URA, Davis-Bacon, Language Access, AFFH, BABA, and more. Partner organizations such as OHFA also have extensive experience complying with these regulations.

Additionally, through Development's history of working with an extensive network of grantees across Ohio, Development has built a strong and efficient grants management system, which these subgrantees are already a part of, making the start-up process for a new grant program more efficient.

Key Staff

Key staff at Development related to the PRICE program and their roles/experience are as follows:

Name	Title	Years of Experience	Role in PRICE
Julie Jarrell	Deputy Chief, Office of Housing Support - Development	20	Development oversight and assistance, relationship

			management, general compliance
Ashley Germano	Manager, Housing Trust Funds Section - Development	4	Primary contact and oversight coordinator
Josiah Clark	Grant and Program Lead, Office of Housing Support - Development	1	Primary communications liaison
Ian Thomas	Grant Analyst, Housing Trust Funds Section - Development	15	Grant analyst, application review and processing, monitoring, and technical assistance
Neely Young	Grant Analyst, Housing Trust Funds Section - Development	21	Grant analyst, application review and processing, monitoring, and technical assistance

With several decades worth of professional experience in grant and program management and available expertise within Development, the Office of Housing Support is positioned to provide a carefully built program with PRICE funding and meet all federal rules and regulations appropriately.

Contingency Plan

Development strives to provide financial support for as many communities across the state of Ohio as possible. The PRICE program is designed to have approximately 25 subawards. If grant funding were to be less than the full ask of \$75 million, the total number of awards would be scaled back appropriately given the estimated per project budget.

At the subgrantee level, applicants will be expected to outline expectations of their partners for the proposed project, including if an essential partner withdraws support from the project.

The grant agreements will outline the process for recapturing any unspent funds if the subgrantee is not meeting the timeline milestones outlined in the program guidelines. The awarded grantees would have a six-month period to initiate preplanning activities such as the environmental review and request reimbursement for funding. Development would redistribute any unspent funds or withdrawn project funds either to the existing set of grants or offer to funds projects that scored sufficiently but were not selected for funding.

Long term effects

If funded the full \$75 million, this investment in manufactured housing will create or rehabilitate at least 335 affordable housing units across Ohio.

There are two primary effects of the program beyond the immediate period of affordability required for the units that were built or rehabilitated with grant funds. First, ODOD will refer applicants who apply for funding to other existing programs that they are eligible for, further utilizing existing state programs that can benefit manufactured housing communities. Examples of these programs are the Housing Assistance Grant Program (HAGP), (CHIP), (HOMES/HEERA), and more. Secondly, and most importantly, this program's intent is to increase awareness and education surrounding manufactured housing communities and resident owned communities throughout the state.

By improving the quality of post-1976 MHCs through the rehabilitation component of the eligible activities, current residents or other LMI households can live in units that are up to modern standards, safety measures, and amenities while still being affordable. Finding those levels of upgrades in other homes would price these families out, but through this investment in manufactured housing, that possibility can be brought to a greater number of Ohioans. The eligible activities will provide improvements to existing MHCs that will improve livability, along with the quality of the units themselves. Applicants will also be encouraged to consider building resiliency focused infrastructures, such as tornado shelters.

Similarly, a requirement will be included in the grant agreement that park operators who sell a park within the 15-year period of affordability, or any other period indicated by HUD waiver, must demonstrate that they first offered to sell the property to the tenant whose unit was subsidized through the PRICE program. This directly benefits the individual or household while providing a tradeoff for the owner or operator of the community using public dollars to promote this affordable housing opportunity. Homeownership is an essential part of affordable housing and can be promoted through this program.

Other strong homeownership practices may be encouraged including rent to own or the creation of a resident owned community (ROC) as the scoring criteria preference would show. Opportunities such as an ROC would allow individuals to generate equity on the property where they would not have been able to before, greatly benefiting the current and future generations.

Development has the capacity to administer this program effectively and will be able to meet HUD's program standards to bring unique affordable housing opportunities for LMI households across the state.

Application for Federal Assistance SF-424

*** 1. Type of Submission:**

- ☐ Preapplication
☒ Application
☐ Changed/Corrected Application

*** 2. Type of Application:**

- ☒ New
☐ Continuation
☐ Revision

* If Revision, select appropriate letter(s):

* Other (Specify):

*** 3. Date Received:**

Completed by Grants.gov upon submission.

4. Applicant Identifier:**5a. Federal Entity Identifier:****5b. Federal Award Identifier:****State Use Only:****6. Date Received by State:****7. State Application Identifier:****8. APPLICANT INFORMATION:***** a. Legal Name:**

State of Ohio

*** b. Employer/Taxpayer Identification Number (EIN/TIN):**

31-1334820

*** c. UEI:**

K1PKP3JWWQJ4

d. Address:*** Street1:**

77 South High Street, 26th Floor

Street2:*** City:**

Columbus

County/Parish:*** State:**

Ohio

Province:*** Country:**

USA: UNITED STATES

*** Zip / Postal Code:**

43215-6108

e. Organizational Unit:**Department Name:**

Department of Development

Division Name:

Community Services Division

f. Name and contact information of person to be contacted on matters involving this application:**Prefix:**

Ms.

*** First Name:**

Julie

Middle Name:*** Last Name:**

Jarrell

Suffix:**Title:**

Deputy Chief

Organizational Affiliation:*** Telephone Number:**

614-387-2119

Fax Number:*** Email:**

Julie.Jarrell@development.ohio.gov

Application for Federal Assistance SF-424

* 9. Type of Applicant 1: Select Applicant Type:

State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

* 10. Name of Federal Agency:

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.024

CFDA Title:

Preservation and Reinvestment Initiative for Community Enhancement Program

* 12. Funding Opportunity Number:

FR-6700-N-99

* Title:

Preservation and Reinvestment Initiative for Community Enhancement (PRICE) Competition

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

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* 15. Descriptive Title of Applicant's Project:

FY24 PRICE program passed through to eligible applicants for housing, infrastructure, and community planning needs around manufactured housing

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424**16. Congressional Districts Of:**

* a. Applicant

OH-all

* b. Program/Project

PRICE

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

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17. Proposed Project:

* a. Start Date:

09/01/25

* b. End Date:

08/31/27

18. Estimated Funding (\$):

* a. Federal

75,000,000

* b. Applicant

* c. State

* d. Local

* e. Other

* f. Program Income

* g. TOTAL

75,000,000

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**☐ a. This application was made available to the State under the Executive Order 12372 Process for review on .☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.☒ c. Program is not covered by E.O. 12372.*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**☐ Yes☒ No

If "Yes", provide explanation and attach

Add Attachment

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21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)**

☒ ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix:

Ms.

* First Name:

Lydia

Middle Name:

* Last Name:

Mihalik

Suffix:

* Title:

Director, Ohio Department of Development

* Telephone Number:

614-466-0268

Fax Number:

* Email:

Lydia.Mihalik@development.ohio.gov

* Signature of Authorized Representative:

Completed by Grants.gov upon submission.

* Date Signed:

Completed by Grants.gov upon submission.

**Applicant and Recipient
Assurances and Certifications**

**U.S. Department of Housing
and Urban Development**

OMB Number: 2501-0017
Expiration Date: 01/31/2026

Instructions for the HUD-424-B Assurances and Certifications

As part of your application for HUD funding, you, as the official authorized to sign on behalf of your organization or as an individual, must provide the following assurances and certifications, which replace any requirement to submit an SF-424-B or SF-424-D. The Responsible Civil Rights Official has specified this form for use for purposes of general compliance with 24 CFR §§ 1.5, 3.115, 8.50, and 146.25, as applicable. The Responsible Civil Rights Official may require specific civil rights assurances to be furnished consistent with those authorities and will specify the form on which such assurances must be made. A failure to furnish or comply with the civil rights assurances contained in this form may result in the procedures to effect compliance at 24 CFR §§ 1.8, 3.115, 8.57, or 146.39.

By submitting this form, you are stating that all assertions made in this form are true, accurate, and correct.

As the duly representative of the applicant, I certify that the applicant:

*Authorized Representative Name:

Prefix: *First Name:
Middle Name:
*Last Name:
Suffix:
*Title:
*Applicant Organization:

1. Has the legal authority to apply for Federal assistance, has the institutional, managerial and financial capability (including funds to pay the non-Federal share of program costs) to plan, manage and complete the program as described in the application and the governing body has duly authorized the submission of the application, including these assurances and certifications, and authorized me as the official representative of the application to act in connection with the application and to provide any additional information as may be required.

2. Will administer the grant in compliance with Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000(d)) and implementing regulations (24 CFR part 1), which provide that no person in the United States shall, on the grounds of race, color or national origin, be excluded from participation in, be denied the benefits of, or otherwise be subject to discrimination under any program or activity that receives Federal financial assistance OR if the applicant is a Federally recognized Indian tribe or its tribally designated housing entity, is subject to the Indian Civil Rights Act (25 U.S.C. 1301-1303).

3. Will administer the grant in compliance with Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794), as amended, and implementing regulations at 24 CFR part 8, the American Disabilities Act (42 U.S.C. §§ 12101 et.seq.), and implementing regulations at 28 CFR part 35 or 36, as applicable, and the Age Discrimination Act of 1975 (42 U.S.C. 6101-07) as amended, and implementing regulations at 24 CFR part 146 which together provide that no person in the United States shall, on the grounds of disability or age, be excluded from participation in, be denied the benefits of, or otherwise be subjected to discrimination under any program or activity that receives Federal financial assistance; except if the grant program authorizes or limits participation to designated populations, then the applicant will comply with the nondiscrimination requirements within the designated population.

4. Will comply with the Fair Housing Act (42 U.S.C. 3601-19), as amended, and the implementing regulations at 24 CFR part 100, which prohibit discrimination in housing on the basis of race, color, religion sex (including gender identity and sexual orientation), disability, familial status, or national origin and will affirmatively further fair housing; except an applicant which is an Indian tribe or its instrumentality which

is excluded by statute from coverage does not make this certification; and further except if the grant program authorizes or limits participation to designated populations, then the applicant will comply with the nondiscrimination requirements within the designated population.

5. Will comply with all applicable Federal nondiscrimination requirements, including those listed at 24 CFR §§ 5.105(a) and 5.106 as applicable.

6. Will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (42 U.S.C. 4601) and implementing regulations at 49 CFR part 24 and, as applicable, Section 104(d) of the Housing and Community Development Act of 1974 (42 U.S.C. 5304(d)) and implementing regulations at 24 CFR part 42, subpart A.

7. Will comply with the environmental requirements of the National Environmental Policy Act (42 U.S.C. 4321 et.seq.) and related Federal authorities prior to the commitment or expenditure of funds for property.

8. That no Federal appropriated funds have been paid, or will be paid, by or on behalf of the applicant, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, and officer or employee of Congress, or an employee of a Member of Congress, in connection with the awarding of this Federal grant or its extension, renewal, amendment or modification. If funds other than Federal appropriated funds have or will be paid for influencing or attempting to influence the persons listed above, I shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying. I certify that I shall require all subawards at all tiers (including sub-grants and contracts) to similarly certify and disclose accordingly. Federally recognized Indian Tribes and tribally designated housing entities (TDHEs) established by Federally-recognized Indian tribes as a result of the exercise of the tribe's sovereign power are excluded from coverage by the Byrd Amendment, but State-recognized Indian tribes and TDHs established under State law are not excluded from the statute's coverage.

I/We, the undersigned, certify under penalty of perjury that the information provided above is true and correct.

WARNING: Anyone who knowingly submits a false claim or makes a false statement is subject to criminal and/or civil penalties, including confinement for up to 5 years, fines, and civil and administrative penalties. (18 U.S.C. §§287, 1001, 1010, 1012, 1014; 31 U.S.C. §3729, 3802).

*Signature:

Completed Upon Submission to Grants.gov

*Date:

Completed Upon Submission to
Grants.gov

DRAFT

Applicant/Recipient Disclosure/Update Report

U.S. Department of Housing
and Urban Development

OMB Number: 2501-0017
Expiration Date: 01/31/2026

Applicant/Recipient Information

* UEI Number:

K1PKP3JWWQJ4

* Report Type:

INITIAL

1. Applicant/Recipient Name, Address, and Phone (include area code):

* Applicant Name: State of Ohio

* Street1: 77 South High Street 26th Floor

Street2:

* City: Columbus

County:

* State: Ohio

* Zip Code: 43215-6108

* Country: USA: UNITED STATES

* Phone: 614-466-0268

2. Employer ID Number (do not include individual social security numbers): 31-1334820

* 3. HUD Program Name:

Preservation and Reinvestment Initiative for Community Enhancement Program

* 4. Amount of HUD Assistance Requested/Received: \$ 75,000,000

5. State the name and location (street address, City and State) of the project or activity:

* Project Name: Ohio Department of Development

* Street1: 77 South High Street 26th Floor

Street2:

* City: Columbus

County:

* State: Ohio

* Zip Code: 43215-6108

* Country: USA: UNITED STATES

Part I Threshold Determinations

* 1. Are you applying for assistance for a specific project or activity? These terms do not include formula grants, such as public housing operating subsidy or CDBG block grants. For further information see 24 CFR Sec. 4.3.

☐ Yes ☒ No

* 2. Have you received or do you expect to receive assistance within the jurisdiction of the Department (HUD), involving the project or activity in this application, in excess of \$200,000 during this fiscal year (Oct. 1-Sep. 30)? For further information, see 24 CFR 4.9.

☐ Yes ☒ No

If you answered "No" to either question 1 or 2, **Stop!** You do not need to complete the remainder of this form. However, you must sign the certification at the end of the report.

Part II Other Government Assistance Provided or Requested / Expected Sources and Use of Funds.

Such assistance includes, but is not limited to, any grant, loan, subsidy, guarantee, insurance, payment, credit, or tax benefit.

Department/State/Local Agency Name:

* Government Agency Name:

Government Agency Address:

* Street1:

Street2:

* City:

County:

* State:

* Zip Code:

* Country:

* Type of Assistance:

* Amount Requested/Provided: \$

* Expected Uses of the Funds:

Department/State/Local Agency Name:

* Government Agency Name:

Government Agency Address:

* Street1:

Street2:

* City:

County:

* State:

* Zip Code:

* Country:

* Type of Assistance:

* Amount Requested/Provided: \$

* Expected Uses of the Funds:

Note: Use additional pages if necessary.

Part III Interested Parties. You must disclose:

1. All developers, contractors, or consultants involved in the application for assistance or in the planning, development, or implementation of the project or activity.

* Alphabetical list of all persons with a reportable financial interest in the project or activity (for individuals, give the last name first)

* Unique Entity ID

* Type of Participation in Project/Activity

* Financial Interest in Project/Activity (\$ and %)

			\$			%
			\$			%
			\$			%
			\$			%
			\$			%

2. Any other person who has a financial interest in the project or activity for which the assistance is sought that exceeds \$50,000 or 10 percent of the assistance (whichever is lower).

* Alphabetical list of all persons with a reportable financial interest in the project or activity (For individuals, give the last name first)

City of Residence

* Type of Participation in Project/Activity

* Financial Interest in Project/Activity (\$ and %)

			\$			%
			\$			%
			\$			%
			\$			%
			\$			%

Note: Use additional pages if necessary.

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Certification

I/We, the undersigned, certify under penalty of perjury that the information provided above is true, correct, and accurate.

Warning: If you knowingly make a false statement on this form, you may be subject to criminal and/or civil penalties under Section 1001 of Title 18 of the United States Code. In addition, any person who knowingly and materially violates any required disclosures of information, including intentional non-disclosure, is subject to civil money penalty not to exceed \$10,000 for each violation.

* Signature:

* Date: (mm/dd/yyyy)

Completed Upon Submission to Grants.gov

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Instructions

Overview.

A. Coverage. You must complete this report if:

- (1) You are applying for assistance from HUD for a specific project or activity **and** you have received, or expect to receive, assistance from HUD in excess of \$200,000 during the fiscal year;
- (2) You are updating a prior report as discussed below; or
- (3) You are submitting an application for assistance to an entity other than HUD, a State or local government if the application is required by statute or regulation to be submitted to HUD for approval or for any other purpose.

B. Update reports (filed by "Recipients" of HUD Assistance):

General. All recipients of covered assistance must submit update reports to the Department to reflect substantial changes to the initial applicant disclosure reports.

Line-by-Line Instructions.

Applicant/Recipient Information.

All applicants for HUD competitive assistance, must complete the information required in blocks 1-5 of form HUD-2880:

1. Enter the full name, address, city, State, zip code, and telephone number (including area code) of the applicant/recipient. Where the applicant/recipient is an individual, the last name, first name, and middle initial must be entered.
2. Entry of the applicant/recipient's EIN, as appropriate, is optional. Individuals must not include social security numbers on this form.
3. Applicants enter the HUD program name under which the assistance is being requested.
4. Applicants enter the amount of HUD assistance that is being requested. Recipients enter the amount of HUD assistance that has been provided and to which the update report relates. The amounts are those stated in the application or award documentation. NOTE: In the case of assistance that is provided pursuant to contract over a period of time (such as project-based assistance under section 8 of the United States Housing Act of 1937), the amount of assistance to be reported includes all amounts that are to be provided over the term of the contract, irrespective of when they are to be received.
5. Applicants enter the name and full address of the project or activity for which the HUD assistance is sought. Recipients enter the name and full address of the HUD-assisted project or activity to which the update report relates. The most appropriate government identifying number must be used (e.g., RFP No.; IFB No.; grant announcement No.; or contract, grant, or loan No.) Include prefixes.

Part I. Threshold Determinations - Applicants Only

Part I contains information to help the applicant determine whether the remainder of the form must be completed. Recipients filing Update Reports should not complete this Part.

If the answer to **either** questions 1 or 2 is No, the applicant need not complete Parts II and III of the report but must sign the certification at the end of the form.

Part II. Other Government Assistance and Expected Sources and Uses of Funds.

A. Other Government Assistance. This Part is to be completed by both applicants and recipients for assistance and recipients filing update reports. Applicants and recipients must report any other government assistance involved in the project or activity for which assistance is sought. Applicants and recipients must report any other government assistance involved in the project or activity. Other government assistance is defined in note 4 on the last page. For purposes of this definition, other government assistance is expected to be made available if, based on an assessment of all the circumstances involved, there are reasonable grounds to anticipate that the assistance will be forthcoming.

Both applicant and recipient disclosures must include all other government assistance involved with the HUD assistance, as well as any other government assistance that was made available before the request, but that has continuing vitality at the time of the request. Examples of this latter category include tax credits that provide for a number of years of tax benefits, and grant assistance that continues to benefit the project at the time of the assistance request.

The following information must be provided:

1. Enter the name and address, city, State, and zip code of the government agency making the assistance available.
2. State the type of other government assistance (e.g., loan, grant, loan insurance).
3. Enter the dollar amount of the other government assistance that is, or is expected to be, made available with respect to the project or activities for which the HUD assistance is sought (applicants) or has been provided (recipients).
4. Uses of funds. Each reportable use of funds must clearly identify the purpose to which they are to be put. Reasonable aggregations may be used, such as "total structure" to include a number of structural costs, such as roof, elevators, exterior masonry, etc.

B. Non-Government Assistance. Note that the applicant and recipient disclosure report must specify all expected sources and uses of funds - both from HUD and any other source - that have been or are to be, made available for the project or activity. Non-government sources of Form HUD-2880 funds typically include (but are not limited to) foundations and private contributors.

Part III. Interested Parties.

This Part is to be completed by both applicants and recipients filing update reports. Applicants must provide information on:

1. All developers, contractors, or consultants involved in the application for the assistance or in the planning, development, or implementation of the project or activity and
2. Any other person who has a financial interest in the project or activity for which the assistance is sought that exceeds \$50,000 or 10 percent of the assistance (whichever is lower). Note: A financial interest means any financial involvement in the project or activity, including (but not limited to) situations in which an individual or entity has an equity interest in the project or activity, shares in any profit on resale or any distribution of surplus cash or other assets of the project or activity, or receives compensation for any goods or services provided in connection with the project or activity. Residency of an individual in housing for which assistance is being sought is not, by itself, considered a covered financial interest.

The information required below must be provided.

1. Enter the full names and addresses. If the person is an entity, the listing must include the full name and address of the entity as well as the CEO. Please list all names alphabetically.
2. Entry of the Unique Entity Identifier (UEI), for non-individuals, or city of residence, for individuals, for each organization and person listed is **optional**.
3. Enter the type of participation in the project or activity for each person listed: i.e., the person's specific role in the project (e.g., contractor, consultant, planner, investor).
4. Enter the financial interest in the project or activity for each person listed. The interest must be expressed both as a dollar amount and as a percentage of the amount of the HUD assistance involved.

Note that if any of the source/use information required by this report has been provided elsewhere in this application package, the applicant need not repeat the information, but need only refer to the form and location to incorporate it into this report. (It is likely that some of the information required by this report has been provided on SF 424A, or on various budget forms accompanying the application.) If this report requires information beyond that provided elsewhere in the application package, the applicant must include in this report all the additional

information required. Recipients must submit an update report for any change in previously disclosed sources and uses of funds as provided in Section I.D.5., above.

Notes:

1. All citations are to 24 CFR Part 4, which was published in the Federal Register. [April 1, 1996, at 63 Fed. Reg. 14448.]
2. Assistance means any contract, grant, loan, cooperative agreement, or other form of assistance, including the insurance or guarantee of a loan or mortgage, that is provided with respect to a specific project or activity under a program administered by the Department. The term does not include contracts, such as procurements contracts, that are subject to the Fed. Acquisition Regulation (FAR) (48 CFR Chapter 1).
3. See 24 CFR §4.9 for detailed guidance on how the threshold is calculated.

4. "Other government assistance" is defined to include any loan, grant, guarantee, insurance, payment, rebate, subsidy, credit, tax benefit, or any other form of direct or indirect assistance from the Federal government (other than that requested from HUD in the application), a State, or a unit of general local government, or any agency or instrumentality thereof, that is, or is expected to be made, available with respect to the project or activities for which the assistance is sought.

5. For the purpose of this form and 24 CFR Part 4, "person" means an individual (including a consultant, lobbyist, or lawyer); corporation; company; association; authority; firm; partnership; society; State, unit of general local government, or other government entity, or agency thereof (including a public housing agency); Indian tribe; and any other organization or group of people.

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