

Jamael Tito Brown

January 6, 2026

To the Members of the Public Utilities Commission of Ohio Nominating Council:

I am writing to express my interest in serving as a Commissioner on the Public Utilities Commission of Ohio and to respond with my qualifications. My experience includes more than twenty years of executive leadership and public-sector governance — including two terms as Mayor of Youngstown, service as City Council President, Chief Deputy Treasurer, Human Relations Director and senior leadership roles in county government — and I bring a deep understanding of regulatory oversight, fiscal stewardship, infrastructure planning, and a commitment to protect the public interest.

As Mayor, my experience in energy, transportation and communication technology includes:

Overseeing the day-to-day operations of a complex municipal system that required close coordination with utilities, telecommunications providers, transportation partners, and state and federal agencies.

Leading the city's efforts to secure a **\$74 million Aerospace and Defense Hub**, one of only four in Ohio, which required ensuring adequate energy capacity, transportation access, and communications infrastructure to support advanced manufacturing and defense-sector operations.

Modernizing Youngstown's communications and public-safety technology by deploying Flock and Verkada camera systems, expanding drone surveillance capabilities, and integrating data-driven tools across departments. These initiatives required navigating regulatory requirements, negotiating with technology vendors, and ensuring compliance with state and federal standards.

Delivering eight consecutive balanced budgets, four clean state audits, and more than \$200 million in economic development investment.

Co-chairing the **U.S. Conference of Mayors Electric Vehicle Task Force**, where I worked with national leaders, utilities, and industry partners to expand EV infrastructure, strengthen grid readiness, and promote equitable access to clean-energy transportation.

Throughout my career, I served in roles (noted in the attached resume) that required impartial decision-making, public hearings, and regulatory interpretation. I understand the gravity of evaluating technical evidence, balancing stakeholder interests, and making decisions that are fair, transparent, and grounded in the public good.

I am committed to ensuring that Ohio's utility systems remain reliable, affordable, innovative, and accessible to all residents. My years of public office were under the **democratic party's** affiliation, and I would be honored to bring my experience, judgment, and dedication to the Public Utilities Commission of Ohio.

Thank you for your consideration.

Sincerely,

Jamael Tito Brown

JAMAE L TITO BROWN

EDUCATION

Youngstown State University, Youngstown, OH

Master's of Education, Clinical Counseling

December 2005

Youngstown State University, Youngstown, OH

Bachelor of Arts & Science

June 1995

Major: Criminal Justice Minor: Social Work

Honorary Doctorate Degree Youngstown State.

May 2020

EXPERIENCE

Mayor, City of Youngstown, Youngstown, OH

Mayor

2018- 2025

Serves as the chief executive officer of the city, which handles the day-to-day operations. Making, amending, and rescinding the necessary orders, rules, and regulations within the limits of the authority with consideration to the plans of the State and Federal government, as well as the plans of other political subdivisions for civil defense purposes

Chairman:

- Board of Health
- Board of Planning and Zoning

Fiscal Responsibility:

- 8 years of Consecutive Balanced Budgets
- 4 Years of Clean State Audits
- Increased general fund revenue budget from \$176M to \$220M through business expansion and attraction incentive and grants

Improved Community Safety:

- Improve Reduced homicides rates by 56% and 20% overall crime
- Enhanced drone surveillance throughout the city
- All sworn officers are required to wear body cameras
- Improved technology improvements utilizing Flock and Verkada Cameras

Increased Community & Economic Investment:

- Over \$200 million in economic development with private and public funding investment
- Secured a \$74 million investment for Aero Space and Defense Hub (1 of 4 in Ohio)

Better Quality of Life:

- Invested over \$20 Million in new home ownership, rehab housing and new home build
- Removal of 3,400 dilapidated and vacant homes and structures to encourage and promote community development for affordable and accessible housing.

Mahoning County Treasurer, Youngstown, OH

Chief Deputy Treasurer

2007-2018

- Provide overall management and administration of the Mahoning County Treasurer's Office including purchasing and budget. Manage and process bi-weekly payroll and complete staff training and evaluations. Hire and supervise YSU students for internship opportunities.
- Implement and manage special projects, update policy/ personnel manual for county, state, and federal procedures and regulations.
- Organize and facilitate community outreach events; create and distribute press releases and statements to media & community; attend and participate in community collaboratives to implement programs and create literature to ensure attainment of available resources to taxpayers and the community.

City of Youngstown Human Relations Commission, Youngstown, OH

Director of Human Relations Commission

2006-2007

- Supervise and investigate claims of prejudice and discrimination against any individual or group because of race, color, creed, national origin, ancestry, sex, place of birth, age, marital status or handicap in the City of Youngstown.
- Organize and develop programs that focus on eliminating prejudice and discrimination for residents of the City, and obtain expert advice and assistance in adopting those measures to keep peace, good will and equal opportunity among the residents of Youngstown.

Y S U Urban & Regional Studies, Youngstown, OH

Community Organizer

2002-2006

- Design and conduct efforts to empower residents, property owners, YSU student groups and small businesses of the Wick Park neighborhood to invest in their community through communication of governmental activities.
- Engage and coordinate the community's involvement in the renewal of the Wick Park neighborhood through data collection of community surveys.
- Work with the City of Youngstown, local religious, civic and social organizations to improve and increase quality of life for all, through the 2010 Initiative process.

Mahoning County Children Services Board, Youngstown, OH

Family Services Caseworker

1995-2002

- Provided case management services for the protection and structure of children and their families.
- Provided referrals to community agencies and act as a liaison on a daily basis by making in-home visits to reported families.
- Developed case plan goals and objectives in order to ensure children are not abused, neglected or dependent.

Interim Group Life Manager

2001-2002

- Directly supervised day-to-day operations and all front-line staff of group home facilities.
- Facilitated group meetings with residents and staff of the facility.
- Acted as liaison between group home and community agencies.
- Provided a daily regimen for the children (meals, chores, study time).

CWA Local 4300, Youngstown, OH

Public Sector Director

1997-2002

- Processed grievances and represented members in disciplinary hearings.
- Facilitated and coordinated labor management meetings between agency and union.
- Attended leadership training and services to acquire more knowledge of information on public sector.
- Negotiated and mediated contract disputes and crises.

Community Corrections Association, Youngstown, OH

County Probation Officer

1993-1995

- Supervised 15-20 probationers placed on electronic home monitoring (house arrest); supervised individuals who complete community service.
- Acted as a liaison for Common Pleas Court.

AFFILIATIONS/ACHIEVEMENTS

- Yale College Mayor Leadership 2024
- Energy Justice Champion Award 2024
- Harvard Design Magazine No. 53 2024
- Climate Mayors Aspen Institute 2023
- ACEC Ohio Engineering Excellence Award 2023
- Bloomberg Harvard City Leadership Initiative 2020-2021
- Mayors Institute City Design Just City Mayoral Fellowship 2022
- Mayors Against Hunger Allinace 2021-2025
- U.S. Global Leadership Coalition Member 2020- 2024
- U.S. Global Statesman Award Recipient 2021
- Vibrant NEO Champion (BUILD -SMART 2) 2019
- Recipient of NAACP Strategic Game Changer in the Community. 2019
- National African American Male Wellness Initiative Honoree 2018
- Wells Fargo Community Grant Program 1st Place winner (small city) 2018
- **U.S. Conference of Mayors:** 2018-2025
- Electric Vehicle Taskforce Co-Chair 2022-2025
- Youngstown United Way Board of Directors 2018-2025
- Board of Directors for Mahoning Valley Sanitation District 2014-2017
- President of Youngstown City Council 2011-2013
- Youngstown 3rd Ward City Councilman 2008-2011
- MYCAP (Mahoning Youngstown Community Action Partnership Board of Directors) 2008-2014
- Youngstown City School Board Member 2004-2007
- Wick Neighbors Inc. Board Member 2004-2014

▪ Mahoning County College Access Board of Directors	2007-2012
▪ First Night Youngstown Board of Directors	2003-2005
▪ Hope House Inc. Board Member	2003-2005
▪ North Side Citizens' Coalition Board Member	2004-2006
Local 4300 Communication Workers of America:	
▪ Steward.	1995-1996
▪ Chief Steward	1996-1997
▪ Public Sector Director	1997-2002

VOLUNTEER

- Catholic Diocese Soccer League Coach
 - Girard Soccer League Coach
 - Member of Buckeye Elks Lodge #73 IBPOE of W
 - Know Your Neighbor Block Watch (clean-ups, and community projects)
 - North Side Knights Little League football coach
 - YMCA youth basketball coach
 - Mahoning County Rescue Mission
 - YMCA Camp Fitch Counselor
 - Camp Fitch Centennial Planning Committee
 - Friends of Tito Community Partners
-



FINANCIAL DISCLOSURE STATEMENT

This statement is to be filed in 2026

Financial information for calendar year 2025

Please type or print clearly. See instructions for assistance with this page. Electronic filing available at: disclosure.ethics.ohio.gov

SECTION A. PERSONAL CONTACT INFORMATION

Form fields for personal contact information including Last Name (Brown), First Name (Jamael), MI (T), Address, City (Youngstown), State (OH), Zip (44504), County (Mahoning), E-mail Address, and Phone.

SECTION B. STATUS (Check all that apply)

- Checkboxes for Candidate, Write-in Candidate, Elected office holder (checked), Appointed (in 2026) to an unexpired term in elective office, Public Official, Public Employee, and Voluntary Filer / Other.

CANDIDATES: Please list the date of the first election (primary, special, or general) when your name will appear on the ballot.

Table with columns for Month, Day, and Year for listing election dates.

FOR OFFICIAL USE ONLY

FILED

Online

1/8/2026

2:24 PM

Confirm #: 1401263924082

SECTION C. PUBLIC POSITION, OFFICE, OR JOB

Form fields for public position including Position/Title (Mayor), Public Entity (Youngstown), Public Salary (\$16,000 or more), and Start/End Dates.

SECTION D. ADDITIONAL PUBLIC POSITION, OFFICE, OR JOB

Form fields for additional public position including Position/Title, Public Entity, Public Salary, and Start/End Dates.

FOR OHIO ETHICS COMMISSION USE ONLY

Form for commission use only including checkboxes for Walk-in, Inter Office, No Check, Filer has answered every required question (checked), and Filer has not answered these questions.

1. SOURCES OF INCOME - ALL FILERS MUST ANSWER THIS QUESTION:(For help, see instructions [page 4](#)) I have no sources of income that I am required to list.

Source of Income	Service Provided	Amount* (if required)
A Delora Rodrigues	Tenant at 2242 Ohio	\$4,500.00
B		
C		
D		
E		

* Check [instructions](#) to see whether you are required to disclose amounts of income.

2. SOURCES OF GIFTS - ALL FILERS MUST ANSWER THIS QUESTION:(For help, see instructions [page 5](#)) I have no sources of gifts that I am required to list.

Source of Gift	Source of Gift
A See Attachment	D
B	E
C	F

3. NAMES OF SPOUSE RESIDING IN HOUSEHOLD AND ANY DEPENDENT CHILDREN - ALL FILERS MUST ANSWER THIS QUESTION: There are no immediate family members whose names I am required to list.(For help, see instructions [page 5](#))

Spouse Residing in Household	Dependent Children
Lynette C. Brown	Quentin R. Brown
Dependent Children	
Camille N. Brown	
Clarisa M. Brown	

4. NAMES OF BUSINESSES - ALL FILERS MUST ANSWER THIS QUESTION:(For help, see instructions [page 5](#))

If you or anyone you listed in Question 3 owns or operates a business, list the name of the business.

 There are no business names that I am required to list.

Business Name	Business Name
A Brown Strategic Solutions LLC	C
B	D

5. LAND (REAL ESTATE) IN OHIO - ALL FILERS MUST ANSWER THIS QUESTION:(For help, see instructions [page 6](#)) I have no real estate that I am required to list.

Land (Real Estate) in Ohio (List address or, if address is unavailable, plat number and county)
A 2242 Ohio Avenue Youngstown, Ohio 44504
B
C

You are not required to disclose your personal residence or real property held primarily for personal recreation.

6. CREDITORS OVER \$1,000 - ALL FILERS MUST ANSWER THIS QUESTION:

(For help, see instructions [page 6](#))

I have no creditors that I am required to list.

Creditor	Creditor
A	D
B	E
C	F

7. DEBTORS OVER \$1,000 - ALL FILERS MUST ANSWER THIS QUESTION:

(For help, see instructions [page 6](#))

I have no debtors that I am required to list.

Debtor	Debtor
A	C
B	D

8. INVESTMENTS OVER \$1,000 - ALL FILERS MUST ANSWER THIS QUESTION:

(For help, see instructions [page 6](#) and [7](#))

I have no investments that I am required to list.

Corporation, Trust, Business Trust, Partnership, or Association	Nature of Investment
A	
B	
C	
D	
E	
F	
IF YOU NEED ADDITIONAL SPACE, PLEASE ATTACH A SEPARATE SHEET.	

9. OFFICES/FIDUCIARY RELATIONSHIPS - ALL FILERS MUST ANSWER THIS QUESTION:

(For help, see instructions [page 8](#))

I have no offices or fiduciary relationships that I am required to list.

Corporation, Trust, Business Trust, Partnership, or Association	Office or Nature of Relationship
A	
B	

SKIP QUESTIONS 10 AND 11 IF YOU ARE ONLY REQUIRED TO FILE AS A:

- College or university trustee
- Candidate for a city, school district, or ESC position that is paid less than \$16,000 a year
- City, school district, ESC, or sanitary district official or employee serving in a position that is paid less than \$16,000 a year
- JobsOhio board member or employee

10. FOOD OR BEVERAGES - ALL FILERS EXCEPT THOSE LISTED IN THE BOX ABOVE MUST ANSWER THIS QUESTION:

I have no sources of meals, food, or beverages that I am required to list.

(For help, see instructions [page 8](#))

Source of Food or Beverages	Source of Food or Beverages
A	C
B	D

11. TRAVEL EXPENSES - ALL FILERS EXCEPT THOSE LISTED IN THE BOX ON PAGE 3 MUST ANSWER THIS QUESTION:

I have no sources of travel expenses that I am required to list. (For help, see instructions [page 9](#))

Source of Travel Expenses	Amount
A Ohio Mayors Alliance	\$18.00
B Yale Mayors Leadership Conference	\$174.96
C	
D	
E	
F	

12. NON-DISPUTED INFORMATION - ALL state employees, state officials and state board and commission members (except college and university trustees) are REQUIRED to answer Question 12. All other filers should skip this question and go to question 13.

I have no information that I am required to list. (For help, see instructions [page 9](#))

Non-Disputed Information
A
B

13. SIGNATURE - ALL FILERS MUST SIGN THE STATEMENT:

(For help, see instructions [page 10](#))

By signing this statement:

- I swear or affirm that this statement and any additional attachments have been prepared or carefully reviewed by me, and constitute my complete, truthful, and correct disclosure of all required information, and that the address listed on page 1 is a correct mailing address.
- I acknowledge and understand that, among other potential violations and penalties, knowingly filing a false statement is a criminal misdemeanor of the first degree, in violation of Sections 102.02(D) and 2921.13(A)(7) of the Revised Code, punishable by a fine of not more than \$1,000, imprisonment of not more than six months, or both.
- I acknowledge and understand that filing a false statement may be grounds for removal from public office or dismissal from public employment pursuant to Sections 3.04 and 124.34 of the Revised Code.
- I acknowledge that, in 2025, I served in, or in 2026, I am serving in or a candidate for, the position(s) indicated on page 1 of this statement.

If you have any questions before signing this form, please contact the Ohio Ethics Commission at (614) 466-7090.

Before signing this statement, please review to make sure that you have answered each question you are required to answer. If you have nothing to list in response to any question, check the box indicating that you have nothing to list. If the response to any required question is omitted, the Commission will return the statement to you as incomplete. **Any person who fails to file a complete statement by the appropriate filing deadline may be assessed a late filing fee and may be subject to criminal penalty.**

Deliver completed statement to: Ohio Ethics Commission, 30 W. Spring St., L3, Columbus, OH 43215

My filing fee is:

(For help, see instructions [page 2](#))

- Enclosed (check or money order payable to "Ohio Ethics Commission")
- Submitted Online
- My public agency is required or has agreed to pay my filing fee.

YOUR SIGNATURE IS REQUIRED HERE: Jamael T. Brown

Date: 1/8/2026 2:24 PM

Confirmation Number: 1401263924082

Michael J. Davala, MBA, CPA (Inactive), PMP, CSM, DTM, IITL

[REDACTED] Columbus, OH 43221 | [REDACTED]

January 7, 2026

Public Utilities Commission Nominating Council

Public Utilities Commission of Ohio

180 East Broad St., 11th Floor

Columbus, OH 43215-3793

Dear Public Utilities Commission Nominating Council:

I am applying for the open positions of Public Utilities Commissioner for the State of Ohio for the term ending on April 10, 2031.

These are the reasons I should be considered for the position:

- 1) 5 years of experience working in the Electricity utility industry. Not only did I work for a utility, I took a keen interest in learning how energy is generated. Since then, I have followed and researched energy issues and realize the importance of safe, reliable and affordable energy for the citizens and the economy of Ohio.
- 2) 10 years of experience with Financial Accounting systems of large companies.
- 3) 4 years of experience with the cost structure of large IT software efforts.
- 4) I've recently endured the painful challenges of trying to switch energy providers.
- 5) I've recently endured the challenges of trying to switch cable providers.
- 6) I understand how energy is produced and how energy companies operate.
- 7) I have experience implementing regulatory technical changes at Bank One.
- 8) I have experience monitoring regulatory compliance at JP Morgan Chase.
- 9) I was one of the four finalists for the term ending April 10, 2029 2 years ago.

My party affiliation is Republican.

Please note: I have stock in American Electric Power and will sell it if I am selected for this position.

Sincerely,



Michael J. Davala

MICHAEL J. DAVALA, PMP, MBA, CPA (Inactive), CSM, ITIL, DTM

Columbus, OH 43221

Sr. IT, Project and Business Professional with over 20 years of extensive hands-on work experience in software design, development, programming, planning, management and delivery. Employs strong analytical and problem-solving skills in the following areas:

- Matrixed, Complex, Virtual, Cross-functional technology and off-shore Teams.
- Methodologies include PLC, SDLC, Waterfall, PMBOK, Agile, Scrum, ITIL
- Program, Resource and Project Management
- End-to-end project development in complex environments
- Requirements elicitation
- Fortune 500 Manufacturing, Banking, Financial Services, Life, Property and Casualty Insurance, Utility Companies, Big 4 Consulting, IT Consulting and Governmental Agencies.

11/2020 to 8/2024 Business Analyst II – Branch Administration Operations – Consumer Bank, JP Morgan Chase, Columbus, OH

- Managed nationwide Notary Public Administration
- Develop framework for a Governance Program for Regulation CC – Availability of Funds
- Prepared Analysis of Regulation CC Complaints to establish reporting overtime
- Prepared Regulation CC Process Flows including Teller, ATM, and Quick Deposit
- Streamlined the DEI CAO Culture Council Communication process saving hundreds of hours annually
- Managed nationwide Corporate Notary Administration system upgrades and improvements saving 20,000 mouse clicks annually using Confluence to manage the work
- Designed and tested a Tableau Dashboard for Notary Operations involving APIs
- Streamlined the DEI Weekly Communication process saving hundreds of hours annually
- Identified KPIs to Notary Public registration errors to identify process improvements
- Identified and implemented software changes to streamline the Notary application
- Identified automated solution to remind Notary employees to take required training
- Managed Reg O process streamlining monthly processes to make the process highly automated
- Managed SAFE Act compliance for Consumer Bank
- Managed Sworn Documents for Consumer Bank
- Managed Anti-trust compliance for Consumer Bank
- Initiated process to create a Tableau Dashboard to identify Licensed Notaries nationwide
- Participated in AWM Migration performing UAT, System Testing and Validations
- Collaborated with Legal, Risk, Controls and Compliance partners.

1/2012 to 11/2020 Project Manager - CB Relationship and CWM Demand Manager –Consumer Bank, JP Morgan Chase, Columbus, OH

- Developed the Relationship Manager Engagement model which dedicated project focus by roadmap to drive deeper understanding of technology investments and a cohesive experience for business roadmap teams
- Initiated, guided, and coordinated CB Projects through the PPL and Navigator Charter Process.
- Provided extensive oversight for 81 CWM projects saving multiple key initiatives such as Project Freedom, Advisor Compensation, SBL and Investment Performance Reporting
- Supported and improved the Navigator Charter Tool, PPL, and the SPA Stage-Gate processes
- Updated AMG IAM Security Profiles for staffing changes and position reclassification
- Managed Requirements Session scheduling for Facilitators for CB and Enterprise projects for IT applications including Teller, ATM, CA and ES
- Facilitated User Experience Reviews
- Streamlined current process and procedures to reduce 1 FTE
- Performed UAT testing for One-Time Pass Code Project for ATM access
- Collaborated with Legal, Risk, Controls and Compliance partners.
- Earned CCB 5 Keys Blue Key Customer Service Award.

- 9/2010 to 1/2012 Project Manager / Consultant Tekmark Global Solutions at JPMorgan Chase, Columbus, OH**
- Managed projects to upgrade infrastructure operating systems, database instances and hardware for Mortgage Banking Systems
 - Managed project to enhance Identity and Access Management (IAM) functionality in the Retail Systems for Access Management tool for Profile Management, Operating Systems and DB2 databases.
- 3/2010 to 6/2010 - Project Manager / Consultant TEK Systems at Progressive Insurance – Mayfield Village, OH**
- Managed project related to specialized Auto Insurance quotations
- 3/2009 to 6/2009 Project Manager / Consultant Unicon International, Columbus, OH**
Assignments: Nationwide Financial Corporation - Individual Life Insurance Protection Systems
- Managed projects tied to Mutual Fund substitutions and annual major upgrades. The projects were both in-flight and from start to finish and supported by cross-functional project teams.
- 7/2006 to 3/2009 Project Manager and Project Cost Analyst / Compuware Corporation, Columbus, OH**
- Implemented solution for project sponsors, program managers and project managers to manage project and program expenses, budgets and forecast and view financial status for \$300 million annual budget for multi-year Property and Casualty Insurance Digital Program.
 - Prepared forecast of project spending, budget variance monitoring and cost tracking analysis.
 - Managed projects tied to Mutual Fund substitutions and the Annual major upgrade
 - Prepared ITIL and Project Management courses for Compuware employees.
- 7/2005 to 6/2006 Comsys Consulting / Consultant at JP Morgan Chase, Columbus, OH**
Assignments: HRIT PeopleSoft-SAP GL Project & CAS BAI PMO Reporting
- Developed project testing plans and project "Go Live" plans for ERP conversion effort.
 - Performed Portfolio Management for JP Morgan Chase CAS BAI PMO Reporting.
- 6/2003 to 6/2005 Project Manager and Project Cost Analyst / Checks & Balances Inc., Personal Property Insurance at Nationwide Insurance, Columbus, OH**
- Managed department CPE Project allocations, project spending, budget variances and NSC Expense as Program Controller for 65 projects annually using Clarity
 - Prepared and monitored Annual, Quarterly and Monthly budgets for projects, resource hours resource expense and NSC systems expense in a shared-resource environment
 - Managed Disaster Recovery – Business Continuity Hot site project.
- 11/1998 to 5/2003 Project Manager/ IT Architect, Corporate HR Systems- American Electric Power – Corporate Headquarters, Columbus, OH**
- Performed Project Management and Technical leadership for planning, designing, developing, customizing, converting, and implementation PeopleSoft HRMS 8.0 ERP Reporting functionality for ITR Data Warehouse with an overall project cost of \$30 million
 - Project Manager and Systems Analyst for the Attendance Management System integrating PeopleSoft, Business Objects, Oracle and the Data Warehouse.
- 7/1995 to 11/1998 Project Manager / Systems Analyst, Financial Systems - Bank One, Columbus, OH**
- Performed Project Management and Systems analysis for enhancements and additions to the MSA (GEAC) Financial (GEAC) ERP Financial Accounting System using FTPs and BI
 - Performed Project Management and Technical leadership for MSA (GEAC) Y2K software upgrade. This was a large-scale initiative across key functions.
- 12/1991 to 7/1995 Supervisor – Programmer Analyst - SETS& CRIS-E - Ohio Department of Jobs and Family Services (ODHS), Columbus, OH**
- Performed Senior Project Management and Resource management in the design and development of the financial subsystem of the SETS Child Support system and major

enhancements for the CRIS-E benefit system across multiple workstreams. These were large-scale initiatives across key functions.

**8/1990 to 11/1991 Consultant - Software/DRT Systems, Independence, OH
Systems Engineer**

- Provided technical support for Outsourcing Service proposals for Cloud Computing, Communicating Services and Software Sourcing, consulting sales calls and Consulting services.

2/1989 to 8/1990 Sr. Consultant, KPMG, Cleveland, OH

- Developed programs and procedures for an HRIS conversion for **First Energy**

1/1981 to 2/1989 Computer Applications Analyst, The Goodyear Tire and Rubber Company, Akron, OH

- Reduced manpower by over 400 hours annually by rewriting and improving an accounting package.

EDUCATION:

Project Management Professional (PMP)
Certified Scrum Master (CSM)
ITIL v3 Foundation Certification

MBA, Case Western Reserve University, Cleveland, OH
Certified Public Accountant, OH (CPA) (Inactive)

The University of Akron, Akron, OH
Bachelors of Science, Accounting - graduated with honors;
Associate of Applied Science, Real Estate – graduated with distinction

ACTIVITIES AND AFFILIATIONS:

Member, Ohio Society of Certified Public Accountants
Member, Project Management Institute (PMI)
Toastmasters International - Distinguished Toastmaster
Knights of Columbus – District Deputy
Beta Alpha PSI – Accounting Honorary
Delta Sigma Pi – Professional Business Fraternity (Alumni Chapter founding President)
Columbus YMCA Corporate Challenge Coordinator
Volunteer for many Civic Fundraising Events (Big Brothers/Big Sisters, Children's Hospital, MS Society, Epilepsy Foundation, Special Olympics, Habitat for Humanity, Mid-Ohio Food Bank, Pelotonia), American Red Cross Blood Courier, American Red Cross Blood Drive Ambassador, Knights of Columbus.



Form No. OEC-2025-P
OHIO ETHICS COMMISSION
FINANCIAL DISCLOSURE STATEMENT

25P

This statement is to be filed in **2026**
 Financial information for calendar year **2025**

Please type or print clearly. See instructions for assistance with completing this statement.

SECTION A. PERSONAL CONTACT INFORMATION

Last Name: Davala First Name: Michael MI: J

Address: [Redacted] City: Columbus State: OH Zip: 4322

County: Franklin E-mail Address: [Redacted] Phone: [Redacted]

SECTION B. PUBLIC POSITION APPLYING FOR

Position/Title: Commissioner

Public entity you will serve if appointed: Public Utilities Commission of Ohio

Term Start Date: Month 0, Day 4, Year 112026

Term End Date: Month 0, Day 4, Year 102031

FOR OFFICIAL USE ONLY

1. SOURCES OF INCOME

(For help, see instructions page 2)

I have no sources of income that I am required to list.

Source of Income	Service Provided	Amount* (if required)
A Corporate Caterers	Delivery driver	\$11,147
B Interest	JP Morgan Chase Checking and Savings Accounts	\$1,645
C Taxable Income Interest and Div	RBC Capital - Brokerage Account	\$1,808
D Everlake Annuity Sale	Annuity Sale	\$4,167.9
E Pacific Live Annuity Sale	Annuity Sale	\$10,183.22
F Taxable Income Interest and Div	JPMorgan Chase Brokerage Account	\$3,277.70
G Sale of AEP Stock	Sale of AEP Stock	\$8,509.3
H Sale of Dow Inc. Stock	Sale of Dow Inc. Stock	\$2,449.54
I See attachment		

* Check instructions to see whether you are required to disclose amounts of income.

FOR OHIO ETHICS COMMISSION USE ONLY

Reviewed by: _____

Filer has answered every required question.

Filer has not answered these questions: _____

Date incomplete form returned to filer: _____

Date completed form returned to OEC: _____

2. SOURCES OF GIFTS

(For help, see instructions page 3)

I have no sources of gifts that I am required to list.

Source of Gift	Source of Gift
A	D
B	E
C	F

3. NAMES OF SPOUSE RESIDING IN HOUSEHOLD AND ANY DEPENDENT CHILDREN

(For help, see instructions page 3)

There are no immediate family members whose names I am required to list.

Spouse Residing in Household	Dependent Children
Susan Davala (spouse)	
Dependent Children	

4. NAMES OF BUSINESSES

(For help, see instructions page 3)

If you or anyone you listed in Question 3 owns or operates a business, list the name of the business.

There are no business names that I am required to list.

Business Name	Business Name
A	C
B	D

5. LAND (REAL ESTATE) IN OHIO

(For help, see instructions page 4)

I have no real estate that I am required to list.

Land (Real Estate) in Ohio (List address or, if address is unavailable, plat number and county)
A
B
C
D
E
You are not required to disclose your personal residence or real property held primarily for personal recreation.

6. CREDITORS OVER \$1,000

(For help, see instructions page 4)

I have no creditors that I am required to list.

Creditor	Creditor
A	D
B	E
C	F

7. DEBTORS OVER \$1,000

(For help, see instructions page 4)

I have no debtors that I am required to list.

Debtor	Debtor
A	C
B	D

8. INVESTMENTS OVER \$1,000

(For help, see instructions page 4 and 5)

I have no investments that I am required to list.

Corporation, Trust, Business Trust, Partnership, or Association	Nature of Investment
A T ROWE PRICE CAPITAL APPRECIATION FUND-SBI	Mutual Fund held in Chase Brokerage
B PROCTER & GAMBLE CO	Common Stock held in Chase Brokerage
C HUNTINGTON BANCSHARES INC	Common Stock held in Chase Brokerage
D JPMORGAN CHASE & CO	Common Stock held in Chase Brokerage
E ENTERPRISE PRODUCTS PARTNERS LP	MLP held in Chase Brokerage
F AMERICAN ELECTRIC POWER COMPANY INC COMMON STOCK	Common Stock held in Chase Brokerage
G Abbott Laboratories	Common Stock held in RBC Brokerage
H Abbvie Inc	Common Stock held in RBC Brokerage
I Cisco Systems Inc	Common Stock held in RBC Brokerage
J See Attachment	
K	
L	
IF YOU NEED ADDITIONAL SPACE, PLEASE ATTACH A SEPARATE SHEET.	

9. OFFICES/FIDUCIARY RELATIONSHIPS

(For help, see instructions page 6)

I have no offices or fiduciary relationships that I am required to list.

Corporation, Trust, Business Trust, Partnership, or Association	Office or Nature of Relationship
A	
B	
C	
D	
E	

10. FOOD OR BEVERAGES

(For help, see instructions page 6)

List any source of payment for meals, food, or beverages valued at over \$100 that was received in connection with your official duties as a PUCO Commissioner by you or any other person for your use of benefit in 2025.

I have no sources of meals, food, or beverages that I am required to list.

Source of Food or Beverages	Source of Food or Beverages
A	C
B	D

11. TRAVEL EXPENSES

(For help, see instructions page 7)

List both the source and the amount of each individual payment of travel expenses that was received in connection with your official duties as a PUCO Commissioner by you or any other person for your use or benefit in 2025.

I have no sources of travel expenses that I am required to list.

Source of Travel Expenses	Amount
A	
B	
C	
D	
E	
F	

12. NON-DISPUTED INFORMATION

(For help, see instructions page 7)

I have no information that I am required to list.

Non-Disputed Information
A
B

13. SIGNATURE

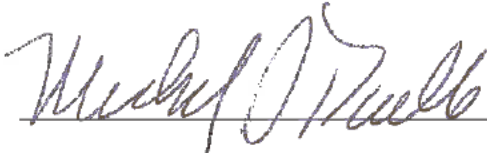
(For help, see instructions page 8)

By signing this statement:

- I swear or affirm that this statement and any additional attachments have been prepared or carefully reviewed by me, and constitute my complete, truthful, and correct disclosure of all required information, and that the address listed on page 1 is a correct mailing address.
- I acknowledge and understand that, among other potential violations and penalties, knowingly filing a false statement is a criminal misdemeanor of the first degree, in violation of Section 2921.13(A)(7) of the Revised Code, punishable by a fine of not more than \$1,000, imprisonment of not more than six months, or both.

If you have any questions before signing this form, please contact the Ohio Ethics Commission at (614) 466-7090.

Before signing this statement, please review to make sure that you have answered each question you are required to answer. If you have nothing to list in response to any question, check the box indicating that you have nothing to list. If the response to any required question is omitted, the Commission will return the statement to you as incomplete.

YOUR SIGNATURE IS REQUIRED HERE: 

Date: 1-7-2026

Completed statements must be sent by U.S. Mail, be hand-delivered, or emailed to:
 Public Utilities Commission of Ohio Nominating Council
 180 East Broad Street, 11th Floor
 Columbus, OH 43215-3793
 PUCNominatingCouncil@puco.ohio.gov

OHIO ETHICS COMMISSION- FINANCIAL DISCLOSURE STATEMENT		Michael J Davala
Attachment for Item 8. Investments over \$1000		
Corporation, Trust, Business Trust, Partnership, or Association	Nature of Investment	Held At / Nature
PIMCO INCOME FD INSTL CL	Mutual Fund	JP Morgan Chase Managed Account
JPMORGAN TR II CORE PLUS BD FD ULTRA CL	Mutual Fund	JP Morgan Chase Managed Account
NUVEEN CORE IMPACT BOND FUND CLASS R6	Mutual Fund	JP Morgan Chase Managed Account
DODGE & COX STOCK FUND CLASS I	Mutual Fund	JP Morgan Chase Managed Account
ARTISAN PARTNERS FDS INC INTL VALUE FD INSTL SHS	Mutual Fund	JP Morgan Chase Managed Account
INVESCO QQQ TR UNIT SER 1	Mutual Fund	JP Morgan Chase Managed Account
VANGUARD INDEX TR VANGUARD 500 INDEX FD ADMIRAL	Mutual Fund	JP Morgan Chase Managed Account
BLACKSTONE ALTRNTV INVT FDS ALTRNTV MULTI STRTGY FD CL Y	Mutual Fund	JP Morgan Chase Managed Account
VANGUARD WORLD FUND INTL GROWTH FD ADMIRAL SHS	Mutual Fund	JP Morgan Chase Managed Account
NUVEEN INVT TR V NUVEEN PFD SECS & INCM FD R6	Mutual Fund	JP Morgan Chase Managed Account
BLACKROCK FDS V HIGH YIELD PORTFOLIO CL K	Mutual Fund	JP Morgan Chase Managed Account
LORD ABBETT INVT TR SHORT DURATION INCOME FD CL F3	Mutual Fund	JP Morgan Chase Managed Account
HARTFORD MUT FDS INC MIDCAP FD CL F	Mutual Fund	JP Morgan Chase Managed Account
JPMORGAN TR II MID CAP GROWTH FD CL R6	Mutual Fund	JP Morgan Chase Managed Account
JPMORGAN TR IV HEDGED EQUITY 2 FD CL R6	Mutual Fund	JP Morgan Chase Managed Account
DOUBLELINE FDS TR TOTAL RETURN BD FD CL R6	Mutual Fund	JP Morgan Chase Managed Account
RBC FDS TR EMERGING MKT EQUITY FD CL I	Mutual Fund	JP Morgan Chase Managed Account
ROWE T PRICE INTL FDS INC NEW ASIA FD CL I	Mutual Fund	JP Morgan Chase Managed Account
COLUMBIA FDS SER TR I GLBL TECHNOLOGY GROWTH FD INSTL 3	Mutual Fund	JP Morgan Chase Managed Account
JANUS INVT FD HENDERSON GLBL LIFE SCNCS CL N	Mutual Fund	JP Morgan Chase Managed Account
JPMORGAN IRA DEPOSIT SWEEP MGD JPMORGAN CHASE BANK NA	Mutual Fund	JP Morgan Chase Managed Account
JP MORGAN SMALL CAP GRWTH R6	Mutual Fund	JP Morgan Chase Managed Account
NEUBERGER GENESIS FUND CLASS R6	Mutual Fund	JP Morgan Chase Managed Account
SPDR GOLD TR GOLD SHS	Mutual Fund	JP Morgan Chase Managed Account
COHEN & STEERS REAL ESTATE SECS FD CL F	Mutual Fund	JP Morgan Chase Managed Account
ISHARES TRUST ISHARES NORTH AMERICAN NATURAL RESOURCES ETF	ETF	JP Morgan Chase
JPMORGAN IRA DEPOSIT SWEEP JPMORGAN CHASE BANK NA	Money Market Fund	JP Morgan Chase
JPMORGAN LIQUID ASSETS MONEY MARKET FUND MORGAN SHARES	Money Market Fund	JP Morgan Chase

OHIO ETHICS COMMISSION- FINANCIAL DISCLOSURE STATEMENT		Michael J Davala
Attachment for Item 8. Investments over \$1000		
Corporation, Trust, Business Trust, Partnership, or Association	Nature of Investment	Held At / Nature
SPDR INDEX SHARES FUNDS S&P NORTH AMERICAN NATURAL RESOURCES ETF	ETF	JP Morgan Chase
PRUDENTIAL FINANCIAL INC	Common Stock	JP Morgan Chase
PALANTIR TECHNOLOGIES INC CLASS A COMMON STOCK	Common Stock	JP Morgan Chase
GLAUKOS CORPORATION COM	Common Stock	JP Morgan Chase
ENBRIDGE INC	Common Stock	JP Morgan Chase
PERSHING SQUARE HOLDINGS LTD ACCUM SHS PUBLIC CLASS USD REG S	Common Stock	JP Morgan Chase
BROOKFIELD INFRASTRUCTURE PARTNERS L P UNITS	Common Stock	JP Morgan Chase
TFS FINANCIAL CORP	Common Stock	JP Morgan Chase
ONEMAIN HOLDINGS INC COM	Common Stock	JP Morgan Chase
VERIZON COMMUNICATIONS	Common Stock	JP Morgan Chase
CALAMOS CONVERTIBLE OPPORTUNITIES AND INCOME FUND	ETF	JP Morgan Chase
AMAZON.COM INC	Common Stock	JP Morgan Chase
MARKEL GROUP INC	Common Stock	JP Morgan Chase
FLAHERTY & CRUMRINE PREFERRED AND INCOME SCRPTS FD INCRPRTD	Common Stock	JP Morgan Chase
RIO TINTO PLC SPONSORED ADR	Common Stock	JP Morgan Chase
INVESCO INDIA EXCHANGE TRADED FUND TRUST INVESCO INDIA ETF	ETF	JP Morgan Chase
BLACKROCK RESOURCES & COMMODITIES STRATEGY TRUST	ETF	JP Morgan Chase
J P MORGAN EXCHANGE-TRADED FD TR J P MORGAN NASDAQ EQUITY PREMIUM INCOME ETF	ETF	JP Morgan Chase
SUBURBAN PROPANE PARTNERS LP UNITS LTD PARTNERSHIP INT	Common Stock	JP Morgan Chase
ETFIS SERIES TRUST I VIRTUS INFRACAP U S PREFERRED STOCK ETF	ETF	JP Morgan Chase
TANGER INC COMMON STOCK	Common Stock	JP Morgan Chase
AMERICAN CENTURY ETF TRUST AVANTIS U S SMALL CAP VALUE ETF	ETF	JP Morgan Chase
Jpmorgan Chase & Co	Common Stock	RBC Wealth Management IRA
Nrg Energy Inc	Common Stock	RBC Wealth Management IRA

OHIO ETHICS COMMISSION- FINANCIAL DISCLOSURE STATEMENT		Michael J Davala
Attachment for Item 8. Investments over \$1000		
Corporation, Trust, Business Trust, Partnership, or Association	Nature of Investment	Held At / Nature
Paypal Holdings Inc	Common Stock	RBC Wealth Management IRA
Rtx Corporation	Common Stock	RBC Wealth Management IRA
Money Market Fund	Money Market Fund	RBC Wealth Management IRA
Jpmorgan Chase & Co	Common Stock	RBC Wealth Management Rollover
Money Market Fund	Money Market Fund	RBC Wealth Management Rollover
Arch Capital Group Ltd	Common Stock	Marsh Field Managed Account @ RBC
Autozone Inc	Common Stock	Marsh Field Managed Account @ RBC
Cummins Inc	Common Stock	Marsh Field Managed Account @ RBC
Dominos Pizza Inc	Common Stock	Marsh Field Managed Account @ RBC
Expeditors International Of Washington Inc Class A	Common Stock	Marsh Field Managed Account @ RBC
Fastenal Co	Common Stock	Marsh Field Managed Account @ RBC
Mastercard Incorporated	Common Stock	Marsh Field Managed Account @ RBC
Moody's Co	Common Stock	Marsh Field Managed Account @ RBC
Nvr Inc	Common Stock	Marsh Field Managed Account @ RBC
O Reilly Automotive Inc	Common Stock	Marsh Field Managed Account @ RBC
Progressive Corp-Ohio	Common Stock	Marsh Field Managed Account @ RBC
Ross Stores Inc	Common Stock	Marsh Field Managed Account @ RBC
Strategic Education Inc	Common Stock	Marsh Field Managed Account @ RBC
Tjx Companies Inc New	Common Stock	Marsh Field Managed Account @ RBC
Unitedhealth Group Inc	Common Stock	Marsh Field Managed Account @ RBC
Visa Inc Cl A	Common Stock	Marsh Field Managed Account @ RBC
Walt Disney Co	Common Stock	Marsh Field Managed Account @ RBC
Vanguard Treasury Money Market Fund Investor Shares	Money Marked Fund	
Alphabet Inc Class A Common Stock	Common Stock	Marsh Field Managed Account @ RBC
		RBC Wealth Management IRA

OHIO ETHICS COMMISSION - FINANCIAL DISCLOSURE STATEMENT		Michael J Davala
Attachment for Item 8. Investments over \$1000		
Corporation, Trust, Business Trust, Partnership, or Association	Nature of Investment	Held At / Nature
Applied Materials Inc	Common Stock	RBC Wealth Management IRA
Barrick Ming Corp	Common Stock	RBC Wealth Management IRA
Bristol Myers Squibb Co	Common Stock	RBC Wealth Management IRA
Coca Cola Company	Common Stock	RBC Wealth Management IRA
Exxon Mobil Corp	Common Stock	RBC Wealth Management IRA
Green Plains Inc	Common Stock	RBC Wealth Management IRA
Intel Corp	Common Stock	RBC Wealth Management IRA
Johnson & Johnson	Common Stock	RBC Wealth Management IRA
Microsoft Corp	Common Stock	RBC Wealth Management IRA
Transocean Ltd	Common Stock	RBC Wealth Management IRA
Walt Disney Co	Common Stock	RBC Wealth Management IRA
Yum Brands Inc	Common Stock	RBC Wealth Management IRA

OHIO ETHICS COMMISSION- FINANCIAL DISCLOSURE STATEMENT		Michael J Davala
Attachment for Item 1. Sources of Income		
Source of Income	Service Provided	Amount
Ingersol Rand Stock Sale	Sold 50 shares	\$3,628.83
FIP Stock Sale	Sold 100 Shares	\$620.03
FTAI Stock Sales	Sold 100 Shares	\$10,999.34
FTAI Stock Sales	Sold .17 Shares	\$18.75
US Savings Bonds	Sold US Savings Bonds	\$3,653.08

Dennis P. Deters

January 6, 2026

Public Utilities Commission Nominating Council
c/o Public Utilities Commission of Ohio
180 E. Broad Street, 11th Floor
Columbus, Ohio 43215-3793
VIA EMAIL to PUCNominatingCouncil@puco.ohio.gov

Distinguished Members of the Nominating Council,

Kindly accept this letter and the enclosed resume as my formal application for the position of Commissioner on the Public Utilities Commission of Ohio (PUCO). I believe my experience in both government and the private sector, across regulated and non-regulated environments where I've represented the public interest qualifies me to continue serving. This background has afforded me an acute perspective on the Commission's obligation to ensure adequate, safe, and reliable utility services for Ohio's consumers and ratepayers.

I was first appointed to the position of Commissioner in March 2019 to complete a two-year unexpired Term and was subsequently reappointed in early 2021 to the term in which I currently serve. During my tenure, I have been actively engaged with the National Association of Regulatory Utility Commissioners (NARUC) as a member of the Committee on Critical Infrastructure. In 2024, I was appointed to serve as one of Ten State Commissioners from across the Country as part of a NARUC initiative on gas-electric coordination and reliability in an open docket with the Federal Energy Regulatory Commission (FERC). In June of 2025, I testified at the FERC Technical Conference on reliability and advocated for resource adequacy solutions on behalf of Ohioans. I currently serve as the President of the Mid-Atlantic Conference of Regulated Utility Commissioners (MACRUC) and in October 2025, I was elected President of the Organization of PJM States, Inc (OPSI), after serving as Ohio's representative on the Board for three years.

In my service as a State Commissioner and OPSI Officer, I am uniquely positioned to advocate for Ohio and the PJM states as we confront significant uncertainty in federal energy policy. We are currently facing unprecedented stress on our electric and gas systems. This is a time of critical concern for Ohio families and the entire PJM region. Should we fail to properly manage expanding load amid constrained supply conditions, Ohio ratepayers will face rising costs and an increasingly unreliable electric grid. Both outcomes are unacceptable and will have grave consequences for our state and region. If reappointed, I will continue to work to meet these challenges with practical, common-sense solutions that align with the PUCO mission and protect ratepayers.

I've served as a judge, policymaker, executive, and attorney. In each role, including my current service to the State, I have operated under a sworn oath to uphold and defend the laws and regulations of the State of Ohio and will always keep proper perspective on my duty to protect constituents and consumers. I take seriously the PUCO's obligation to serve the public interest and maintain the trust of the citizens we serve. Should I be fortunate to continue serving, I will remain steadfast in my commitment to these principles.

My political affiliation is with the Republican Party. I appreciate your time and consideration. I would be honored to continue serving our State with common sense and integrity. Please contact me should you require any additional information.

Sincerely,



Dennis P. Deters

Attachment

Dennis P. Deters



Professional Experience

Commissioner

Public Utilities Commission of Ohio | 2019-Present

- Regulate and oversee public utility services across Ohio.
- Serve as Ohio's representative for the Organization of PJM States (Current President) (2023-Present).
- Serve as President of the Mid-Atlantic Conference of Regulated Utility Commissioners (2025-Present).

Judge

Ohio First District Court of Appeals | 2017-2019

- Appointed as one of six Judges with appellate jurisdiction over cases from Hamilton County trial courts, as well as writs of original jurisdiction.
- Authored and/or presided over more than 500 opinions.

Senior Litigation Attorney

Robbins, Kelly, Patterson & Tucker, Cincinnati, Ohio | 2015- 2017

- Senior trial attorney representing clients in complex litigation in both federal and state courts in Southern Ohio.
- Advised and defended corporate clients on employment, general business, government regulation issues, contract negotiation, and real estate transactions.

Vice President/Commissioner

Hamilton County Board of Commissioners, Cincinnati, Ohio | 2016-2017

- Managed a \$200 million budget for thousands of employees in public service and helped bring Intelligent 911 technology to Hamilton County.
- Served as Chair of the Hamilton County Heroin Coalition, leading countywide heroin response initiatives including Heroin Quick Response Teams which have been replicated across the nation.
- Served on the Executive Committee for the following: Downtown Cincinnati, Inc.; Regional Economic Development Initiative - Cincinnati; Oversaw site acquisition and development for recruiting large manufacturing job creators.

Designee

The Princeton School Board | 2007- 2017

- Acting as School Board's Designee and the deciding agent for appeals of expulsion decisions by Administration.
- Consulted with and advised Administration relative to educational discipline procedures.

Managing Partner

Haughey & Deters, Attorneys and Counselors at Law, Hamilton, Ohio | 2005-2014

- Managing partner of a successful Southwest Ohio law firm, litigating, trying, arbitrating and mediating criminal, civil and administrative cases throughout Hamilton, Butler, Clermont and Warren counties.
- Trained and managed multiple attorneys while setting the strategic direction of the practice.

President, Vice President,

Colerain Township Board of Trustees, Colerain Township, Ohio | 2010-2016

- Instrumental in achieving structural and financial sustainable for the Township through successfully balanced budgets and fiscal responsibility of \$32 million annually.
- Directed major redevelopment and economic growth initiatives.
- Developed and implemented Solid Waste Consortium through shared services initiatives, increasing recycling and diverting waste from sanitary landfills in Ohio.
- Controlled critical litigation strategy in a dispute with the largest landfill in the Midwest, overseeing two teams of lawyers.

Vice Chair

Hamilton County Solid Waste & Recycling Policy Committee | 2009-2016

- Set policy and direction for Hamilton County's waste and recycling program.
- Oversaw the Committee's \$2.5 million budget.

Associate Litigation Attorney

Schroeder, Maundrell, Barbieri and Powers, Mason, Ohio | 2000- 2004

- Represented International and Interstate Shipping Barge Companies in Federal Admiralty Litigation
- Handled hundreds of cases for multi-state clients involving government liability.
- Defended Ohio Immunity and Civil Rights Claims for local governments and police departments
- Acted as litigation defense counsel for the following corporations and governments: Kroger, McGinnis Inc., Argosy Casino, City of Norwood, Union Township, Clermont County, and Hamilton County.

Education

University of Cincinnati College of Law, Cincinnati, Ohio | 1997-2000

Degree: Juris Doctor

University of Notre Dame, South Bend, Indiana |1993-1997

Degree: Bachelor of Arts, English and Government

Admissions

Ohio Bar, 2000

Ohio State Bar Association

Cincinnati Bar Association

Southern District of Ohio

Sixth Circuit Court of Appeals

Ohio Judicial College

Ohio Appellate Judges Association

Community Engagement

Chair, Relay for Life Colerain

Youth Mentor, United Way Cincinnati

Colerain Township Heroin Coalition

Youth Baseball and Basketball Coach, White Oak Athletics, St. James School Athletics

Operation Legal Help Ohio—Pro Bono Veteran Legal Services

Executive Committee Hamilton County Higher Education Mentoring Initiative



Form No. OEC-2025-P
OHIO ETHICS COMMISSION
FINANCIAL DISCLOSURE STATEMENT

25P

This statement is to be filed in **2026**

Financial information for calendar year **2025**

Please type or print clearly. See [instructions](#) for assistance with completing this statement.

SECTION A. PERSONAL CONTACT INFORMATION

Last Name: First Name: MI:

Address: City: State: Zip:

County: E-mail Address: Phone:

SECTION B. PUBLIC POSITION APPLYING FOR

Position/Title:

Public entity you will serve if appointed:

Term Start Date:

Month	Day	Year
04	11	2026

Term End Date:

Month	Day	Year
04	10	2031

FOR OFFICIAL USE ONLY

1. SOURCES OF INCOME

(For help, see instructions [page 2](#))

I have no sources of income that I am required to list.

Source of Income	Service Provided	Amount* (if required)
A State of Ohio	Commisioner, PUCO	
B General Electric Credit Union	Interest on Savings	
C Telhio Credit Union	Interest on Savings	
D REI Co-Op	Dividend	
E		
F		
G		
H		
I		

* Check [instructions](#) to see whether you are required to disclose amounts of income.

FOR OHIO ETHICS COMMISSION USE ONLY

Reviewed by: _____

Filer has answered every required question.
 Filer has not answered these questions: _____

Date incomplete form returned to filer: _____
Date completed form returned to OEC: _____

2. SOURCES OF GIFTS

(For help, see instructions [page 3](#))

I have no sources of gifts that I am required to list.

Source of Gift	Source of Gift
A	D
B	E
C	F

3. NAMES OF SPOUSE RESIDING IN HOUSEHOLD AND ANY DEPENDENT CHILDREN

(For help, see instructions [page 3](#))

There are no immediate family members whose names I am required to list.

Spouse Residing in Household	Dependent Children
Jessica E. Deters	Mary P. Deters
Dependent Children	
Grace K. Deters	
Dennis A. Deters	

4. NAMES OF BUSINESSES

(For help, see instructions [page 3](#))

If you or anyone you listed in Question 3 owns or operates a business, list the name of the business.

There are no business names that I am required to list.

Business Name	Business Name
A	C
B	D

5. LAND (REAL ESTATE) IN OHIO

(For help, see instructions [page 4](#))

I have no real estate that I am required to list.

Land (Real Estate) in Ohio (List address or, if address is unavailable, plat number and county)
A
B
C
D
E
You are not required to disclose your personal residence or real property held primarily for personal recreation.

6. CREDITORS OVER \$1,000

(For help, see instructions [page 4](#))

I have no creditors that I am required to list.

Creditor	Creditor
A American Express	D
B Capital One	E
C Lowes Home Improvement/Synchrony Bank	F

7. DEBTORS OVER \$1,000

(For help, see instructions [page 4](#))

I have no debtors that I am required to list.

Debtor	Debtor
A	C
B	D

8. INVESTMENTS OVER \$1,000

(For help, see instructions [page 4 and 5](#))

I have no investments that I am required to list.

Corporation, Trust, Business Trust, Partnership, or Association	Nature of Investment
A Blackrock 529	529 Plan (\$15,000)
B	
C	
D	
E	
F	
G	
H	
I	
J	
K	
L	
IF YOU NEED ADDITIONAL SPACE, PLEASE ATTACH A SEPARATE SHEET.	

9. OFFICES/FIDUCIARY RELATIONSHIPS

(For help, see instructions [page 6](#))

I have no offices or fiduciary relationships that I am required to list.

Corporation, Trust, Business Trust, Partnership, or Association	Office or Nature of Relationship
A Organization of PJM States, Inc	President
B Mid-Atlantic Conference of Regulated Utility Commissioners	President
C Deters Law LLC	Owner
D	
E	

10. FOOD OR BEVERAGES

(For help, see instructions [page 6](#))

List any source of payment for meals, food, or beverages valued at over \$100 that was received in connection with your official duties as a PUCO Commissioner by you or any other person for your use of benefit in 2025.

I have no sources of meals, food, or beverages that I am required to list.

Source of Food or Beverages	Source of Food or Beverages
A PUCO	C
B OPSI	D

11. TRAVEL EXPENSES

(For help, see instructions [page 7](#))

List both the source and the amount of each individual payment of travel expenses that was received in connection with your official duties as a PUCO Commissioner by you or any other person for your use or benefit in 2025.

I have no sources of travel expenses that I am required to list.

Source of Travel Expenses	Amount
A See attachment	
B	
C	
D	
E	
F	

12. NON-DISPUTED INFORMATION

(For help, see instructions [page 7](#))

I have no information that I am required to list.

Non-Disputed Information
A
B

13. SIGNATURE

(For help, see instructions [page 8](#))

By signing this statement:

- I swear or affirm that this statement and any additional attachments have been prepared or carefully reviewed by me, and constitute my complete, truthful, and correct disclosure of all required information, and that the address listed on page 1 is a correct mailing address.
- I acknowledge and understand that, among other potential violations and penalties, knowingly filing a false statement is a criminal misdemeanor of the first degree, in violation of Section 2921.13(A)(7) of the Revised Code, punishable by a fine of not more than \$1,000, imprisonment of not more than six months, or both.

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YOUR SIGNATURE IS REQUIRED HERE: 

Date: 1/6/26

Completed statements must be sent by U.S. Mail, be hand-delivered, or emailed to:
Public Utilities Commission of Ohio Nominating Council
180 East Broad Street, 11th Floor
Columbus, OH 43215-3793
PUCNominatingCouncil@puco.ohio.gov

Dennis Deters

Expense Report ID	Expense Type	Expense Location - Line	Transaction Amount - ER	Source
	Meal Per Diem	Chicago, IL	\$41	OPSI
	Meal Per Diem	Chicago, IL	\$23	OPSI
	Personal Mileage - Round Trip	Chicago, IL	\$420	OPSI
	Conference Lodging	Chicago, IL	\$358	OPSI
	Conference Lodging Tax	Chicago, IL	\$62.30	OPSI
	Parking / Tolls	Chicago, IL	\$168	OPSI
	Meal Per Diem	District of Columbia	\$71	OPSI
	Meal Per Diem	District of Columbia	\$35	OPSI
	Airfare	District of Columbia	\$323.96	OPSI
	Conference Lodging	District of Columbia	\$259	OPSI
	Conference Lodging Tax	District of Columbia	\$23.62	OPSI
	Personal Mileage - Round Trip	District of Columbia	\$46.20	OPSI
	Parking / Tolls	District of Columbia	\$46	OPSI
	Ground Transportation	District of Columbia	\$79.03	OPSI
	Meal Per Diem	Philadelphia, PA	\$41	OPSI
	Meal Per Diem	Philadelphia, PA	\$61	OPSI
	Personal Mileage - Round Trip	Philadelphia, PA	\$46.20	OPSI
	Conference Lodging Tax	Philadelphia, PA	\$28.15	OPSI
	Conference Lodging	Philadelphia, PA	\$172	OPSI
	Airfare	Philadelphia, PA	\$1,029.96	OPSI
	Ground Transportation	Philadelphia, PA	\$95.58	OPSI
	Parking	Philadelphia, PA	\$46	OPSI
	Meal Per Diem	District of Columbia	\$63	OPSI
	Meal Per Diem	District of Columbia	\$27	OPSI
	Personal Mileage - Round Trip	District of Columbia	\$46.20	OPSI
	Conference Lodging Tax	District of Columbia	\$987	OPSI
	Conference Lodging	District of Columbia	\$157.44	OPSI
	Airfare	District of Columbia	\$510.47	OPSI
	Ground Transportation	District of Columbia	\$73.25	OPSI
	Parking	District of Columbia	\$92	OPSI
0901054299	Airfare	District of Columbia	\$253.96	PUCO
0901054299	Conference Lodging	District of Columbia	\$369.00	PUCO
0901054299	Conference Lodging Tax	District of Columbia	\$58.86	PUCO
0901054299	Ground Transportation	District of Columbia	\$80.27	PUCO
0901054299	Meal Per Diem	District of Columbia	\$46.00	PUCO
0901054299	Meal Per Diem	District of Columbia	\$92.00	PUCO
0901054299	Parking/Tolls	Cincinnati, OH	\$46.00	PUCO
0901054299	Personal Mileage - Round Trip	Cincinnati, OH	\$38.28	PUCO
901059081	Airfare	District of Columbia	\$295.97	PUCO
0901059081	Conference Lodging	District of Columbia	\$345.00	PUCO
0901059081	Conference Lodging Tax	District of Columbia	\$55.03	PUCO
0901059081	Ground Transportation	District of Columbia	\$80.68	PUCO
0901059081	Meal Per Diem	District of Columbia	\$46.00	PUCO
0901059081	Meal Per Diem	District of Columbia	\$92.00	PUCO
0901059081	Parking/Tolls	Cincinnati, OH	\$46.00	PUCO
0901059081	Personal Mileage - Round Trip	Cincinnati, OH	\$38.28	PUCO
0901064278	Conference Lodging	Louisville, KY	\$567.00	PUCO
0901064278	Conference Lodging Tax	Louisville, KY	\$100.17	PUCO
0901064278	Meal Per Diem	Louisville, KY	\$60.00	PUCO
0901064278	Parking/Tolls	Louisville, KY	\$114.48	PUCO
0901064278	Personal Mileage - Round Trip	Louisville, KY	\$13.92	PUCO
0901064278	Meal Per Diem	Louisville, KY	\$36.00	PUCO
0901064278	Meal Per Diem	Louisville, KY	\$23.00	PUCO

901077249	Airfare	District of Columbia	\$442.46	PUCO
0901077249	Conference Lodging	District of Columbia	\$618.00	PUCO
0901077249	Conference Lodging Tax	District of Columbia	\$161.24	PUCO
0901077249	Ground Transportation	District of Columbia	\$85.74	PUCO
0901077249	Meal Per Diem	District of Columbia	\$46.00	PUCO
0901077249	Personal Mileage - Round Trip	Cincinnati, OH	\$38.28	PUCO
0901077249	Meal Per Diem	District of Columbia	\$66.00	PUCO
0901077249	Meal Per Diem	District of Columbia	\$69.00	PUCO
0901077249	Parking/Tolls	District of Columbia	\$46.00	PUCO
0901078734	Conference Lodging	Cincinnati, OH	\$537.00	PUCO
0901078734	Conference Lodging Tax	Cincinnati, OH	\$61.77	PUCO
0901078734	Meal Per Diem	Cincinnati, OH	\$43.00	PUCO
0901078734	Parking/Tolls	Cincinnati, OH	\$84.00	PUCO
901086116	Airfare	District of Columbia	\$457.47	PUCO
0901086116	Ground Transportation	District of Columbia	\$55.50	PUCO
0901086116	Meal Per Diem	District of Columbia	\$43.00	PUCO
0901086116	Parking/Tolls	District of Columbia	\$23.00	PUCO
0901086116	Personal Mileage - Round Trip	District of Columbia	\$38.28	PUCO
0901092987	Airfare	Philadelphia, PA	\$796.46	PUCO
0901092987	Conference Lodging	Montgomery, PA	\$238.00	PUCO
0901092987	Conference Lodging Tax	Montgomery, PA	\$23.80	PUCO
0901092987	Meal Per Diem	Montgomery, PA	\$40.00	PUCO
0901092987	Ground Transportation	Montgomery, PA	\$82.93	PUCO
0901092987	Meal Per Diem	Montgomery, PA	\$80.00	PUCO
0901092987	Meal Per Diem	Montgomery, PA	\$40.00	PUCO
0901092987	Parking/Tolls	Columbus, OH	\$60.00	PUCO

Dear Search Committee,

I am writing to express my interest in the Commissioner Position at the Public Utilities Commission of Ohio. With a solid educational foundation and extensive professional experience, I believe I am well-qualified for this role.

I graduated from Ohio State University with a B.S. majoring in Health, Biological, General, and Physical Sciences. Over the past 30 years, I have been tutoring Biological and Physical Sciences throughout Central Ohio. My academic journey included advanced coursework in Mathematics, Economics, Safety Audits, Finance, and Accounting. Additionally, I have successfully owned and operated a business for over 15 years as a Master Home Inspector. Moreover, I have enforced OSHA regulations during my tenure as a Fire and Safety Inspector for the past five years.

For more than 35 years, I have served as a Compliance Officer in the Department of Transportation at the Public Utilities Commission of Ohio. I hold certifications as a Hazardous Material Investigator Specialist II (Level Six Certified) and Hazardous Material Technician.

In my role, I interact with carriers and shippers to ensure compliance with all applicable laws and regulations. I am proficient in interpreting, communicating, and clarifying hazardous materials requirements, including packaging, labeling, placarding, manifesting, and handling. I also ensure compliance with hazardous materials and waste regulations, as well as motor carrier and rail transportation safety laws.

I review and evaluate safety programs and security plans for carriers and shippers, establish civil monetary penalties for violations, and collect and analyze data from federal, state, public, and law enforcement sources. I also resolve issues by negotiating with carriers, shippers, and their representatives through telephone or in-person conferences.

I answer technical questions from staff, drivers, and shippers regarding rules, regulations, and hazardous materials compliance reviews. I analyze and determine the appropriate enforcement and compliance actions for complex cases, such as those involving radioactive energy producers and their carriers.

I believe that my extensive in-house and field experience helps me to understand and represent the interests of our customers and community effectively. My decades of experience as a Hazardous Material Specialist II and Compliance Officer make me an excellent fit for the Commissioner Position. I am affiliated with the Democratic Party.

Thank you for considering my application. I look forward to the opportunity to continue to contribute to the Public Utilities Commission of Ohio.

Sincerely,

Michael T. Hines

Michael T. Hines



Objectives

A self-motivated, conscientious individual offering a willingness to learn from professional guidance and/or training; to take initiative and to work toward a goal of productive results and personal satisfaction.

To obtain a responsible and challenging position with a Company where dedication, performance, judgement, and imagination can be applied, offering a high degree of responsibility.

Education

B.S Degree in Education, The Ohio State University, Columbus, Ohio 1969 – 1975.
Major in Management, C.T.I., Columbus, Ohio 3/1980 – 12/1980.

I completed 46 courses offered by Rockwell International. (Training Transcript attached).

Experience

I am employed by the Public Utilities Commission of Ohio. I am a Compliance Officer in the Department of Transportation. In the Compliance Division, I have maintained my certifications as a Hazardous Material Specialist 2, of which I am Level Six Certified and a Certified Hazardous Material Technician. I interact with carriers and shippers and carriers of hazardous materials. I ensure compliance with hazardous materials and hazardous waste, motor carrier and rail transportation and safety laws, rules, and regulations.

I interpret, communicate, and clarify hazardous materials and safety rules and regulations to carrier's shippers and drivers. I review and evaluate carriers and shipper's safety programs and security plans. I establish civil monetary penalties for violations. I collect, review analyze data from Federal, State, Public and Law enforcement.

I establish civil monetary penalties for violations, notify offenders, utilize telephone or in person conferences to negotiate with carriers, shippers, drivers and or their representative to resolve issues. I recommend civil penalties compliance settlement. I answer technical questions from staff, carriers, drivers' shippers and others regarding rules, regulations, and hazard materials compliance reviews, analyze and determine the appropriate enforcement and compliance actions for complex cases (e.g. radioactive energy producers and their carriers.

February 1988 – Hazardous Materials Investigator Specialist, Public Utilities Commission of Ohio, 180 E. Broad St, Columbus Ohio.

Develops, enforces, and otherwise promotes carrier and shipper compliance with Federal and State safety laws, standards, and regulations pertaining to inter-state transportation of hazardous materials. Is a member of a special task force involved in investigation, enforcement, and educational assignments to reduce the frequency and magnitude of violations, spills, and accidents.

Plans and conducts periodic roadside vehicle inspections and track side checks, and on-site compliance surveys of carrier business records and operating practices for evidence of violations of applicable Federal and State safety regulations with emphasis on transportation of hazardous materials. Cites responsible company officials and/or employees for violations discovered, provides technical advice on improving safety to company managers and encourages corrective action and future compliance with hazardous material regulations and develops the case documentation to support agency enforcement action. Provides technical assistance to other state and local organizations involved in motor carrier, highway, and rail safety.

August 1983 – October 1986 – Member of Quality Reliability Administration Staff Rockwell International Corporation, Columbus, Ohio.

Responsible for reviewing manufacturing work documents to assure that they comply with approved engineering drawings, specifications, procedures and contractual requirement. Perform investigative duties associated with product defects, recommend corrective action, document and track action taken.

Coordinate the resolution of technical problems between Engineering, Manufacturing, and other concerned parties. Analyze new and revised drawings to predetermined and correct productivity problems. Analyze new and revised material processing procedures of design specifications and the resolution of problems encountered in the manufacturing process. Analyze and develop solution to production planning problems and recommend improvement in existing methods and procedures.

Interface with I&R Coordinator – To see that all portions of contractual requirements have been met and that all required aircraft have been demonstrated within their designated block.

Interface with Floor Managers and Supervisor – Making sure they are aware of what scheduled blocks of aircraft must be demonstrated and what portion of the aircraft have not met I&R requirements.

COMMUNITY SERVICES

Booster drive to raise funds for U.N.C.F. March of Dimes, and Management Club. Athletic Activities and Events -Coaching Track, Tennis, Swimming and Little League Football. Low Impact Aerobics, St Stephens Community Center, City of Hop Fund Raiser. Community Services, Union Grove Baptist Church.

TRAINING

Dispatch Board Operation, Project Program Analyst, Scuba Diving, I&R Monitor, Water Safety Instructor, Safety Auditor, The American Red Cross, North American Standard Driver Vehicle Inspection, Hazardous Materials Transportation Enforcement Course. Cargo Tank Roadside Inspection Course, U.S. Department of Transportation. Train the Trainer Courses for Awareness for Initial Response to Hazardous Materials Incidents. In Depth Radioactive Materials Course, U.S. Department of Transportation Motor Carrier Safety, Performance Management. Problem Solving and Decision Making. Interviewing and Selecting New Employees, Interpersonal Skills, Effective Briefings, and Labor Relations.

CERTIFICATES

The American National Red Cross Advanced First Aid Certificate.
The American National Red Cross Certificate for Cardiopulmonary Resuscitations.
U.S. Department of Transportation Cargo Tank Roadside Inspection.
U.S. Department of Transportation Train -The- Trainer.
In Depth Radioactive Materials.
Ohio Fire Academy Certificate of Training for Completion of the Ohio Fire Academy Course in Hazardous Materials.
Technician and Ohio Fire Academy Certificate of Training for Completion of the Ohio Fire Academy Course in Hazardous Materials, Operational Level

REFERENCES

Professional and personal references available upon request.

PREVIOUS ASSIGNMENTS

MANUFACTURING LIAISON ASSOCIATE, ROCKWELL INTERNATIONAL CORPORATION, COLUMBUS OHIO. AUG 1982 – AUG 1983

Responsible for providing an overall status of total job in work center available to Manufacturing Personnel and other support departments by staging central location for kits, tooling, part, MO's, blueprints and scan cards, so that the kits are worked in correct scheduled position and sequence. Provide liaison services between manufacturing and other support groups to help alleviate any production delays. Maintain daily priority tab in order to provide management, supervision and department personnel with work center performance and backlog through daily dis-board activity reports.

Interface with Tooling, on tool orders that are tagged and need rework.

Interface with Engineering, making sure that request are filled out completely and properly.

Interface with Shop Contact Investigation, by locating parts and tickets for shop contact and provide any needed information or tooling to help expedite investigation.

FIRE AND SAFETY INSPECTOR – THE TIMKEN COMPANY, COLUMBUS OHIO, FEB 1976 – FEB 1982

Responsible for inspecting the plant for fire and safety violations and hazards. Stand by on weld job, test and maintain fire equipment and hoses.

MATERIAL HANDLER, ALLIED MINERAL PRODUCTS, INC., COLUMBUS OHIO AUG 1975 – AUG 1976

Prepare and package raw materials. Inspect for inconsistencies and report them to Quality Control.

HEALTH & BIOLOGICAL SCIENCE TEACHER, COLUMBUS PUBLIC SCHOOL, AUG 1975 – JAN 1976

Responsible for performing classroom and related duties at secondary level.

**ROCKWELL INTERNATIONAL NAAD COLUMBUS
TRAINING COMPLETION TRANSCRIPT**

DATA THROUGH JUNE 1985

THIS TRAINING TRANSCRIPT LIST THOSE ROCKWELL COURSES COMPLETED SINCE 1982. YOU MAY RETAIN THIS COPY FOR YOUR RECORDS. ANOTHER COPY IS FILED IN YOUR DEPARTMENT PERSONNEL FILE.

NAME: M.T. HINES JOB CODE: M288 TITLE: QA P/P AN

TRAINING RECORD

COURSE NUMBER	COURSE TITLE	COMPLETION DATE
500-001	EMPLOYEE INDOCTRINATION	8208
400-046	BASIC BLUEPRINTING READING/ED REVIEW	8209
400-48	MATERIAL HANDLING	8210
400-061	PRODUCTION CONTROL -WIPC	8210
100-014	BASIC PRINCIPLES OF SUPERVISION	8211
400-039	TOOL AND TEMPLATE NOMENCLATURE	8212
400-092	WIPS-SCAN OPERATIONS	8304
610-006	THE MICROELECTRONICS EXPLOSION	8305
610-008	MANUFACTURING AUTOMATION: A KEY TO PRODUCTIVITY	8305
610-008	MANAGING STRESS, ANXIETY AND FRUSTRATION	8305
610-005	WHAT YOU ARE IS WHERE YOU WERE WHEN	8306
610-015	TIME MANAGEMENT	8306
610-004	INTRODUCTION TO DATE PROCESSING (IIS)	8307
610-018	SPEED LEARNING	8307
100-029	LABOR RELATIONS	8308
610-016	INTRODUCTION TO DATA COMMUNICATIONS (IIS)	8308
100-004	EFFECTIVE BRIEFING TECHNIQUES	8309
100-031	PROBLEM SOLVING/DECISION MAKING	8309
610-023	BURNOUT ON THE JOB	8310
100-013	INTERVIEWING AND SELECTING NEW EMPLOYEES	8311
100-015	INTERPERSONAL SKILLS-ORIENTING NEW EMPLOYEES	8311
610-001	MANAGERIAL SKILLS FOR NEW & PROSP. MGRS. (AMA)	8311
610-007	IF JAPAN CAN WHY CAN'T WE?	8311

CONTINUATION - TRAINING RECORD

610-014	LISTEN AND BE LISTENED TO (AMA)	8311
610-024	TECH MOD SERIES	8311
610-033	CONSTRUCTIVE DISCIPLINE FOR SUPERVISORS	8311
610-035	EFFECTIVE TECHNICAL PRESENTATIONS	8311
100-024	PERFORMANCE MANAGEMENT	8312
610-031	HOW TO BE AN EFFECTIVE MIDDLE MANAGER	8312
610-033	CONSTRUCTIVE DISCIPLINE FOR SUPERVISORS	8312
610-037	THE SECRETARY AS MANAGER	8401
610-038	COMPUTERWORLD	8401
610-041	QUALITY, PRODUCTIVITY AND COMPETITIVE POSITION	8403
610-049	PERSONAL DEVELOPMENT AND PROFESSIONAL GROWTH	8404
610-049	QUALITY, PRODUCTIVITY AND COMPETITIVE POSITION	8404
610-051	BUSINESS COMMUNICATIONS	8405
610-055	COMPUTERS AT WORK	8406
610-051	BUSINESS COMMUNICATIONS	8408
610-062	THE THIRD WAVE	8409
610-092	MANAGING FOR TOMORROW	8503
400-105	PAINT & PROCESSING BLUEPRINT READING	8504
610-100	HIGH PERFORMANCE LEADERSHIP	8506



FINANCIAL DISCLOSURE STATEMENT

This statement is to be filed in 2026

Financial information for calendar year 2025

Please type or print clearly. See [instructions](#) for assistance with completing this statement.

SECTION A. PERSONAL CONTACT INFORMATION

Last Name: First Name: MI:

Address: City: State: Zip:

County: E-mail Address: Phone:

SECTION B. PUBLIC POSITION APPLYING FOR

Position/Title:

Public entity you will serve if appointed:

Term Start Date:

Month	Day	Year
0	4	1 1 2 0 2 6

Term End Date:

Month	Day	Year
0	4	1 0 2 0 3 1

FOR OFFICIAL USE ONLY

1. SOURCES OF INCOME

(For help, see instructions [page 2](#))

I have no sources of income that I am required to list.

Source of Income	Service Provided	Amount* (if required)
A State of Ohio	Compliance Officer	\$108701.06
B The Timken Steel Company	Retirement Benefits	\$87.04
C Metropolitan Life Insurance	Retirement Benefits	\$1342.80
D Social Security Benefits	Retirement Benefits	\$15153.60
E Huntington Bank	Interest income	\$8.00
F		
G		
H		
I		

* Check [instructions](#) to see whether you are required to disclose amounts of income.

FOR OHIO ETHICS COMMISSION USE ONLY

Reviewed by: Filer has answered every required question.
 Filer has not answered these questions: _____

Date incomplete form returned to filer: _____
Date completed form returned to OEC: _____

2. SOURCES OF GIFTS(For help, see instructions [page 3](#)) I have no sources of gifts that I am required to list.

Source of Gift	Source of Gift
A	D
B	E
C	F

3. NAMES OF SPOUSE RESIDING IN HOUSEHOLD AND ANY DEPENDENT CHILDREN(For help, see instructions [page 3](#)) There are no immediate family members whose names I am required to list.

Spouse Residing in Household	Dependent Children
Dependent Children	

4. NAMES OF BUSINESSES(For help, see instructions [page 3](#))

If you or anyone you listed in Question 3 owns or operates a business, list the name of the business.

 There are no business names that I am required to list.

Business Name	Business Name
A Level One Home Inspection Service	C
B	D

5. LAND (REAL ESTATE) IN OHIO(For help, see instructions [page 4](#)) I have no real estate that I am required to list.

Land (Real Estate) in Ohio (List address or, if address is unavailable, plat number and county)
A
B
C
D
E
You are not required to disclose your personal residence or real property held primarily for personal recreation.

6. CREDITORS OVER \$1,000(For help, see instructions [page 4](#)) I have no creditors that I am required to list.

Creditor	Creditor
A	D
B	E
C	F

7. DEBTORS OVER \$1,000

(For help, see instructions [page 4](#))

I have no debtors that I am required to list.

Debtor	Debtor
A	C
B	D

8. INVESTMENTS OVER \$1,000

(For help, see instructions [page 4 and 5](#))

I have no investments that I am required to list.

Corporation, Trust, Business Trust, Partnership, or Association	Nature of Investment
A	
B	
C	
D	
E	
F	
G	
H	
I	
J	
K	
L	
IF YOU NEED ADDITIONAL SPACE, PLEASE ATTACH A SEPARATE SHEET.	

9. OFFICES/FIDUCIARY RELATIONSHIPS

(For help, see instructions [page 6](#))

I have no offices or fiduciary relationships that I am required to list.

Corporation, Trust, Business Trust, Partnership, or Association	Office or Nature of Relationship
A	
B	
C	
D	
E	

10. FOOD OR BEVERAGES

(For help, see instructions [page 6](#))

List any source of payment for meals, food, or beverages valued at over \$100 that was received in connection with your official duties as a PUCO Commissioner by you or any other person for your use or benefit in 2025.

I have no sources of meals, food, or beverages that I am required to list.

Source of Food or Beverages	Source of Food or Beverages
A	C
B	D

11. TRAVEL EXPENSES

(For help, see instructions [page 7](#))

List both the source and the amount of each individual payment of travel expenses that was received in connection with your official duties as a PUCO Commissioner by you or any other person for your use or benefit in 2025.

I have no sources of travel expenses that I am required to list.

Source of Travel Expenses	Amount
A	
B	
C	
D	
E	
F	

12. NON-DISPUTED INFORMATION

(For help, see instructions [page 7](#))

I have no information that I am required to list.

Non-Disputed Information
A
B

13. SIGNATURE

(For help, see instructions [page 8](#))

By signing this statement:

- I swear or affirm that this statement and any additional attachments have been prepared or carefully reviewed by me, and constitute my complete, truthful, and correct disclosure of all required information, and that the address listed on page 1 is a correct mailing address.
- I acknowledge and understand that, among other potential violations and penalties, knowingly filing a false statement is a criminal misdemeanor of the first degree, in violation of Section 2921.13(A)(7) of the Revised Code, punishable by a fine of not more than \$1,000, imprisonment of not more than six months, or both.

If you have any questions before signing this form, please contact the Ohio Ethics Commission at (614) 466-7090.

Before signing this statement, please review to make sure that you have answered each question you are required to answer. If you have nothing to list in response to any question, check the box indicating that you have nothing to list. If the response to any required question is omitted, the Commission will return the statement to you as incomplete.

YOUR SIGNATURE IS REQUIRED HERE: Michael Hines

Date: 01/06/2026

Completed statements must be sent by U.S. Mail, be hand-delivered, or emailed to:
 Public Utilities Commission of Ohio Nominating Council
 180 East Broad Street, 11th Floor
 Columbus, OH 43215-3793
PUCNominatingCouncil@puco.ohio.gov



BEFORE FILING YOUR STATEMENT



Do NOT leave any questions for which you are required to answer blank. If you have nothing that you are required to list for any required question, you must check the corresponding box indicating that you have no information that you are required to list.

For example, if you do not have any debtors that you are required to list, you should check the corresponding box indicating that you have no debtors that you are required to list, as seen below:

7. DEBTORS OVER \$1,000 - ALL FILERS MUST ANSWER THIS QUESTION:

(For help, see instructions [page 6](#))

I have no debtors that I am required to list.

Debtor		Debtor	
A		C	
B		D	

Failure to provide a proper response to any question will result in an incomplete filing.



OHIO ETHICS COMMISSION FORM NO. OEC-2025-P FINANCIAL DISCLOSURE STATEMENT INSTRUCTIONS

GENERAL INFORMATION

PURPOSE OF FINANCIAL DISCLOSURE AND REQUIREMENT FOR DISCLOSURE:

Filing a financial disclosure statement is part of the responsibility of choosing to hold or run for public office or employment. The purpose of requiring public officials and employees to file financial disclosure statements is to increase confidence in government and openness by: (1) Assisting public servants in identifying potential conflicts of interest; and (2) Allowing citizens to become aware of the financial interests of the officials and employees who serve them.

FILING DEADLINE:

The disclosure statement must be received by the Public Utilities Commission of Ohio Nominating Council by 5:00 PM on:

FRIDAY, JANUARY 9, 2026.

PUBLIC RECORDS:

Once filed, every disclosure statement is a public record. The statements and their attachments will be made available for public inspection. For security purposes, filers should NOT list or attach any of the following to their disclosure statements: (a) social security numbers; (b) account numbers for bank, credit card, or investment accounts; or (c) IRS documents or filings.



OHIO ETHICS COMMISSION FORM NO. OEC-2025-P FINANCIAL DISCLOSURE STATEMENT INSTRUCTIONS

STEP-BY-STEP INSTRUCTIONS

QUESTION 1—SOURCES OF INCOME:

[For more information, check out FAQs on Income](#)

“Income” includes:

1. “Gross income” as defined in the federal Internal Revenue Code (26 USC 61); and
2. Interest and dividends on all governmental securities, whether federal, state, or local.

Examples of “gross income” include compensation for services (such as salary), interest, rent, and pensions.

You must list every source of income, regardless of amount, that: (a) you received in 2025; or (b) any other person received in 2025 for your use or benefit. Following each source of income, briefly describe the services you provided in return for the income.

Another person has received income for your “use or benefit” if the source’s purpose for giving compensation to that person is to provide it for your use or for your benefit. For example, if you are a beneficiary of a trust, the trust earns income for your use or benefit. You must list the trust as a source of income and all sources of income received by the trust. For more information about trust disclosure, see [Advisory Opinion No. 2005-01](#).

You are **not** required to disclose:

- A. Your spouse’s income sources (although you may benefit from your spouse’s income, he or she usually does not receive income for the purpose of providing it to you [see [Advisory Opinion No. 75-036](#)]); or
- B. The names of clients, patients, or customers of your business or practice (simply disclose the business or practice).

Amount of Income: You must also disclose the amount of income you received from any source, IF:

1. The source is doing or seeking to do business with the public agency you serve; or
2. You earned the income because you provided goods or services to a legislative agent (lobbyist).
[See R.C. 101.70 or contact the Joint Legislative Ethics Committee for a list of registered lobbyists.]

EXAMPLES:

Source of Income	Service Provided	Amount* (if required)
A Your Employer(s)	Your position(s)	
B Smith & Jones Co., L.P.A.	Private law practice	
C Your Pension Fund	Retirement	
D XYZ Corporation	Stock dividends	
E Friendly National Bank	Interest on savings account	\$45.00**

** Because this bank is a depository for the filer’s public agency, the amount must also be disclosed.



OHIO ETHICS COMMISSION FORM NO. OEC-2025-P FINANCIAL DISCLOSURE STATEMENT INSTRUCTIONS

QUESTION 2—SOURCES OF GIFTS:

[For more information, check out FAQs on Gifts](#)

You must list every source of a single gift valued at over \$75 or multiple gifts with a total value of over \$75 that:

- A. You personally received in 2025; and
- B. Any other person received for your use or benefit in 2025.

Another person has received a gift for your “use or benefit” if the giver’s purpose for giving a gift to that person is to provide it for your use or for your benefit. For example, if your spouse has received a gift and the giver’s purpose is to provide the gift to you, your spouse received the gift for your use or benefit. See [Advisory Opinion No. 2009-01](#)

Sources of gifts can include individuals, corporations, or groups of individuals or corporations, such as co-workers, not-for-profit organizations, and trade associations.

You are **not required** to disclose:

- A. The nature of the gift;
- B. Campaign contributions;
- C. Gifts received by will or inheritance or by distribution from a trust established by a spouse or ancestor;
- D. Gifts received from any of these family members: spouse, parents, grandparents, children, grandchildren, siblings, nephews, nieces, uncles, aunts, brothers- or sisters-in-law, sons- or daughters-in-law, or parents-in-law; or
- E. Gifts from any person to whom you stand in the place of a parent.

QUESTION 3—IMMEDIATE FAMILY MEMBER:

[For more information, check out FAQs on Family Members](#)

List the names of your spouse living in your household and any dependent children.

QUESTION 4—NAMES OF BUSINESSES:

[For more information, check out FAQs on Business Names](#)

List all names under which you, or any of the immediate family members you listed in response to Question 3, do business. For example, list the name of any business that you or your immediate family members own or operate.

EXAMPLES:

- A. You are a partner in a law firm named Smith & Jones. You should list “Smith & Jones.”
- B. Your spouse who lives with you has an accounting firm called Ace Accounting. You should list “Ace Accounting.”
- C. Your dependent child mows lawns under a business called Carl’s Lawn Service. You should list “Carl’s Lawn Service.”



OHIO ETHICS COMMISSION FORM NO. OEC-2025-P FINANCIAL DISCLOSURE STATEMENT INSTRUCTIONS

QUESTION 5—LAND (REAL ESTATE):

[For more information, check out FAQs on Real Estate](#)

List all of your leasehold and ownership interests in land and real estate located in Ohio.

NOTE: Because of an exception in the law, you are **not required** to disclose:

- A. Your personal residence; or
- B. Any property you use primarily for personal recreation.

QUESTION 6—CREDITORS:

[For more information, check out FAQs on Creditors](#)

List the name of any person or business residing or transacting business in Ohio to whom you owe, or owed, in 2025, more than \$1000 in your own name or in the name of any other person.

For example, if you charged more than \$1000 on a credit card during 2025, you must disclose the name of the credit card issuer even if you paid off the card during the grace period.

NOTE: Because of an exception in the law, you are **not required** to disclose a creditor if the debt:

- A. Is secured on your personal residence (such as a mortgage or home equity loan);
- B. Is secured on real estate used primarily for personal recreation (such as a home equity loan); or
- C. Results from the ordinary conduct of your business or profession.

QUESTION 7—DEBTORS:

[For more information, check out FAQs on Debtors](#)

List the name of anyone residing or transacting business in Ohio who owed you, or any other person for your use or benefit, more than \$1000 during 2025.

NOTE: Because of an exception in the law, you are **not required** to disclose as a debtor:

- A. A bank or other financial institution if the only money it owes to you is money you deposited with it;
- B. Any person who owes you money as a result of the ordinary conduct of your business or profession; or
- C. Clients or patients who owe you money if you are a lawyer, doctor, or psychologist.

QUESTION 8—INVESTMENTS:

[For more information, check out FAQs on Investments](#)
and [Advisory Opinion No. 2011-01](#)

List the name of each corporation that is incorporated in, or holds a certificate of compliance to do business in, Ohio, and every trust, business trust, partnership, or association that transacts business in Ohio, in which during 2025:

- A. You had an investment of over \$1000 at any time during the year; and
- B. Any other person had an investment of over \$1000 for your use or benefit at any time during the year. For example, investments made by the manager of a trust for which you are the trust beneficiary.

You should list any investment you held at any time in 2025, even if you sold or otherwise disposed of it during the year. Briefly describe the nature of each investment you disclose.



OHIO ETHICS COMMISSION FORM NO. OEC-2025-P FINANCIAL DISCLOSURE STATEMENT INSTRUCTIONS

EXAMPLES:

Corporation, Trust, Business Trust, Partnership, or Association	Nature of Investment
A ABC Growth Fund	Mutual Fund
B XYZ Corporation	Common Stock
C Mid-Ohio Power	Corporate Bond
D Smith Family Trust	Beneficiary
E Planet Us Company	Stock [Held by Smith Family Trust]*
F Merrill Lynch	Brokerage Account
G 123 Corporation	Stock [Held in Merrill Lynch Account]*
H Janus Large Cap Fund	Mutual Fund [Held in Merrill Lynch Account]*
I LifePath 2030	Deferred Compensation Investment
J Popular Company	Stock in IRA
K MegaGrowth Fund	Mutual Fund in 401(k) Account

* Information in brackets is included for illustration purposes. You are not required to disclose these details on your statement.

QUICK INVESTMENT DISCLOSURE GUIDE ([Advisory Opinion No. 2011-01](#))

If I have more than \$1,000 invested in a(n).....	Do I need to disclose this investment?	Do I need to list the individual holdings within this investment?
Mutual Fund	Yes	No
Stock	Yes	No
Bond	Yes	No
Brokerage Account	Yes	Yes
Managed Account	Yes	Yes
Trust (Beneficiary)	Yes	Yes
Investment Club Account	Yes	Yes
529 Plan	Yes	Yes
ESA	Yes	Yes
Public Retirement System Account (PERS, STRS, SERS, HPRS, or OPFPF)	No	No
Social Security	No	No

NOTE: You are not required to disclose:

- A. Saving and checking accounts, certificates of deposit, and other deposits with financial institutions; or
- B. Personal identifying information such as social security or investment account numbers.

For more information, please visit ethics.ohio.gov or call (614) 466-7090.



OHIO ETHICS COMMISSION FORM NO. OEC-2025-P FINANCIAL DISCLOSURE STATEMENT INSTRUCTIONS

QUESTION 9—OFFICES AND FIDUCIARY RELATIONSHIPS: [For more information, check out FAQs on Fiduciary Interest](#)

List the name of each corporation that is incorporated in, or holds a certificate of compliance to do business in, Ohio, and every trust, business trust, partnership, or association incorporated or authorized to do business in Ohio, or transacting business in Ohio:

- A. In which you held an office in 2025; or
- B. With which you had a fiduciary relationship in 2025.

A person has a “fiduciary relationship” with an entity if he or she has the authority to make decisions in the entity’s interests.

EXAMPLES:

	Corporation, Trust, Business Trust, Partnership, or Association	Office or Nature of Relationship
A	Buckeye Friends Trust	Trustee
B	Smith & Jones Co., L.P.A.	Partner
C	Let us Help Association (non-profit)	Member, Board of Directors
D	Smith Cleaning Company	President/CEO

QUESTION 10—MEALS, FOOD, AND BEVERAGES: [For more information, check out FAQs on Meals](#)

List any source of payment for meals, food, or beverages valued at over \$100 that was received in connection with your official duties as a Public Utilities Commission of Ohio Commissioner by you or any other person for your use or benefit in 2025.

Include the Public Utilities Commission of Ohio if it paid for more than \$100 of meals, food, or beverages for you.

NOTE: Because of an exception in the law, you are **not required** to disclose anyone who provided meals, food, or beverages to you:

- A. At a meeting where you participated in a panel, seminar, or speaking engagement; or
- B. At a meeting or convention of a national or state organization to which any state agency, legislative agency, state institution of higher education, political subdivision, or office or agency thereof, pays membership dues.



OHIO ETHICS COMMISSION FORM NO. OEC-2025-P FINANCIAL DISCLOSURE STATEMENT INSTRUCTIONS

QUESTION 11—TRAVEL EXPENSES:

[For more information, check out FAQs on Travel Expenses](#)

List **both** the source and the amount of each individual payment of travel expenses, received in 2025, that was:

- A. Received by you in connection with your official duties as a Public Utilities Commission of Ohio Commissioner; or
- B. Paid to any other person for your use or benefit in connection with your official duties as a Public Utilities Commission of Ohio Commissioner.

Include the Public Utilities Commission of Ohio if it paid for or reimbursed travel expenses for you.

You must list each payment or reimbursement separately. Travel expenses include parking fees, lodging, airline tickets, and mileage reimbursements.

NOTE: Because of an exception in the law, you are **not required** to disclose anyone who provided travel expenses to a meeting or convention of a national or state organization to which any state agency, legislative agency, state institution of higher education, political subdivision, or office or agency thereof, pays membership dues.

QUESTION 12—NON-DISPUTED INFORMATION:

If you received a statement from a legislative agent, executive agency or retirement system lobbyist or the employer of such that identifies you as the recipient of expenditures made by that lobbyist or employer, and you do not dispute the information contained in the statement, attach a copy of the statement or list the non-disputed information below. If you dispute a legislative lobbying expenditure made in your name please contact the Office of the Legislative Inspector General at **614-728-5100**. To dispute an executive or retirement system expenditure made in your name, please contact the Ohio Ethics Commission.



OHIO ETHICS COMMISSION FORM NO. OEC-2025-P FINANCIAL DISCLOSURE STATEMENT INSTRUCTIONS

QUESTION 13—SIGNATURE:

Please note that by signing your financial disclosure statement:

- You swear or affirm that this statement and any additional attachments have been prepared or carefully reviewed by you, and constitute your complete, truthful, and correct disclosure of all required information.
- You acknowledge and understand that, among other potential violations and penalties, knowingly filing a false statement is a criminal misdemeanor of the first degree, in violation of Section 2921.13(A)(7) of the Ohio Revised Code punishable by a fine of not more than \$1,000, imprisonment of not more than six months, or both;

BEFORE SIGNING AND SENDING YOUR STATEMENT:

Please carefully review your disclosure statement to make sure that you have answered ALL required questions, either by disclosing the information required or checking the appropriate box indicating that you have no information to disclose. Incomplete statements will be returned for completion.

Completed statements must be sent by U.S. Mail, be hand-delivered, or emailed to:

Public Utilities Commission of Ohio Nominating Council
180 East Broad Street, 11th Floor
Columbus, Ohio 43215-3793
PUCNominatingCouncil@puco.ohio.gov

*If you have any questions before signing this statement, please contact
the Ohio Ethics Commission at (614) 466-7090 or
visit the Commission's web site: ethics.ohio.gov*

January 2, 2026

Public Utilities Commission Nominating Committee
C/o Public Utilities Commission of Ohio
180 E. Broad Street, 11th Floor
Columbus, OH 43215-3793

Ref: PUCO Commission

Council Members:

I am respectfully submitting my information for consideration as a candidate for appointment as a Commissioner to the Public Utilities Commission of Ohio.

I have been fortunate to have enjoyed a career that encompassed more than 40 years of finance, accounting, and risk management experience, including 35 years as the senior or Chief Financial Officer of a mid-size manufacturing company in Western Ohio. This required my engagement with our management group relating to procurement of all energy needs (electric, propane, natural gas) of the organization. My responsibilities also encompassed managing all the information systems that touched on telecommunication, fiber optics and microwave communications between the two Ohio locations and one in Indiana plus with our external partners.

While serving as a county commissioner for Darke county I was further exposed to the operations of PUCO and Ohio Power Siting Board to understand the roles and responsibilities for an industrial grade solar project proposed within the county.

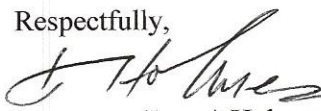
While a county commissioner took the lead for identifying a county-wide broadband solution that is currently being implemented.

With exception of the solar topic all my experience has been as a consumer; as a homeowner, in the industrial setting or county government procurement of services.

I am a registered Republican and was elected commissioner as a Republican.

I look forward to further exploring serving as a commissioner of the Public Utilities Commission of Ohio.

Respectfully,



Lawrence (Larry) Holmes

Lawrence D. Holmes

Greenville, OH 45331

Work Experience

Darke County Commission Board – Commissioner (1/2021 to 1/2025)

Fort Recovery Industries, Inc., 2440 State Route 49, Fort Recovery, OH 45846
Vice President – Finance & Treasurer (1/1995 to 4/2020)
Controller & Officer (1/1990 – 12/1994)
Controller (1/1983 – 12/1989)
Manager – Accounting & Information Systems (6/1979 – 12/1982)
Supervisor – Reporting and Information Systems (8/1978 – 5/1979)
Apprentice Toolmaker, Lead – Assembly, Material Handler (5/1973 – 11/1974)

Fortco Plastics, Inc., 500 Industrial Park Drive, Portland, IN 45371
Treasurer (10/2000 – 10/2004)

Century Electric Motor Company, 308 N. Bridge Street, Gettysburg, OH 45328
Supervisor of Accounting (3/1978 – 8/1978)
Plant Accountant (4/1977 – 3/1978)
Junior Accountant (1/1976 – 4/1977)

Greenville National Bank, 4th and Broadway, Greenville, OH 45331
Officer Training Program (11/1974 – 1/1976)

Responsibilities:

- Responsible for all financial activities; including bank relations, credit management, internal reporting, external reporting, tax reporting and all reviews / audits relating to compliance requirements.
- Responsible for all areas of Information Systems.
- Responsible for risk management (i.e. property & casualty insurance, workers compensation, key-man life, etc.)
- Responsible for design, implementation, and administration of welfare benefits.
- Responsible for Cost Accounting, General Accounting, Payroll, Budgeting / Operating Plans.

Accomplishments:

- Implemented Self-Insured Workers Compensation program in late 2012. (Annual savings \$225K - \$300K)
- Workers compensation group rating program that reduced costs by 30% - 85% for period of 1993-2012.
- Implementation of HRA plan with 70% first year participation.
- Design & implementation of self-funded health plan (1st year savings of \$375K and estimated savings 15% / year there after)
- Design & implementation of defined contribution retirement plan
- Design & implementation of financial planning process
- Credit Loss Ratio < .1% for 20+ years (while company had growth average of 8-10% / year)
- Site identification and selection for plastics plant operation (ultimately Fortco Plastics, Inc.)

Education:

M.B.A. – Financial Administration (1982)
B.S. – Accounting (1979), Cum Laude (3.45 GPA)
A.A. – Accounting Technology (1976), (3.29 GPA)

Professional Affiliation, Community Service, Activities, and Honor

Darke County Improvement Corporation – Trustee (2012 – 2024)
Jay County (Indiana) Development Corporation – Trustee (2015-2021)
Ohio Manufacturers Association – Safety & Workers Compensation Committee (1997 – 2020, Chair 2013-2020)
St. Mary’s Parochial School – Trustee (2009 – 2014, Trustee Chair 2 years)
Greenville City School Board – 12 years (1992 – 1995, 2000 - 2007, President 6 years)
Financial Executive International (Dayton Chapter) – 1987 to present, Chapter Director 22 years; President 4 years)
Wayne Industries Non-Profit Board (1988 - 2016, President 7 years)
Wright State University – Adjunct Instructor (1984 – 1991)
Youth for Christ Board of Directors (1996 – 1999)
Darke County YMCA Board of Directors (2015-2021)
Darke County Historical Society (2019-2022)
Darke County Soccer Association – Board of Directors (1996 – 2002)
Youth coach (baseball, basketball & soccer) – (1990 – 2005)
Eugene Phelps Citizenship Award (1973)



FINANCIAL DISCLOSURE STATEMENT

This statement is to be filed in 2026

Financial information for calendar year 2025

Please type or print clearly. See instructions for assistance with this page. Electronic filing available at: disclosure.ethics.ohio.gov

SECTION A. PERSONAL CONTACT INFORMATION

Last Name: First Name: MI:

Address: City: State: Zip:

County: E-mail Address: Phone:

SECTION B. STATUS (Check all that apply)

- Candidate
- Write-in Candidate
- Elected office holder
- Appointed (in 2026) to an unexpired term in elective office
- Public Official
- Public Employee
- Voluntary Filer / Other

CANDIDATES: Please list the date of the first election (primary, special, or general) when your name will appear on the ballot.

Month	Day	Year

FOR OFFICIAL USE ONLY

FILED
Online
1/2/2026
2:30 PM
Confirm #: 1401263030021

SECTION C. PUBLIC POSITION, OFFICE, OR JOB

Position/Title (Example: council member, sheriff, board member, or job title): Seeking Hold Held

Public Entity you serve in 2026, served in 2025, or will serve if elected:

Public Salary: Uncompensated Less than \$16,000 \$16,000 or more

Start Date:

Month	Day	Year
0	1	0 3 2 0 2 1

 End Date:

Month	Day	Year
0	1	0 2 2 0 2 5

SECTION D. ADDITIONAL PUBLIC POSITION, OFFICE, OR JOB

Position/Title (Example: council member, sheriff, board member, or job title): Seeking Hold Held

Public Entity you serve in 2026, served in 2025, or will serve if elected:

Public Salary: Uncompensated Less than \$16,000 \$16,000 or more

Start Date:

Month	Day	Year
1	0	3 1 2 0 2 5

 End Date:

Month	Day	Year
0	1	1 7 2 0 3 1

FOR OHIO ETHICS COMMISSION USE ONLY

<input type="checkbox"/> Walk-in <input type="checkbox"/> Inter Office <input type="checkbox"/> No Check Rev'd by: _____	<input checked="" type="checkbox"/> Filer has answered every required question. <input type="checkbox"/> Filer has not answered these questions: _____	Date incomplete form returned to filer: _____ Date completed form returned to OEC: _____
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1. SOURCES OF INCOME - ALL FILERS MUST ANSWER THIS QUESTION:

(For help, see instructions [page 4](#))

I have no sources of income that I am required to list.

Source of Income	Service Provided	Amount* (if required)
A FR Holdings, LLC	Lease Income	
B FR Holding II, LLC	Lease Income	
C Park National Bank	Interest and Dividend Income	
D Charles Schwab	Interest and Dividend Income	
E Darke County	County Commissioner	

* Check [instructions](#) to see whether you are required to disclose amounts of income.

2. SOURCES OF GIFTS - ALL FILERS MUST ANSWER THIS QUESTION:

(For help, see instructions [page 5](#))

I have no sources of gifts that I am required to list.

Source of Gift	Source of Gift
A	D
B	E
C	F

3. NAMES OF SPOUSE RESIDING IN HOUSEHOLD AND ANY DEPENDENT CHILDREN - ALL FILERS MUST ANSWER THIS QUESTION:

There are no immediate family members whose names I am required to list.

(For help, see instructions [page 5](#))

Spouse Residing in Household	Dependent Children
Carol Ann Holmes	
Dependent Children	

4. NAMES OF BUSINESSES - ALL FILERS MUST ANSWER THIS QUESTION:

(For help, see instructions [page 5](#))

If you or anyone you listed in Question 3 owns or operates a business, list the name of the business.

There are no business names that I am required to list.

Business Name	Business Name
A FR Holdings, LLC	C
B FR Holdings II, LLC	D

5. LAND (REAL ESTATE) IN OHIO - ALL FILERS MUST ANSWER THIS QUESTION:

(For help, see instructions [page 6](#))

I have no real estate that I am required to list.

Land (Real Estate) in Ohio (List address or, if address is unavailable, plat number and county)
A 1200 Industrial Park Drive, Fort Recovery, OH 45846
B
C

You are not required to disclose your personal residence or real property held primarily for personal recreation.

6. CREDITORS OVER \$1,000 - ALL FILERS MUST ANSWER THIS QUESTION:

(For help, see instructions [page 6](#))

I have no creditors that I am required to list.

Creditor	Creditor
A Visa - Comenity Capital Bank	D
B	E
C	F

7. DEBTORS OVER \$1,000 - ALL FILERS MUST ANSWER THIS QUESTION:

(For help, see instructions [page 6](#))

I have no debtors that I am required to list.

Debtor	Debtor
A	C
B	D

8. INVESTMENTS OVER \$1,000 - ALL FILERS MUST ANSWER THIS QUESTION:

(For help, see instructions [page 6](#) and [7](#))

I have no investments that I am required to list.

Corporation, Trust, Business Trust, Partnership, or Association	Nature of Investment
A FR Holdings, LLC	Partner
B FR Holdings II, LLC	Partner
C Charles Schwab	Brokerage Account
D Bartlett & Company Wealth Management	Managed Accounts
E Park National Bank	Managed Accounts
F	
IF YOU NEED ADDITIONAL SPACE, PLEASE ATTACH A SEPARATE SHEET.	

9. OFFICES/FIDUCIARY RELATIONSHIPS - ALL FILERS MUST ANSWER THIS QUESTION:

(For help, see instructions [page 8](#))

I have no offices or fiduciary relationships that I am required to list.

Corporation, Trust, Business Trust, Partnership, or Association	Office or Nature of Relationship
A	
B	

SKIP QUESTIONS 10 AND 11 IF YOU ARE ONLY REQUIRED TO FILE AS A:

- College or university trustee
- Candidate for a city, school district, or ESC position that is paid less than \$16,000 a year
- City, school district, ESC, or sanitary district official or employee serving in a position that is paid less than \$16,000 a year
- JobsOhio board member or employee

10. FOOD OR BEVERAGES - ALL FILERS EXCEPT THOSE LISTED IN THE BOX ABOVE MUST ANSWER THIS QUESTION:

I have no sources of meals, food, or beverages that I am required to list.

(For help, see instructions [page 8](#))

Source of Food or Beverages	Source of Food or Beverages
A	C
B	D

11. TRAVEL EXPENSES - ALL FILERS EXCEPT THOSE LISTED IN THE BOX ON PAGE 3 MUST ANSWER THIS QUESTION:

I have no sources of travel expenses that I am required to list. (For help, see instructions [page 9](#))

Source of Travel Expenses	Amount
A	
B	
C	
D	
E	
F	

12. NON-DISPUTED INFORMATION - ALL state employees, state officials and state board and commission members (except college and university trustees) are REQUIRED to answer Question 12. All other filers should skip this question and go to question 13.

I have no information that I am required to list. (For help, see instructions [page 9](#))

Non-Disputed Information
A
B

13. SIGNATURE - ALL FILERS MUST SIGN THE STATEMENT:

(For help, see instructions [page 10](#))

By signing this statement:

- I swear or affirm that this statement and any additional attachments have been prepared or carefully reviewed by me, and constitute my complete, truthful, and correct disclosure of all required information, and that the address listed on page 1 is a correct mailing address.
- I acknowledge and understand that, among other potential violations and penalties, knowingly filing a false statement is a criminal misdemeanor of the first degree, in violation of Sections 102.02(D) and 2921.13(A)(7) of the Revised Code, punishable by a fine of not more than \$1,000, imprisonment of not more than six months, or both.
- I acknowledge and understand that filing a false statement may be grounds for removal from public office or dismissal from public employment pursuant to Sections 3.04 and 124.34 of the Revised Code.
- I acknowledge that, in 2025, I served in, or in 2026, I am serving in or a candidate for, the position(s) indicated on page 1 of this statement.

If you have any questions before signing this form, please contact the Ohio Ethics Commission at (614) 466-7090.

Before signing this statement, please review to make sure that you have answered each question you are required to answer. If you have nothing to list in response to any question, check the box indicating that you have nothing to list. If the response to any required question is omitted, the Commission will return the statement to you as incomplete. **Any person who fails to file a complete statement by the appropriate filing deadline may be assessed a late filing fee and may be subject to criminal penalty.**

Deliver completed statement to: Ohio Ethics Commission, 30 W. Spring St., L3, Columbus, OH 43215

My filing fee is:

(For help, see instructions [page 2](#))

- Enclosed (check or money order payable to "Ohio Ethics Commission")
- Submitted Online
- My public agency is required or has agreed to pay my filing fee.

YOUR SIGNATURE IS REQUIRED HERE: Lawrence D. Holmes

Date: 1/2/2026 2:30 PM

Confirmation Number: 1401263030021

Stephen R. Serraino



To: Jeffrey Jones, Coordinator
Public Utilities Commission of Ohio Nominating Council
c/o Public Utilities Commission of Ohio
180 E. Broad Street, 11th Floor
Columbus, Ohio 43215-3793
PUCNominatingCouncil@puco.ohio.gov

From: Stephen R. Serraino
Re: Application for Commissioner, Public Utilities Commission of Ohio
Date: December 28, 2025

Enclosed is my application for PUCO Commissioner for the term commencing on April 11, 2026 and ending on April 10, 2031. The application enclosed consists of my cover letter and resume. Please include my cover letter and resume for consideration by the PUCO Nominating Council. The Financial Disclosure Statement will be sent separately.

Acknowledgment of your receipt of this email and its accompanying documents is appreciated.
Thank you,
Stephen R. Serraino

STEPHEN R. SERRAINO

Public Utilities Commission of Ohio Nominating Council

December 28, 2025

c/o PUCO, 180 E. Broad St., 11th Floor, Columbus, OH 43215 PUCNominatingCouncil@puco.ohio.gov

Attention: Jeffrey Jones, Coordinator, Public Utilities Commission of Ohio Nominating Council

Re: Application - Commissioner, Public Utilities Commission of Ohio, Term Commencing 4/11/2026

With the enclosed resume, please consider my application for Commissioner with the Public Utilities Commission of Ohio (PUCO). I am a lifelong Ohio resident. I, for 8 years, was Vice President, General Counsel, Corporate Secretary, and Chief Compliance & Ethics Officer with a Michigan investor-owned public utility (not subject to PUCO regulation). Those positions provided me with extensive energy and utility industry expertise to draw upon, expertise that is valuable to a Commissioner's decision-making processes. Also, my legal career allowed me to gain expertise in both transportation (railroads) and communications technology. My work as General Counsel in these pertinent areas equips me with the experience, knowledge, qualifications, and skill sets necessary to effectively carry out the duties and responsibilities of a Commissioner on behalf of all Ohioans. My political status is as an Independent. Due to extensive public utility experience delivering sound and effective regulatory and compliance legal counsel, including critical focus on customer service, I have those qualities needed to be an excellent choice for Commissioner.

Moreover, in light of present concerns about undue influence, whether actual or perceived, affecting energy policy in Ohio, I can confirm that my expertise was developed without any such undue influence in Ohio or elsewhere. By developing my utility expertise as I have, I am free of influences that would improperly affect Ohio utility decisions. My focus as a Commissioner will always be to make the best utility decisions for Ohioans/Ohio, and their utility providers.

My professional career honed skills critical to the work of a Commissioner, including:

- **EXPERTISE** – Expertise across a broad spectrum of relevant functions spanning: utility infrastructure; renewable energy; electrification; municipalization; regulatory compliance; privacy; ethics; customer service; health and safety; HR/employment-labor/employee relations; IT; IP; transportation; contracts/commercial transactions; real estate; supply chain; logistics; products liability; and corporate governance, among many other functions.
- **RELATIONSHIP BUILDER** – A well-developed skill is cultivating and strengthening positive relationships with colleagues and stakeholders which facilitates gathering, analyzing, and translating complex issues into successful solutions.
- **ISSUE ANALYSIS**—I can: analyze complex issues; present such issues in an understandable manner; decide individually and collaboratively upon an appropriate solution; and work within the regulatory framework to implement that solution.

My experience, qualifications, and skill sets provide a solid foundation upon which to rely in assuring the utility needs of all Ohioans are properly addressed. As an analytical, solutions-focused professional, I have a strong desire to deliver the effort necessary to achieve PUCO's mission. Moreover, I do not hold direct interest in stocks, bonds, or other financial investments of any regulated public utility. I welcome the opportunity to meet and discuss how my capabilities match the unique characteristics required of a Commissioner. Thank you for reviewing this letter and resume, and for considering my application for nomination as a PUCO Commissioner.

Sincerely, *Stephen R. Serraino*

Stephen R. Serraino, Esq.



EXECUTIVE SUMMARY

Analytical, versatile General & Corporate Counsel with distinguished career path directly leading, managing, and supporting diverse legal functions on behalf of law firms, corporate, and government organizations. Highly adept at steering litigation, compliance, ethics, customer service, corporate governance, and risk management activities. Demonstrated success developing and implementing comprehensive strategies to maintain regulatory compliance, meet customer expectations, optimize organizational growth, and achieve success in complex cases. Skilled in developing and leading first rate legal teams in robust research, investigation, negotiation, and resolution activities. *Expertise with:*

- Legal Counsel & Guidance
- Litigation, Arbitration & Mediation
- Labor & Employment Relations
- Strategy Development & Execution
- Negotiation & Dispute Resolution
- Investigation Planning & Facilitation
- Corporate Governance
- C-Suite Relationship Management

PROFESSIONAL EXPERIENCE

Ohio Unemployment Compensation Review Commission (ODJFS) – Toledo Office - Hybrid

Hearing Officer

Jan. 2024 – Present

Review case file materials from 2 prior levels of case adjudication; prepare outline to conduct third level appellate review hearing; as judicial officer administer oaths/affirmations, elicit testimony, conduct/direct hearing; determine facts from case materials and testimony, apply applicable law, and decide appeals; draft appellate decision and submit to parties and agency; complete average of 5 appellate cases daily; remain current with legal precedents.

District of Columbia Retirement Board – Temporary Position, Washington, D.C.

General Counsel

Jan. 2023 – Sept. 2023

[DCRB, \$11B+ pension fund for the District of Columbia Police, Firefighters, and Teachers]

- Provide legal support to DCRB departments and staff in all DCRB related matters, especially concerning litigation, regulatory, or contractual disputes pertaining to investment and administration of the retirement pension fund.
- Ensure legal compliance with all governing authorities impacting agency operations, Board governance, benefits administration, and investment management.
- Analyze, evaluate, and implement federal and state statutory and regulatory proposals.
- Interact and coordinate with staff, stakeholders, and attorneys, as necessary, on legal matters impacting agency operations, as well as on issues involving retirement plan statutes and tax qualification matters, benefits claims, investments, and litigation.
- Review legal issues/matters/risks of departmental operations, and provide assistance/advice/solutions.
- Draft legislative initiatives, plan amendments, regulations, guidance, agency testimony, and reports, as well as other policy statements and contracts.
- Provide in-depth analysis of Congressional and District Council legislative initiatives that may affect the agency's operations, benefits administration, and investments; determine the resulting effect on the agency's existing programs, policies, and procedures.
- Prepare and provide presentations to executive management and staff on agency issues and legal ramifications.
- Advanced knowledge of relevant legal principles and their applications, as well as legal research methods.
- Knowledge of District of Columbia/state/municipal code and regulations as pertains to the Board's operations and administration of benefits.

- Knowledge of current and developing legal issues and trends in pension plan governance and administration.
- Knowledge of employee benefits, including ERISA, securities, and contract law.
- Advanced knowledge of government contracts procedures, procurement, and administrative law, as well as the legislative process.

CitiBank - Temporary & Remote Position, Holland, OH

Contract Counsel,

Sept. 2022 – Dec. 2022

- Negotiate, draft, review, and revise contracts on behalf of CitiBank to allow international companies and government agencies to utilize the services and trademarks of CitiBank.
- Participate in strategy conferences with in-house CitiBank legal counsel to address a variety of contract issues that surfaced due to differences between multi-national contracting parties.
- Participate in direct conferences between multinational contracting parties in an effort to narrow and eliminate contract issues to allow parties to execute mutually beneficial contracts.

Upper Peninsula Power Company | Upper Peninsula Power Holding Company, Marquette, MI

Vice President, General Counsel, Corporate Secretary, and Chief Compliance & Ethics Officer, 2014 – 2022

- Orchestrate cross-functional efforts in daily operations as part of the executive leadership team, counsel leadership team in navigating intersection of business practices with legal, compliance, and ethics issues.
- Develop and administer legal budget; identify and act upon opportunities to control costs.
- Provide legal counsel to C-Suite leadership on complex legal, regulatory, governance, stakeholder, labor/employment, and customer issues, including conducting issue-driven investigations, preparing board resolutions, recording minutes, maintaining corporate records, and meeting internal/external corporate audits.
- Generate and modify key professional services, vendor, consultant, procurement, real estate, labor, etc., contracts; provide/apply expert negotiation skills in a team approach to secure optimal terms.
- Negotiate and draft SaaS and other IT software, hardware, and services agreements.
- Analyze and modify financial documents, including revolving loan and note purchase agreements; supply chain agreements; power purchase agreements (PPAs), for: power grid, wind power, and solar power.
- Develop and implement holistic strategies to mitigate corporate risks and optimize personnel safety.
- Continuously draft, analyze, modify, and approve employment policies and handbooks.
- Key member of team negotiating, drafting, implementing, and interpreting collective bargaining agreements.
- Advise HR concerning employee grievances, benefits, and ERISA disputes; resolve NLRB and EEOC issues.
- Directly interact with decision-makers within local, state, and federal government agencies.
- Lead and manage full project life cycle of concurrent projects; consistently deliver successful litigation, investigation, and legal initiatives on time and within budget.
- Responsible for oversight, maintenance, and enforcement of copyrights, trademarks, licensing agreements, and related regulatory and litigation issues.
- *Key Contributions Include:*
 - Championed and led appellate efforts as a creditor seeking to recoup \$2.6M in administrative expenses that were improperly discharged in bankruptcy.
 - Coached and mentored senior leadership through a voluntary negotiation regarding how to secure an \$800K refund of sub-standard IT work, with little contractual support.

- Took the initiative to lead resolution of a year-long dispute with a commercial customer after the operations department unsuccessfully addressed the issue, resolving the dispute amicably within 2 months.
- Core member of labor contract negotiation team; led break-out discussions on new and emerging best practices. Enabled the organization to enter into an unprecedented 5-year collective bargaining agreement, maintaining beneficial labor contract terms and maintaining long-term labor relations stability.
- Led full project life cycle of a critical initiative; directed a cross-functional team in the renovation and repair of company-caused damage to a customer's house, achieved within 10 days, maintaining good customer relations while preventing excess top-end exposure.
- Crafted an acknowledgment form to solve a recurring issue in which utility power line crews were faced with shutting off electric power to customers' residences due to extreme weather. Form allowed customers to acknowledge temporary restoration of power in exchange for customers rendering their equipment fully code compliant within brief time period, a true win-win result.

Temperance Distilling Company, Temperance, MI

Vice President Law, General Counsel, and Chief Compliance Officer, 2011 – 2014

- Held full fiscal and operational accountability of company's legal function.
- Drafted, revised, and reviewed vendor, supplier, and client contracts; verified and ensured compliance.
- Identified and addressed potential and emergent risks; swiftly developed and implemented corrective measures. Acted as business practices advisor to senior management.
- Developed and facilitated comprehensive training sessions covering relevant legal topics.
- Built and maintained positive working relationships with customers, pertinent government entities, and other stakeholders.
- Managed multiple concurrent cases; consistently delivered positive outcomes for the organization.
- Led teams in legal research and discovery processes. Addressed corporate governance issues.
- *Key Contributions Include:*
 - Collaborated with CEO in rewriting company's main service contract template; focused on incorporating more understandable terms with less legalese. Modifications directly resulted in improved customer satisfaction and compliance.
 - Amassed an exceptional track record for swiftly resolving supply chain, real estate, construction, and energy issues; prevented loss-control expenses, and minimized risk exposures.

Lucas County Auditor's Office, Toledo, OH

General Counsel, Litigation, Human Resources, and Labor, 2010 – 2011

- Addressed and resolved a diverse array of legal issues impacting litigation, real estate, human resources, workers' compensation, citizens/customers, commercial transactions, contracts, compliance, supply chain, and labor relations activities.
- Strategically addressed 4 separate labor contracts with 3 different unions. Directed case management efforts; led mediations and negotiations.
- Drastically reduced disruptive grievance process and its backlog by training management team in effective use of labor contract provisions and grievance investigation and reporting techniques.

Dold Companies, Perrysburg, OH

General Counsel, Litigation and Compliance, 2007 – 2010

- Provided legal counsel to C-Suite and management leaders for 12+ affiliated vertically integrated real estate investment, development, and construction corporations.

- Coached leaders regarding public and government relations, energy contracts, commercial transactions, customer services, human resources, employee relations, OSHA, litigation, risk management/claim prevention.
- Kept up to date with current best practices and legislation impacting business activities, acted as a sounding board to senior management.
- Succeeded in personally defending a “bet the company” lawsuit through focused use of the discovery process resulting in dismissal by summary judgment without the need for trial.
- Drove profitability by guiding companies through mitigation of recurring legal issues.

MAQ Group, Boca Raton, FL
General Counsel, 2006 – 2007

- Managed and controlled litigation/dispute resolution for commercial real estate investment/development firm.
- Delivered strategic counsel enhancing investment and corporate objectives, ensuring full compliance with all applicable regulations and other legal requirements.
- Reduced areas for dispute and eliminated risk exposures.

Dean & Fulkerson, P.C., Troy, MI
Partner & Shareholder, 2005 – 2006

- Practice focused on business, construction, products liability, employment, labor, transportation, risk management, and environmental law matters.
- Specialized in commercial, regulatory compliance, contracts, restructuring, and acquisition cases.
- Analyzed and mitigated marketing and antitrust challenges for top-tier auto parts manufacturers and other high-profile clients.
- Explored opportunities with major auto manufacturer, resulting in firm’s inclusion on approved counsel list.

Anspach Serraino Meeks & Nunn, LLP, Toledo/Tiffin, OH, & Buffalo, NY
Partner, 1987– 2004

- Directed operations, budgeting, and caseload management as administrative partner for multi-office firm experiencing rapid revenue growth and expansion from 3 to 25 employees. Hired, trained, and led large legal service teams.
- Represented clients (including Whirlpool Corporation, CSX Transportation, and Mercy Health Partners) across litigation in business, medical, occupational, labor/employment, transportation, manufacturing, construction, real estate, toxic tort, products liability, regulatory compliance, and insurance areas.
- Addressed labor issues in concert with in-house and special labor counsel to assist in maintaining union-free work forces at 3 large Whirlpool Corp. manufacturing facilities.
- Worked with CSX Transportation (an interstate railroad) to address and/or negate labor grievances utilizing evidence discovered during defense of CSX from union employees’ FELA claims.
- Prevented application of novel Ohio employer intentional tort law to major manufacturer, eliminating and/or mitigating continuous long-term legal and financial exposures and claims.
- Utilized litigation experience to assist clients in the implementation of workplace improvements that would aid in the prevention of recurring employee injuries and claims.
- Fostered client relationships that encouraged clients to inquire about, and address, legal and/or regulatory issues early to prevent them from becoming claims and/or litigation.

EDUCATION

Ohio State University College of Law, Columbus, OH
Juris Doctorate,

Ohio Northern University College of Business Administration, Ada, OH
Bachelor of Science in Business Administration, with Distinction

- Dual Majors in Management and Marketing.
- Recipient of the Whirlpool Foundation Scholarship, 4 years

LICENSURE & PROFESSIONAL AFFILIATIONS

- Admitted to Practice in Ohio, New York, Michigan, and Missouri (inactive).
- Ohio State Bar Association
- Michigan Bar Association
- New York State Bar Association
- Missouri Bar Association
- Toledo (Ohio) Bar Association
- National Association of Railroad Trial Counsel
- Appointed Vice Chairman, Lawyers' Fund for Client Security Board of Commissioners by the Supreme Court of Ohio, 2013 – 2018
- Appointed to Supply Chain Management Advisory Committee, University of Toledo College of Business & Innovation, 2013- 2020



FINANCIAL DISCLOSURE STATEMENT

This statement is to be filed in 2026

Financial information for calendar year 2025

Please type or print clearly. See instructions for assistance with completing this statement.

SECTION A. PERSONAL CONTACT INFORMATION

Last Name: Serraino First Name: Stephen MI: R

Address: [Redacted] City: Holland State: OH Zip: 43528

County: Lucas E-mail Address: [Redacted] Phone: [Redacted]

SECTION B. PUBLIC POSITION APPLYING FOR

Position/Title: Commissioner

Public entity you will serve if appointed: Public Utilities Commission of Ohio

Term Start Date: Month 0, Day 4, Year 112026

Term End Date: Month 0, Day 1, Year 02031

FOR OFFICIAL USE ONLY

1. SOURCES OF INCOME

(For help, see instructions page 2)

I have no sources of income that I am required to list.

Source of Income	Service Provided	Amount* (if required)
A OH Unempl. Compen. Rev. Comm.	Hearing Officer	
B		
C		
D		
E		
F		
G		
H		
I		

* Check instructions to see whether you are required to disclose amounts of income.

FOR OHIO ETHICS COMMISSION USE ONLY

Reviewed by: _____

Filer has answered every required question.

Filer has not answered these questions: _____

Date incomplete form returned to filer: _____

Date completed form returned to OEC: _____

2. SOURCES OF GIFTS

(For help, see instructions page 3)

I have no sources of gifts that I am required to list.

Source of Gift	Source of Gift
A	D
B	E
C	F

3. NAMES OF SPOUSE RESIDING IN HOUSEHOLD AND ANY DEPENDENT CHILDREN

(For help, see instructions page 3)

There are no immediate family members whose names I am required to list.

Spouse Residing in Household
Dependent Children

Dependent Children

4. NAMES OF BUSINESSES

(For help, see instructions page 3)

If you or anyone you listed in Question 3 owns or operates a business, list the name of the business.

There are no business names that I am required to list.

Business Name	Business Name
A	C
B	D

5. LAND (REAL ESTATE) IN OHIO

(For help, see instructions page 4)

I have no real estate that I am required to list.

Land (Real Estate) in Ohio (List address or, if address is unavailable, plat number and county)
A
B
C
D
E
You are not required to disclose your personal residence or real property held primarily for personal recreation.

6. CREDITORS OVER \$1,000

(For help, see instructions page 4)

I have no creditors that I am required to list.

Creditor	Creditor
A PNC Bank	D
B	E
C	F

7. DEBTORS OVER \$1,000

(For help, see instructions page 4)

I have no debtors that I am required to list.

Debtor	Debtor
A	C
B	D

8. INVESTMENTS OVER \$1,000

(For help, see instructions page 4 and 5)

I have no investments that I am required to list.

Corporation, Trust, Business Trust, Partnership, or Association	Nature of Investment
A Kersten Wealth Management Group	Financial Advisor/Broker
B	
C	
D	
E	
F	
G	
H	
I	
J	
K	
L	
IF YOU NEED ADDITIONAL SPACE, PLEASE ATTACH A SEPARATE SHEET.	

9. OFFICES/FIDUCIARY RELATIONSHIPS

(For help, see instructions page 6)

I have no offices or fiduciary relationships that I am required to list.

Corporation, Trust, Business Trust, Partnership, or Association	Office or Nature of Relationship
A	
B	
C	
D	
E	

10. FOOD OR BEVERAGES

(For help, see instructions page 6)

List any source of payment for meals, food, or beverages valued at over \$100 that was received in connection with your official duties as a PUCO Commissioner by you or any other person for your use of benefit in 2025.

I have no sources of meals, food, or beverages that I am required to list.

Source of Food or Beverages	Source of Food or Beverages
A	C
B	D

11. TRAVEL EXPENSES

(For help, see instructions page 7)

List both the source and the amount of each individual payment of travel expenses that was received in connection with your official duties as a PUCO Commissioner by you or any other person for your use or benefit in 2025.

I have no sources of travel expenses that I am required to list.

Source of Travel Expenses	Amount
A	
B	
C	
D	
E	
F	

12. NON-DISPUTED INFORMATION

(For help, see instructions page 7)

I have no information that I am required to list.

Non-Disputed Information
A
B

13. SIGNATURE

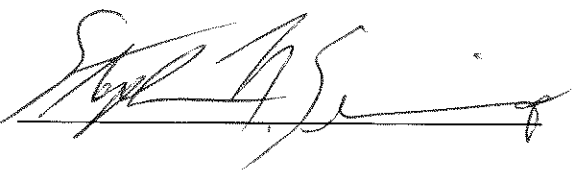
(For help, see instructions page 8)

By signing this statement:

- I swear or affirm that this statement and any additional attachments have been prepared or carefully reviewed by me, and constitute my complete, truthful, and correct disclosure of all required information, and that the address listed on page 1 is a correct mailing address.
- I acknowledge and understand that, among other potential violations and penalties, knowingly filing a false statement is a criminal misdemeanor of the first degree, in violation of Section 2921.13(A)(7) of the Revised Code, punishable by a fine of not more than \$1,000, imprisonment of not more than six months, or both.

If you have any questions before signing this form, please contact the Ohio Ethics Commission at (614) 466-7090.


Before signing this statement, please review to make sure that you have answered each question you are required to answer. If you have nothing to list in response to any question, check the box indicating that you have nothing to list. If the response to any required question is omitted, the Commission will return the statement to you as incomplete.

YOUR SIGNATURE IS REQUIRED HERE:  Date: 12/28/25

Completed statements must be sent by U.S. Mail, be hand-delivered, or emailed to:
 Public Utilities Commission of Ohio Nominating Council
 180 East Broad Street, 11th Floor
 Columbus, OH 43215-3793
 PUCNominatingCouncil@puco.ohio.gov

Roger W. Tucholski

Whitehouse, Ohio



Public Utilities Commission of Ohio Nominating Council

Columbus, Ohio

Dear Members of the Public Utilities Commission of Ohio Nominating Council,

I submit my application for appointment as a Commissioner of the Public Utilities Commission of Ohio, based on extensive experience in utility operations, regulated infrastructure, and public service.

I am a Senior Computer Science Engineer and Technical Consultant with thirty-nine years of experience supporting electric utilities, government entities, and critical infrastructure in highly regulated environments. My background includes direct utility experience, independent consulting, and federal service.

My utility experience includes four years of cooperative education with Toledo Edison; consulting engagements with the Electric Reliability Council of Texas (ERCOT), where I designed and delivered a system-wide monitoring and notification solution and served as project manager responsible for cost and schedule control; and work for the Frankfort Plant Board, a municipal utility, implementing plant-wide monitoring and alerting systems.

Since 2017, I have served as Senior Technical Consultant V with Carahsoft Technology Corp., subcontracting via AT&T; to the United States Government, supporting mission-critical enterprise systems subject to stringent regulatory, security, and reliability requirements. My work includes global system monitoring, predictive modeling, cybersecurity, and systems supporting national space and satellite infrastructure. I maintain an active Top Secret / SCI security clearance with polygraph, held in connection with federal consulting work.

In 2025, I designed, built, and commissioned a 25 kW photovoltaic system with 86 kWh of energy storage, providing firsthand experience with distributed energy resources, interconnection, resiliency planning, consumer impacts, and rate design considerations.

I have chaired enterprise technical standards and governance bodies and served in advisory leadership roles, including advising executive leadership on policy impacts, workforce considerations, and governance. My public service includes service on the Waterville Township Board of Zoning Appeals and as a Lucas County Poll Election Official.

I am independent of Ohio-regulated utilities and have no financial interest in, employment by, or contractual relationship with any public utility subject to PUCO jurisdiction. I approach utility regulation with a focus on the public interest, including ensuring just and reasonable rates, protecting consumers, and balancing affordability with system reliability and long-term infrastructure needs.

I am a registered Democrat.

Respectfully submitted,

Roger W. Tucholski

Roger W. Tucholski

Whitehouse, Ohio

EXECUTIVE PROFILE

Senior Computer Science Engineer and Technical Consultant with **39 years of experience** supporting electric utilities, government entities, and other critical infrastructure in **highly regulated environments**. Brings deep expertise in **grid reliability, system monitoring, cybersecurity, and technology governance**, combined with firsthand experience in **distributed energy resources and energy storage**. Demonstrated leader in **standards development, project delivery, cost control, and public service**, offering an independent, balanced, and **ratepayer-focused perspective** well aligned with service as a **Commissioner of the Public Utilities Commission of Ohio (PUCO)**. Brings a **disciplined regulatory perspective focused on ensuring just and reasonable rates, protecting consumers, and balancing affordability with system reliability and long-term infrastructure needs**.

REGULATORY & PROFESSIONAL EXPERTISE

- Utility Regulation & Grid Reliability
 - Electric Utility Operations & System Monitoring
 - Distributed Energy Resources (DERs) & Energy Storage
 - Cybersecurity & Critical Infrastructure Protection
 - Risk Management, Resilience & Incident Response
 - Predictive Modeling, Analytics & Artificial Intelligence
 - Technical Standards, Governance & Oversight
 - Project Management, Cost Control & Accountability
 - Board-Level Leadership & Public Service
-

PROFESSIONAL EXPERIENCE

Senior Technical Consultant V

Carahsoft Technology Corp. (Subcontracting via AT&T to the U.S. Government)
2017–Present | Washington, DC Area (Weekly Travel)

- Serve as senior technical consultant supporting **mission-critical U.S. Government enterprise systems** operating under stringent regulatory, security, and reliability requirements.
- Designed and delivered **global enterprise monitoring platforms** incorporating modeling to proactively predict network communications and server outages, strengthening operational resilience and continuity of service.
- Delivered solutions providing both **real-time situational awareness and forward-looking risk insight**, supporting accountable operational decision-making.

- Designed solutions supporting **national space and satellite infrastructure**, requiring exceptional reliability, resilience, and governance.
 - Provide senior-level leadership in **cybersecurity, secure system architecture, incident response, and resilience planning**.
 - Apply **computer forensics** to diagnose complex system events and support governance, auditability, and risk mitigation.
 - Leverage **artificial intelligence technologies** to enhance predictive analytics, decision support, and infrastructure reliability.
 - Maintain **Top Secret / SCI security clearance with polygraph**.
-

UTILITY & ENERGY SECTOR EXPERIENCE

Electric Reliability Council of Texas (ERCOT)

- Designed and delivered a **system-wide monitoring and notification solution** supporting grid-scale operational awareness, reliability, and real-time operational decision-making.
- Served as **project manager and site lead**, responsible for labor planning, cost control, and schedule management; **completed delivery on time and within cost constraints** in support of ERCOT operational objectives.

Frankfort Plant Board (Municipal Utility)

- Designed and implemented a **plant-wide monitoring and alerting solution** enhancing situational awareness and operational reliability.

Toledo Edison – Cooperative Education Program

University of Toledo | 4 Years

- Worked directly within an electric utility environment while completing academic studies.
 - Developed practical understanding of **utility operations, engineering practices, workforce safety, and regulated energy systems**.
-

RENEWABLE ENERGY & DISTRIBUTED ENERGY EXPERIENCE

Distributed Energy System – Design, Build & Commissioning (2025)

- Designed, built, and commissioned a **25 kW photovoltaic system with 86 kWh of energy storage**.
 - Provides firsthand insight into **distributed generation, storage integration, interconnection considerations, resiliency planning, consumer impacts, and rate design issues** central to modern utility regulation.
-

CONSULTING & INDUSTRY EXPERIENCE (Prior to 2017)

- Provided consulting services to the **State of Ohio** and **more than 75 organizations**, including government agencies, schools, electric utilities, banks and credit unions, and Fortune 500 companies.
 - Delivered projects across multiple continents in **regulated, high-availability, and mission-critical environments**.
 - Served on multiple engagements as **project site lead**, responsible for maintaining schedules, managing costs, and ensuring accountability.
 - Work emphasized **system reliability, monitoring, cybersecurity, operational resilience, and technical standards**, supporting informed executive and regulatory decision-making.
-

LEADERSHIP, STANDARDS & GOVERNANCE

Holnam (now Holcim) – Chair, Technical Standards Committee

- Led enterprise-wide development, adoption, and governance of **technical and operational standards**, supporting consistency, safety, and reliability.

Hewlett Packard Enterprise (HPE) / Micro Focus – Chair, Employee Advisory Board

- Served during the HPE spin-merge with Micro Focus, **advising executive leadership on policy impacts, workforce considerations, and governance**, and acting as a trusted liaison between employees and senior management during a complex corporate transition.
-

COMMUNITY & PUBLIC SERVICE

- **Vice Chair**, Waterville Township Board of Zoning Appeals
 - Participate in quasi-judicial decision-making, public hearings, and application of statutory and local code standards.
 - **Lucas County Poll Election Official**
-

EDUCATION

Bachelor of Science – Computer Science & Engineering
University of Toledo, 1993

Associate of Applied Science – Electronic Engineering Technology
University of Toledo, 1989

ADDITIONAL INFORMATION

- Raised in a **multi-generation railroad family**, instilling a lifelong respect for **infrastructure reliability, safety, cost discipline, and workforce professionalism**.
- First in three generations to attend college, reflecting a sustained commitment to **education, public service, and advancement through merit**.
- **Independent of Ohio-regulated utilities; no financial interest in, employment by, or contractual relationship with any public utility subject to PUCO jurisdiction.**

Security Clearance: Top Secret / SCI with Polygraph (held in connection with federal consulting work)

References: Available upon request



FINANCIAL DISCLOSURE STATEMENT

Please type or print clearly. See [instructions](#) for assistance with completing this statement.

SECTION A. PERSONAL CONTACT INFORMATION

Last Name: First Name: MI:

Address: City: State: Zip:

County: E-mail Address: Phone:

SECTION B. PUBLIC POSITION APPLYING FOR

Position/Title:

Public entity you will serve if appointed:

Term Start Date:

Month	Day	Year
0	4	1 1 2 0 2 6

Term End Date:

Month	Day	Year
0	4	1 0 2 0 3 1

FOR OFFICIAL USE ONLY

1. SOURCES OF INCOME

(For help, see instructions [page 2](#))

I have no sources of income that I am required to list.

Source of Income	Service Provided	Amount* (if required)
A Carahsoft Technology Corp. SMG	Technical Consulant	\$208,171.00
B Lucas County	Precinct Election Official	\$406.00
C Waterville Township	Vice Chariman Board of Zoning Appeals	\$150.00
D		
E		
F		
G		
H		
I		

* Check [instructions](#) to see whether you are required to disclose amounts of income.

FOR OHIO ETHICS COMMISSION USE ONLY

Reviewed by:	<input type="checkbox"/> Filer has answered every required question. <input type="checkbox"/> Filer has not answered these questions: _____	Date incomplete form returned to filer: _____ Date completed form returned to OEC: _____
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2. SOURCES OF GIFTS(For help, see instructions [page 3](#)) I have no sources of gifts that I am required to list.

Source of Gift	Source of Gift
A	D
B	E
C	F

3. NAMES OF SPOUSE RESIDING IN HOUSEHOLD AND ANY DEPENDENT CHILDREN(For help, see instructions [page 3](#)) There are no immediate family members whose names I am required to list.

Spouse Residing in Household	Dependent Children
Lynette K. Samiec-Tucholski	
Dependent Children	

4. NAMES OF BUSINESSES(For help, see instructions [page 3](#))

If you or anyone you listed in Question 3 owns or operates a business, list the name of the business.

 There are no business names that I am required to list.

Business Name	Business Name
A	C
B	D

5. LAND (REAL ESTATE) IN OHIO(For help, see instructions [page 4](#)) I have no real estate that I am required to list.

Land (Real Estate) in Ohio (List address or, if address is unavailable, plat number and county)
A
B
C
D
E
You are not required to disclose your personal residence or real property held primarily for personal recreation.

6. CREDITORS OVER \$1,000(For help, see instructions [page 4](#)) I have no creditors that I am required to list.

Creditor	Creditor
A American Express	D
B Chase	E
C	F

7. DEBTORS OVER \$1,000

(For help, see instructions [page 4](#))

I have no debtors that I am required to list.

Debtor	Debtor
A	C
B	D

8. INVESTMENTS OVER \$1,000

(For help, see instructions [page 4 and 5](#))

I have no investments that I am required to list.

Corporation, Trust, Business Trust, Partnership, or Association	Nature of Investment
A BARON INTL GRTH RTL	Stock Investments Employee 401K
B CONESTOGA SM CAP INV	Stock Investments Employee 401K
C DH LARGE CAP I	Stock Investments Employee 401K
D FID 500 INDEX	Blend Investments Employee 401K
E FID CONTRAFUND	Stock Investments Employee 401K
F FID INTL INDEX	Blend Investments Employee 401K
G FID INVST GR BD	Bond Investments Employee 401K
H FID LOW PRICED STK	Stock Investments Employee 401K
I FIRST EAGLE GLOBAL A	Bond Investments Employee 401K
J GABELLI SMCPGRTH AAA	Blend Investment Employee 401K
K TRP DIV GROWTH	Blend Investment Employee 401K
L TRP RETIRE 2025 R	Stock Investments Employee 401K
IF YOU NEED ADDITIONAL SPACE, PLEASE ATTACH A SEPARATE SHEET.	

9. OFFICES/FIDUCIARY RELATIONSHIPS

(For help, see instructions [page 6](#))

I have no offices or fiduciary relationships that I am required to list.

Corporation, Trust, Business Trust, Partnership, or Association	Office or Nature of Relationship
A	
B	
C	
D	
E	

10. FOOD OR BEVERAGES

(For help, see instructions [page 6](#))

List any source of payment for meals, food, or beverages valued at over \$100 that was received in connection with your official duties as a PUCO Commissioner by you or any other person for your use of benefit in 2025.

I have no sources of meals, food, or beverages that I am required to list.

Source of Food or Beverages	Source of Food or Beverages
A	C
B	D

11. TRAVEL EXPENSES

(For help, see instructions page 7)

List both the source and the amount of each individual payment of travel expenses that was received in connection with your official duties as a PUCO Commissioner by you or any other person for your use or benefit in 2025.

I have no sources of travel expenses that I am required to list.

Source of Travel Expenses	Amount
A	
B	
C	
D	
E	
F	

12. NON-DISPUTED INFORMATION

(For help, see instructions page 7)

I have no information that I am required to list.

Non-Disputed Information
A
B

13. SIGNATURE


(For help, see instructions page 8)

By signing this statement:

- I swear or affirm that this statement and any additional attachments have been prepared or carefully reviewed by me, and constitute my complete, truthful, and correct disclosure of all required information, and that the address listed on page 1 is a correct mailing address.
- I acknowledge and understand that, among other potential violations and penalties, knowingly filing a false statement is a criminal misdemeanor of the first degree, in violation of Section 2921.13(A)(7) of the Revised Code, punishable by a fine of not more than \$1,000, imprisonment of not more than six months, or both.

If you have any questions before signing this form, please contact the Ohio Ethics Commission at (614) 466-7090.

Before signing this statement, please review to make sure that you have answered each question you are required to answer. If you have nothing to list in response to any question, check the box indicating that you have nothing to list. If the response to any required question is omitted, the Commission will return the statement to you as incomplete.

YOUR SIGNATURE IS REQUIRED HERE: 

Date: 12/29/2025

Completed statements must be sent by U.S. Mail, be hand-delivered, or emailed to:
 Public Utilities Commission of Ohio Nominating Council
 180 East Broad Street, 11th Floor
 Columbus, OH 43215-3793
 PUCNominatingCouncil@puco.ohio.gov

OEC-2025 – Roger W. Tucholski

#8 Investments over \$1,000 (continued)

TRP RETIRE 2040 R	Employee 401k
American Funds AMCAP Fund CI A	Brokerage Account
American Funds American Mutual Fund CI A	Brokerage Account
American Funds Capital World Growth & Income Fund CI A	Brokerage Account
American Funds EUPAC Fund CI A	Brokerage Account
American Funds Fundamental Investors CI A	Brokerage Account
American Funds New Perspective CI A	Brokerage Account
American Funds Small-Cap World CI A	Brokerage Account
American Funds The Bond Fund of America CI A	Brokerage Account
American Funds US Government Securities CI A	Brokerage Account
American Funds Washington Mutual Investors CI A	Brokerage Account
AMG Veritas Asia Pacific Fund CI N	Brokerage Account
Baron Asset Fund Retail CI	Brokerage Account
Fidelity Select Semiconductors Portfolio	Brokerage Account
Invesco Van Kampen American Franchise CI A	Brokerage Account
MFS Global Equity Fund CI A	Brokerage Account
Templeton Foreign Fund CI A	Brokerage Account
Vanguard Energy Fund Investor Shares	Brokerage Account
Voya Large-Cap Value CI A	Brokerage Account

OEC-2025 – Roger W. Tucholski

#8 Investments over \$1,000 (continued)

TRP RETIRE 2040 R	Employee 401k
American Funds AMCAP Fund CI A	Brokerage Account
American Funds American Mutual Fund CI A	Brokerage Account
American Funds Capital World Growth & Income Fund CI A	Brokerage Account
American Funds EUPAC Fund CI A	Brokerage Account
American Funds Fundamental Investors CI A	Brokerage Account
American Funds New Perspective CI A	Brokerage Account
American Funds Small-Cap World CI A	Brokerage Account
American Funds The Bond Fund of America CI A	Brokerage Account
American Funds US Government Securities CI A	Brokerage Account
American Funds Washington Mutual Investors CI A	Brokerage Account
AMG Veritas Asia Pacific Fund CI N	Brokerage Account
Baron Asset Fund Retail CI	Brokerage Account
Fidelity Select Semiconductors Portfolio	Brokerage Account
Invesco Van Kampen American Franchise CI A	Brokerage Account
MFS Global Equity Fund CI A	Brokerage Account
Templeton Foreign Fund CI A	Brokerage Account
Vanguard Energy Fund Investor Shares	Brokerage Account
Voya Large-Cap Value CI A	Brokerage Account

Sandra R. Williams

Cleveland, Ohio

January 5, 2026

Public Utilities Commission of Ohio
Nominating Council
180 East Broad Street, 11th Floor
Columbus, Ohio 43215

Dear Members of the Nominating Council:

I submit this application for appointment as a Commissioner of the Public Utilities Commission of Ohio for the term commencing April 11, 2026. I am an Ohio resident with more than twenty years of experience in public service, utility policy, and regulated-industry oversight. My career has been defined by careful analysis, respect for statutory authority, and a commitment to decisions grounded in evidence, balance, and the public interest.

My experience aligns directly with the Commission's responsibilities across energy, telecommunications, and infrastructure regulation. As a former member of the Ohio General Assembly, including service as Ranking Member of the Senate Energy and Public Utilities Committee and Vice Chair of the House Public Utilities Committee, I worked extensively on matters involving electric generation, transmission, natural gas, broadband deployment, and infrastructure siting. In those roles, I evaluated ratepayer impacts, reviewed complex financial and technical testimony, and participated in legislative and oversight processes affecting millions of Ohio consumers.

In addition to legislative service, I bring an operational perspective from my role as Director of State Government Affairs for Charter Communications, an investor-owned utility. In that capacity, I worked closely with internal compliance, legal, engineering, and finance teams to ensure adherence to regulatory requirements, fulfillment of public commitments, and timely delivery of infrastructure investments. This experience reinforced the importance of clear regulatory expectations, procedural fairness, and consistent application of Commission standards.

My formal training includes completion of the Michigan State University Institute of Public Utilities Regulatory Studies and Accounting and Ratemaking programs, as well as an Executive MBA, strengthening my grounding in finance, capital allocation, and risk management. I have also served on governance and audit committees, further informing my understanding of fiduciary duty and institutional accountability.

I am a registered Democrat and have worked constructively with colleagues of all political affiliations throughout my career. If appointed, I would approach the role of commissioner with independence of judgment, respect for due process, and adherence to the Commission's quasi-judicial function. My objective would be to ensure Commission decisions reflect the law, the evidentiary record, and the mandate to provide Ohio consumers with safe, reliable utility service at just and reasonable rates, while supporting prudent investment in the state's utility infrastructure.

Thank you for your consideration. I would be honored to serve and am prepared to provide any additional information the Council may require.

Respectfully submitted,

Sandra R. Williams

Sandra R. Williams

PROFESSIONAL SUMMARY

Public servant and regulatory leader with more than 25 years of experience at the intersection of utilities policy, infrastructure finance and public accountability. Brings deep expertise in energy, broadband and public-utility oversight informed by service as an Ohio State Senator, Vice-Chair of legislative utilities committees and senior government-affairs executive in a highly regulated industry. Recognized for disciplined, evidence-based judgment; bipartisan collaboration; and a steadfast commitment to transparency, due process, and protection of Ohio consumers and ratepayers.

PROFESSIONAL EXPERIENCE

Charter Communications (Spectrum) – Stamford, CT

Director, Government Affairs, (Midwest) 2022 – 2025

Senior liaison to Ohio executive, legislative, and regulatory entities for one of the nation’s largest broadband providers, serving more than 2 million Ohio customers.

- Oversaw alignment with state broadband statutes, administrative rules, and grant requirements, ensuring compliance with funding, deployment and performance obligations.
- Participated in cross-functional compliance reviews with legal, finance, engineering, and operations teams to monitor milestones, identify risks, and resolve performance gaps related to public commitments.
- Supported transparent engagement with state agencies regarding permitting, infrastructure deployment, and grant administration in underserved and rural communities.
- Advised senior leadership on political, regulatory, and economic developments affecting infrastructure investment, consumer access, and service reliability.

This role provided direct experience balancing corporate operations with regulatory expectations, public accountability, and long-term system integrity.

Ohio Senate – Columbus, OH

State Senator & Assistant Minority Whip (Former), 2015 – 2022

Ranking Member, Energy & Public Utilities Committee

Member, Ways & Means Committee

- Provided legislative oversight of electric generation, transmission, broadband deployment and public-utility statutes affecting millions of Ohio ratepayers.
- Member, Joint Committee on Agency Rule Review (JCARR), exercising legislative oversight of state agency rulemaking to ensure statutory authority, regulatory clarity, and due process.
- Served as a non-voting member of the Ohio Power Siting Board, overseeing approvals for major utility generation and infrastructure projects.
- Co-authored energy legislation stabilizing wholesale power costs and protecting approximately 1.1 million customers from price volatility.
- Exercised fiduciary oversight of Ohio’s \$75 billion biennial budget, identifying refinancing opportunities that generated \$225 million in bond savings for taxpayers.
- Served as Assistant Democratic Whip, achieving bipartisan passage of 72% of sponsored legislation through consensus-driven negotiation.
- Contributed to policy deliberations emphasizing affordability, reliability and fairness across Ohio’s energy and utility systems.

Sandra R. Williams

Ohio House of Representatives – Columbus, OH

State Representative, 2007 – 2014

Vice-Chair, Public Utilities Committee

Member, Manufacturing & Workforce Development Committee

- Participated in legislative review of utility regulation, infrastructure investment, and economic development policy.
- Advanced procurement and contracting reforms that strengthened transparency and broadened access to state construction opportunities.
- Established the Ohio Legislative Black Caucus Scholarship Fund to support educational attainment and long-term workforce development.

EARLIER CAREER – Justice & Public Safety Roles

- Developed foundational experience in risk assessment, enforcement discretion, mediation, and crisis response.
- Built an evidence-driven approach to decision-making, fairness, and public safety that informs current regulatory judgment.
 - Ohio House of Representatives, Columbus, OH, 2001 – 2006
Legislative Aide
 - Ohio Department of Youth Services, Toledo, OH, 1996 – 1999
Parole Officer
 - Cuyahoga County Juvenile Court, Cleveland, OH, 1995 – 1996
Probation Officer
 - Cuyahoga County Sheriff's Department, Cleveland, OH, 1989-1995
Corrections Officer

BOARD & PUBLIC SERVICE EXPERIENCE

Board Director, Cleveland State University Foundation, 2022 - Present

Audit Committee; Nominating & Governance Committee

Advisory Councils: United Service Organization (USO), Stark County Urban League, JobsOhio

Board Member, Seeds of Literacy, 2022 – 2024

Non-Voting Board Member, Ohio Power Siting Board, 2010 – 2022

Board Member, Ohio Tuition Trust Authority, 2010-2022

Specialist First Class, Squad Leader, United States Army Reserve (Honorable Discharge), 1987 - 1995

Sandra R. Williams



EDUCATION

Cleveland State University, Cleveland, OH
Executive Master of Business Administration

Tiffin University, Tiffin, OH
Master of Science degree in Criminal Justice Administration

Cleveland State University, Cleveland, OH
Bachelor of Arts degree in Political Science, Minor: Criminal Justice

PROFESSIONAL LEADERSHIP EDUCATION

Cornell University, Ithaca, NY
Accounting Certification (completion April 2026)

Harvard Kennedy School, Cambridge, MA
Mastering Negotiation: Building Agreements Across Boundaries

Michigan State University, East Lansing, MI
Institute of Public Utilities: Regulatory Studies & Accounting and Ratemaking

ETHICS AND INDEPENDENCE

Sandra R. Williams is guided by a firm commitment to ethical governance, transparency and independent judgment. She approaches public decision-making with respect for due process, evidentiary standards and the careful balancing of competing interests, always placing the public interest, consumer protection, and long-term system integrity first.



Form No. OEC-2025-P
 OHIO ETHICS COMMISSION
FINANCIAL DISCLOSURE STATEMENT

25P

This statement is to be filed in 2026
 Financial information for calendar year 2025

Please type or print clearly. See instructions for assistance with completing this statement.

SECTION A. PERSONAL CONTACT INFORMATION

Last Name: Williams First Name: Sandra MI: R

Address: [Redacted] City: Cleveland State: Oh Zip: 44120

County: Cuyahoga E-mail Address: [Redacted] Phone: [Redacted]

SECTION B. PUBLIC POSITION APPLYING FOR

Position/Title: Commissioner

Public entity you will serve if appointed: Public Utilities Commission of Ohio

Term Start Date: Month 0 Day 4 Year 1 1 2 0 2 6

Term End Date: Month 0 Day 4 Year 1 0 2 0 3 1

FOR OFFICIAL USE ONLY

1. SOURCES OF INCOME

(For help, see instructions page 2)

I have no sources of income that I am required to list.

Source of Income	Service Provided	Amount* (if required)
A Charter Communications	Government Affairs	\$180,000
B		
C		
D		
E		
F		
G		
H		
I		

* Check instructions to see whether you are required to disclose amounts of income.

FOR OHIO ETHICS COMMISSION USE ONLY

Reviewed by: _____

Filer has answered every required question.

Filer has not answered these questions: _____

Date incomplete form returned to filer: _____

Date completed form returned to OEC: _____

2. SOURCES OF GIFTS

(For help, see instructions page 3)

I have no sources of gifts that I am required to list.

Source of Gift	Source of Gift
A	D
B	E
C	F

3. NAMES OF SPOUSE RESIDING IN HOUSEHOLD AND ANY DEPENDENT CHILDREN

(For help, see instructions page 3)

There are no immediate family members whose names I am required to list.

Spouse Residing in Household
Dependent Children

Dependent Children

4. NAMES OF BUSINESSES

(For help, see instructions page 3)

If you or anyone you listed in Question 3 owns or operates a business, list the name of the business.

There are no business names that I am required to list.

Business Name	Business Name
A	C
B	D

5. LAND (REAL ESTATE) IN OHIO

(For help, see instructions page 4)

I have no real estate that I am required to list.

Land (Real Estate) in Ohio (List address or, if address is unavailable, plat number and county)
A 2915 E 123rd, Cleveland Ohio 44120
B
C
D
E
You are not required to disclose your personal residence or real property held primarily for personal recreation.

6. CREDITORS OVER \$1,000

(For help, see instructions page 4)

I have no creditors that I am required to list.

Creditor	Creditor
A Chase Bank	D Synchrony Bank
B Credit Union Of Ohio	E Mercedes Benz
C Capital One	F

7. DEBTORS OVER \$1,000

(For help, see instructions page 4)

I have no debtors that I am required to list.

Debtor	Debtor
A	C
B	D

8. INVESTMENTS OVER \$1,000

(For help, see instructions page 4 and 5)

I have no investments that I am required to list.

Corporation, Trust, Business Trust, Partnership, or Association	Nature of Investment
A META Shares	Common Stock
B Charter Communications	Common Stock
C Charter Communications	Retirement
D	
E	
F	
G	
H	
I	
J	
K	
L	
IF YOU NEED ADDITIONAL SPACE, PLEASE ATTACH A SEPARATE SHEET.	

9. OFFICES/FIDUCIARY RELATIONSHIPS

(For help, see instructions page 6)

I have no offices or fiduciary relationships that I am required to list.

Corporation, Trust, Business Trust, Partnership, or Association	Office or Nature of Relationship
A	
B	
C	
D	
E	

10. FOOD OR BEVERAGES

(For help, see instructions page 6)

List any source of payment for meals, food, or beverages valued at over \$100 that was received in connection with your official duties as a PUCO Commissioner by you or any other person for your use of benefit in 2025.

I have no sources of meals, food, or beverages that I am required to list.

Source of Food or Beverages	Source of Food or Beverages
A	C
B	D

11. TRAVEL EXPENSES

(For help, see instructions page 7)

List both the source and the amount of each individual payment of travel expenses that was received in connection with your official duties as a PUCO Commissioner by you or any other person for your use or benefit in 2025.

I have no sources of travel expenses that I am required to list.

Source of Travel Expenses	Amount
A	
B	
C	
D	
E	
F	

12. NON-DISPUTED INFORMATION

(For help, see instructions page 7)

I have no information that I am required to list.

Non-Disputed Information
A
B

13. SIGNATURE

(For help, see instructions page 8)

By signing this statement:

- I swear or affirm that this statement and any additional attachments have been prepared or carefully reviewed by me, and constitute my complete, truthful, and correct disclosure of all required information, and that the address listed on page 1 is a correct mailing address.
- I acknowledge and understand that, among other potential violations and penalties, knowingly filing a false statement is a criminal misdemeanor of the first degree, in violation of Section 2921.13(A)(7) of the Revised Code, punishable by a fine of not more than \$1,000, imprisonment of not more than six months, or both.

If you have any questions before signing this form, please contact the Ohio Ethics Commission at (614) 466-7090.

Before signing this statement, please review to make sure that you have answered each question you are required to answer. If you have nothing to list in response to any question, check the box indicating that you have nothing to list. If the response to any required question is omitted, the Commission will return the statement to you as incomplete.

YOUR SIGNATURE IS REQUIRED HERE: Sandra Winer

Date: 1-5-2026

Completed statements must be sent by U.S. Mail, be hand-delivered, or emailed to:
 Public Utilities Commission of Ohio Nominating Council
 180 East Broad Street, 11th Floor
 Columbus, OH 43215-3793
 PUCNominatingCouncil@puco.ohio.gov